

Artificial Intelligence 1

Winter Semester 2024/25

– Lecture Notes –
Admin & Overview

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Chapter 1

Preliminaries

1.1 Administrative Ground Rules

Prerequisites for AI-1

- ▶ **Content Prerequisites:** The mandatory **courses** in CS@FAU; Sem. 1-4, in particular:
 - ▶ Course “Algorithmen und Datenstrukturen”. (Algorithms & Data Structures)
 - ▶ Course “Grundlagen der Logik in der Informatik” (GLOIN). (Logic in CS)
 - ▶ Course “Berechenbarkeit und Formale Sprachen”. (Theoretical CS)

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- ▶ **Skillset Prerequisite:** Coping with **mathematical** formulation of the structures
 - ▶ **Mathematics** is the language of science (**in particular computer science**)
 - ▶ It allows us to be very precise about what we mean. (**good for you**)

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- ▶ **Intuition:** (**take them with a kilo of salt**)
 - ▶ This is what I assume you know! (**I have to assume something**)
 - ▶ In most cases, the dependency on these is partial and “in spirit”.
 - ▶ If you have not taken these (or do not remember), read up on them as needed!


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 - ▶ If you have not taken these (or do not remember), read up on them as needed!
- ▶ **Real Prerequisites:** Motivation, interest, curiosity, hard work. (**AI-1 is non-trivial**)
- ▶ You can do this course if you want! (**and I hope you are successful**)

► Overall (Module) Grade:

- Grade via the exam (Klausur) \rightsquigarrow 100% of the grade.
- Up to 10% bonus on-top for an exam with $\geq 50\%$ points. ($< 50\% \rightsquigarrow$ no bonus)
- Bonus points $\hat{=}$ percentage sum of the best 10 prepquizzes divided by 100.

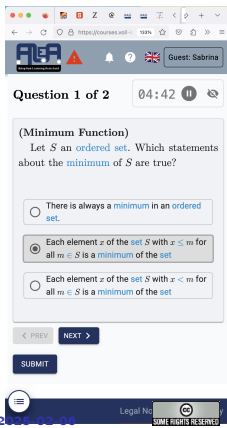
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- **Exam:** 90 minutes exam conducted in presence on paper! (\sim April 1. 2025)
- **Retake Exam:** 90 min exam six months later. (\sim October 1. 2025)
-  Register for exams in <https://campo.fau.de>. (there is a deadline!)
- **Note:** You can de-register from an exam on <https://campo.fau.de> up to three working days before exam. (do not miss that if you are not prepared)

Preparedness Quizzes


- ▶ **PrepQuizzes:** Every tuesday 16:15 we start the **lecture** with a 10 min online quiz – the **PrepQuiz** – about the material from the previous week. (starts in week 2)
- ▶ **Motivations:** We do this to
 - ▶ keep you prepared and working continuously. (primary)
 - ▶ update the **ALEA learner model** (fringe benefit)
- ▶ The **prepquiz** will be given in the **ALEA** system

- ▶ <https://courses.voll-ki.fau.de/quiz-dash/ai-1>
- ▶ You have to be **logged into ALEA!** (via **FAU IDM**)
- ▶ You can take the **prepquiz** on your laptop or phone, ...
- ▶ ... in the **lecture** or at home ...
- ▶ ... via **WLAN** or **4G Network**. (do not overload)
- ▶ **Prepquizzes** will only be available 16:15-16:25!



The screenshot shows a web browser window with the URL <https://courses.voll-ki.fau.de/quiz-dash/ai-1>. The page header includes the ALEA logo, a notification bell, a search icon, and the user name "Guest: Sabrina". The main content area displays "Question 1 of 2" with a timer at "04:42". The question is titled "(Minimum Function)" and asks: "Let S an ordered set. Which statements about the minimum of S are true?". There are three radio button options: "There is always a minimum in an ordered set.", "Each element x of the set S with $x \leq m$ for all $m \in S$ is a minimum of the set" (which is selected), and "Each element x of the set S with $x < m$ for all $m \in S$ is a minimum of the set". Navigation buttons for "PREV", "NEXT", and "SUBMIT" are visible at the bottom of the question area.


This Thursday: Pretest

- ▶  This thursday we will try out the [prepquiz](#) infrastructure with a [pretest](#)!
 - ▶ **Presence:** bring your laptop or cellphone.
 - ▶ **Online:** you can and should take the [pretest](#) as well.
 - ▶ Have a recent [firefox](#) or [chrome](#) ([chrome: younger than March 2023](#))
 - ▶ Make sure that you are [logged into ALEA](#) ([via FAU IDM; see below](#))
- ▶ **Definition 1.1.** A [pretest](#) is an [assessment](#) for evaluating the preparedness of [learners](#) for further studies.
- ▶ **Concretely:** This [pretest](#)
 - ▶ establishes a baseline for the [competency](#) expectations in AI-1 and
 - ▶ tests the [ALEA quiz](#) infrastructure for the [prepquizzes](#).
- ▶ Participation in the [pretest](#) is optional; it will not influence grades in any way.
- ▶ The [pretest](#) covers the prerequisites of AI-1 and some of the material that may have been covered in other [courses](#).
- ▶ The test will be also used to refine the [ALEA learner model](#), which may make learning experience in [ALEA](#) better. ([see below](#))


- ▶ Some **degree programs** do not “import” the **course** Artificial Intelligence 1, and thus you may not be able to register for the **exam** via <https://campo.fau.de>.
 - ▶ Just send me an e-mail and come to the **exam**, (we do the necessary admin)
 - ▶ Tell your **program** coordinator about AI-1/2 so that they remedy this situation
- ▶ In “Wirtschafts-Informatik” you can only take AI-1 and AI-2 together in the “Wahlpflichtbereich”.
 - ▶ **ECTS credits** need to be divisible by five $\leftrightarrow 7.5 + 7.5 = 15$.

1.2 Getting Most out of AI-1

AI-1 Homework Assignments

- ▶ **Goal:** Homework assignments reinforce what was taught in lectures.
- ▶ **Homework Assignments:** Small individual problem/programming/proof task
 - ▶ but take time to solve (at least read them directly \leadsto questions)
- ▶ **Didactic Intuition:** Homework assignments give you material to test your understanding and show you how to apply it.
- ▶  **Homeworks** give no points, but without trying you are unlikely to pass the exam.

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- ▶  **Homeworks** give no points, but without trying you are unlikely to pass the exam.
- ▶ Homeworks will be mainly peer-graded in the ALEA system.
- ▶ **Didactic Motivation:** Through peer grading students are able to see mistakes in their thinking and can correct any problems in future assignments. By grading assignments, students may learn how to complete assignments more accurately and how to improve their future results. (not just us being lazy)

AI-1 Homework Assignments – Howto

- ▶ **Homework Workflow:** in [ALEA](#) (see below)
 - ▶ Homework assignments will be published on thursdays: see <https://courses.voll-ki.fau.de/hw/ai-1>
 - ▶ Submission of solutions via the [ALEA](#) system in the week after
 - ▶ Peer grading/feedback (and master solutions) via answer classes.
- ▶ **Quality Control:** TAs and instructors will monitor and supervise peer grading.

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- ▶ **Quality Control:** TAs and instructors will monitor and supervise peer grading.
- ▶ **Experiment:** Can we motivate enough of you to make peer assessment self-sustaining?
 - ▶ I am appealing to your sense of community responsibility here . . .
 - ▶ You should only expect other's to grade your submission if you grade their's (cf. Kant's "Moral Imperative")
- ▶ **Make no mistake:** The grader usually learns at least as much as the grader.

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 - ▶ **Make no mistake:** The grader usually learns at least as much as the gradee.
- ▶ **Homework/Tutorial Discipline:**
 - ▶ **Start early!** (many assignments need more than one evening's work)
 - ▶ Don't start by sitting at a blank screen (talking & study groups help)
 - ▶ Humans will be trying to understand the text/code/math when grading it.
 - ▶ **Go to the tutorials, discuss with your TA!** (they are there for you!)

Tutorials for Artificial Intelligence 1

- ▶ **Approach:** Weekly **tutorials** and **homework assignments** (**first one in week two**)
- ▶ **Goal 1:** Reinforce what was taught in the **lectures**. (**you need practice**)
- ▶ **Goal 2:** Allow you to ask any question you have in a protected environment.

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- ▶ **Goal 1:** Reinforce what was taught in the **lectures**. (**you need practice**)
- ▶ **Goal 2:** Allow you to ask any question you have in a protected environment.
- ▶ **Instructor/Lead TA:** Florian Rabe (**KWARC Postdoc**)
 - ▶ Room: 11.137 @ Händler building, florian.rabe@fau.de
- ▶ **Tutorials:** One each taught by Florian Rabe (lead); Yasmeeen Shawat, Hatem Mousa, Xinyuan Tu, and Florian Guthmann.
- ▶ **Life-saving Advice:** Go to your **tutorial**, and prepare for it by having looked at the slides and the **homework assignments**!

- ▶ **Definition 2.1.** **Collaboration** (or **cooperation**) is the process of groups of agents acting together for common, mutual benefit, as opposed to acting in **competition** for selfish benefit. In a **collaboration**, every agent contributes to the common goal and benefits from the contributions of others.
- ▶ In **learning** situations, the benefit is “better **learning**”.
- ▶ **Observation:** In **collaborative learning**, the overall result can be significantly better than in **competitive learning**.
- ▶ **Good Practice:** Form **study groups**. (long- or short-term)
 1. ⚠ those **learners** who work most, **learn** most!
 2. ⚠ **freeloaders** – individuals who only watch – **learn** very little!
- ▶ It is OK to **collaborate** on **homework assignments** in AI-1! (no bonus points)
- ▶ Choose your **study group** well! (We will (eventually) help via ALeA)

Do I need to attend the AI-1 Lectures

- ▶ Attendance is not mandatory for the AI-1 course. (official version)
- ▶ **Note:** There are two ways of learning: (both are OK, your mileage may vary)
 - ▶ Approach **B**: Read a book/papers (here: lecture notes)
 - ▶ Approach **I**: come to the lectures, be involved, interrupt the instructor whenever you have a question.

The only advantage of **I** over **B** is that books/papers do not answer questions

- ▶ Approach **S**: come to the lectures and sleep does not work!
- ▶ The closer you get to research, the more we need to discuss!

1.3 Learning Resources for AI-1

Textbook, Handouts and Information, Forums, Videos

- ▶ **Textbook:** *Russel/Norvig: Artificial Intelligence, A modern Approach* [RN09].
 - ▶ basically “broad but somewhat shallow”
 - ▶ great to get intuitions on the basics of AI

Make sure that you read the **edition ≥ 3** \Leftarrow vastly improved over ≤ 2 .

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- ▶ I mostly prepare them as we go along (**semantically preloaded** \rightsquigarrow **research resource**)
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- ▶ **Course Videos:** AI-1 will be streamed/recorded at <https://fau.tv/course/id/4047>

- ▶ **Organized:** Video course nuggets are available at <https://fau.tv/course/id/1690> (**short; organized by topic**)
- ▶ **Backup:** The **lectures** from WS 2016/17 to SS 2018 have been recorded (in English and German), see <https://www.fau.tv/search/term.html?q=Kohlhase>

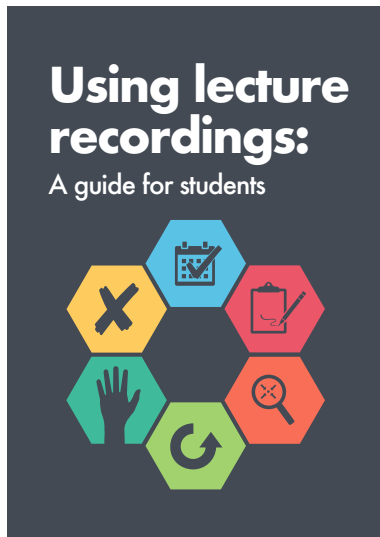
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- ▶ **Do not let the videos mislead you:** Coming to **class** is highly correlated with passing the **exam**!

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- ▶ **Do not let the videos mislead you:** Coming to **class** is highly correlated with passing the **exam!**
- ▶ **StudOn Forum:** <https://www.studon.fau.de/crs5832535.html> for
 - ▶ announcements, **homeworks** (**my view on the forum**)
 - ▶ questions, discussion among your fellow **students** (**your forum too, use it!**)

- **Excellent Guide:** [Nor+18a] (German version at [Nor+18b])



Attend lectures.



Take notes.



Be specific.



Catch up.



Ask for help.

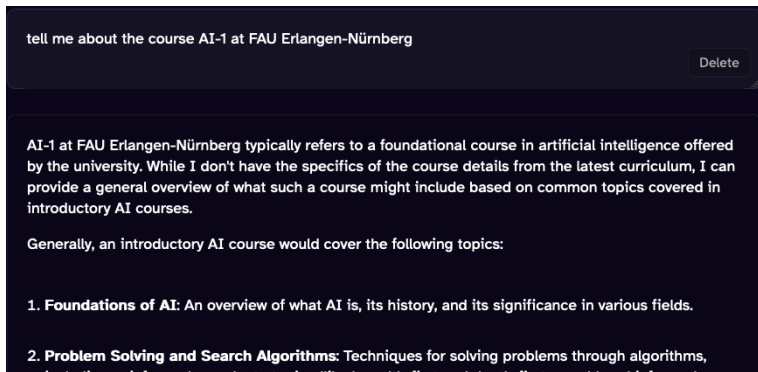


Don't cut corners.

- ▶ **Definition 3.1.** A **large language model (LLM)** is a computational model capable of language generation or other natural language processing tasks.
- ▶ **Example 3.2.** OpenAI's GPT, Google's Bard, and Meta's Llama.

NOT a Resource for : LLMs – AI-based tools like ChatGPT

- ▶ **Definition 3.6.** A **large language model (LLM)** is a computational model capable of language generation or other natural language processing tasks.
- ▶ **Example 3.7.** OpenAI's GPT, Google's Bard, and Meta's Llama.
- ▶ **Definition 3.8.** A **chatbot** is a software application or web interface that is designed to mimic human conversation through text or voice interactions. Modern **chatbots** are usually based on **LLMs**.
- ▶ **Example 3.9 (ChatGPT talks about AI-1).** (but remains vague)



NOT a Resource for : LLMs – AI-based tools like ChatGPT

- ▶ **Definition 3.11.** A **large language model (LLM)** is a computational model capable of language generation or other natural language processing tasks.
 - ▶ **Example 3.12.** OpenAI's GPT, Google's Bard, and Meta's Llama.
 - ▶ **Definition 3.13.** A **chatbot** is a software application or web interface that is designed to mimic human conversation through text or voice interactions. Modern **chatbots** are usually based on **LLMs**.
 - ▶ **Example 3.14 (ChatGPT talks about AI-1).** (but remains vague)
 - ▶ **Note:** LLM-based **chatbots** invent *every word!* (surprisingly often correct)
 - ▶ **Example 3.15 (In the AI-1 exam).** ChatGPT scores ca. 50% of the points.
 - ▶ ChatGPT can almost pass the exam ... (We could award it a Master's degree)
 - ▶ But can you? (the AI-1 exams will be in person on paper)
- You will only pass the exam, if you can do AI-1 yourself!

NOT a Resource for : LLMs – AI-based tools like ChatGPT

- ▶ **Definition 3.16.** A **large language model (LLM)** is a computational model capable of language generation or other natural language processing tasks.
 - ▶ **Example 3.17.** OpenAI's GPT, Google's Bard, and Meta's Llama.
 - ▶ **Definition 3.18.** A **chatbot** is a software application or web interface that is designed to mimic human conversation through text or voice interactions. Modern **chatbots** are usually based on **LLMs**.
 - ▶ **Example 3.19 (ChatGPT talks about AI-1).** (but remains vague)
 - ▶ **Note:** LLM-based **chatbots** invent *every word!* (surprisingly often correct)
 - ▶ **Example 3.20 (In the AI-1 exam).** ChatGPT scores ca. 50% of the points.
 - ▶ ChatGPT can almost pass the exam ... (We could award it a Master's degree)
 - ▶ But can you? (the AI-1 exams will be in person on paper)
- You will only pass the exam, if you can do AI-1 yourself!
- ▶ **Intuition:** AI tools like GhatGPT, CoPilot, etc. (see also [She24])
 - ▶ can help you solve problems, (valuable tools in production situations)
 - ▶ hinders **learning** if used for homeworks/quizzes, etc. (like driving instead of jogging)

NOT a Resource for : LLMs – AI-based tools like ChatGPT

- ▶ **Definition 3.21.** A **large language model (LLM)** is a computational model capable of language generation or other natural language processing tasks.
- ▶ **Example 3.22.** OpenAI's GPT, Google's Bard, and Meta's Llama.
- ▶ **Definition 3.23.** A **chatbot** is a software application or web interface that is designed to mimic human conversation through text or voice interactions. Modern **chatbots** are usually based on **LLMs**.
- ▶ **Example 3.24 (ChatGPT talks about AI-1).** (but remains vague)
- ▶ **Note:** LLM-based **chatbots** invent *every word!* (surprisingly often correct)
- ▶ **Example 3.25 (In the AI-1 exam).** ChatGPT scores ca. 50% of the points.
 - ▶ ChatGPT can almost pass the exam ... (We could award it a Master's degree)
 - ▶ But can you? (the AI-1 exams will be in person on paper)You will only pass the exam, if you can do AI-1 yourself!
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 - ▶ can help you solve problems, (valuable tools in production situations)
 - ▶ hinders **learning** if used for homeworks/quizzes, etc. (like driving instead of jogging)
- ▶ **What (not) to do:** (to get most of the brave new AI-supported world)
 - ▶ try out these tools to get a first-hand intuition what they can/cannot do
 - ▶ challenge yourself while learning so that you can also do it (mind over matter!)

1.4 AI-Supported Learning

ALEA: Adaptive Learning Assistant

- ▶ **Idea:** Use AI methods to help teach/learn AI (AI4AI)
- ▶ **Concretely:** Provide HTML versions of the AI-1 slides/lecture notes and embed learning support services into them. (for pre/postparation of lectures)
- ▶ **Definition 4.1.** Call a document **active**, iff it is **interactive** and adapts to specific **information needs** of the **readers**. (lecture notes on steroids)
- ▶ **Intuition:** ALEA serves **active course materials**. (PDF mostly inactive)
- ▶ **Goal:** Make ALEA more like a **instructor + study group** than like a book!
- ▶ **Example 4.2 (Course Notes).** $\hat{=}$ Slides + Comments

The screenshot shows the ALEA interface. On the left is a table of contents with a search bar at the top. The table of contents has several sections, with some highlighted in yellow to indicate content already covered in lectures:

- Format of the AI Course/Lecturing Resources
 - Artificial Intelligence – Who?, W... (highlighted)
 - What is Artificial Intelligence?
 - Artificial Intelligence is here today!
 - Ways to Attack the AI Problem
 - Strong vs. Weak AI
 - AI Topics Covered
 - AI in the KWARC Group
- Getting Started with AI: A Conce...
 - Logic Programming
 - Introduction to Logic Programming
 - Programming as Search (highlighted)
 - Knowledge Bases and Backtracki (highlighted)
 - Programming Features (highlighted)
 - Advanced Relational Programin (highlighted)
 - Recap of Prerequisites from Math & T

On the right, the main content area shows a slide titled "Specifying Control in Prolog" with an assertion and an idea gain. Below it is another slide titled "Functions and Predicates in Prolog" with an assertion and two bullet points.

- ▶ **Portal for ALeA Courses:** <https://courses.voll-ki.fau.de>



Artificial Intelligence - I

NOTES 

SLIDES 




IWGS - I

NOTES 


SLIDES 


CARDS 


FORUM 




Logic-based Natural Language Semantics

NOTES 

SLIDES 

CARDS 

FORUM 

- ▶ **AI-1 in ALeA:** <https://courses.voll-ki.fau.de/course-home/ai-1>
 - ▶ All details for the [course](#).
 - ▶ recorded syllabus (keep track of material covered in course)
 - ▶ syllabus of the last [semesters](#) (for over/preview)
- ▶ **ALeA Status:** The [ALeA](#) system is deployed at FAU for over 1000 [students](#) taking eight [courses](#)
 - ▶ (some) [students](#) use the system actively (our logs tell us)
 - ▶ reviews are mostly positive/enthusiastic (error reports pour in)

- ▶ **Idea:** Embed learning support services into active course materials.

Learning Support Services in ALEA

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- ▶ **Example 4.6 (Definition on Hover).** Hovering on a (cyan) term reference reminds us of its definition. (even works recursively)

A Conce...
rch

Heuristic Functions

▷ **Definition 1.1.11.** Let Π be a problem with states S . A heuristic function (or short heuristic) for Π is a function $h: S \rightarrow \mathbb{R}_0^+ \cup \{\infty\}$ so that $h(s) = 0$ whenever s is a goal state.

Definition 0.1. A search problem $\langle S, \mathcal{A}, \mathcal{J}, \mathcal{G} \rangle$ consists of a set S of states, a set \mathcal{A} of actions, and a transition model $\mathcal{T}: \mathcal{A} \times S \rightarrow \mathcal{P}(S)$ that assigns to any action $a \in \mathcal{A}$ and state $s \in S$ a set of successor states.

Certain states in S are designated as goal states ($\mathcal{G} \subseteq S$) and initial states $\mathcal{J} \subseteq S$.

Strategies state, or ∞ if no such path exists, is called the goal distance function for Π .

Learning Support Services in ALEA

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- ▶ **Example 4.9 (Definition on Hover).** Hovering on a (cyan) term reference reminds us of its definition. (even works recursively)
- ▶ **Example 4.10 (More Definitions on Click).** Clicking on a (cyan) term reference shows us more definitions from other contexts.

▶ **Axiom 0.1 (SAT: A kind of CSP).** SAT can be viewed as a CSP problem in which all variable domains are Boolean, and the constraints have unbounded arity.

▶ **Theorem 0.1 (Encoding CSP as SAT).** Given any constraint network \mathcal{C} , we can in low

▶ Symbol CNF

DM(de) AII(en) DM(en)

▶ A formula is in conjunctive normal form (CNF) if it is a conjunction of disjunctions of literals: i.e. if it is of the form $\bigwedge_{i=1}^n \bigvee_{j=1}^{m_i} l_{ij}$



CLOSE

Learning Support Services in ALEA

- ▶ **Idea:** Embed learning support services into active course materials.
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▷ Symbol CNF  

DM(de) AII(en) DM(en)

A **literal** is an atomic formula or a negation of one. A formula is said to be in

- **negation normal form (NNF)**, iff negations are literals.
- **conjunctive normal form (CNF)**, iff it is a conjunction of disjunctions of literals.
- **disjunctive normal form (DNF)**, iff it is a disjunction of conjunctions of literals.

CLOSE

Learning Support Services in ALEA

- ▶ **Idea:** Embed learning support services into active course materials.
- ▶ **Example 4.15 (Definition on Hover).** Hovering on a (cyan) term reference reminds us of its definition. (even works recursively)
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▷ Symbol CNF



DM(de)

All(en)

DM(en)

Ein **Literal** ist eine **atomare Formel** or die **Negation** einer solchen. Wir sagen, dass eine **Formel** eine

- **Negationsnormalform (NNF)** ist, wenn alle darin vorkommenden **Negationen Literale** sind.
- **konjunktive Normalform (CNF)** ist, wenn sie eine **Konjunktion** von **Diskunktionen** von **Literalen** ist.
- **disjunktive Normalform (DNF)** ist, wenn sie eine **Disjunktion** von **Konjunktionen** von **Literalen** ist.

CLOSE

Learning Support Services in ALEA

- ▶ **Idea:** Embed learning support services into active course materials.
- ▶ **Example 4.18 (Definition on Hover).** Hovering on a (cyan) term reference reminds us of its definition. (even works recursively)
- ▶ **Example 4.19 (More Definitions on Click).** Clicking on a (cyan) term reference shows us more definitions from other contexts.
- ▶ **Example 4.20 (Guided Tour).** A guided tour for a concept c assembles definitions/etc. into a self-contained mini-course culminating at c .

$C =$
countable \rightsquigarrow



less than

less than > finite > countable

Needs: inset natural number nCartProd converse relation transitive irreflexive

Definition 0.1. The $\<$ relation is the transitive closure of the relation $\{(n, s(n)) \mid n \in \mathbb{N}\}$, and \leq its transitive reflexive closure. $\<$ and \leq are the corresponding converse relations.

For a $\<$; b we say that a is less than b .

finite

finite > countable

Needs: inset natural number less than

▷ **Definition 0.1.** We say that a set A is finite and has cardinality $\#(A) \in \mathbb{N}$, iff there is a bijective function $f: A \rightarrow \{n \in \mathbb{N} \mid n \leq \#(A)\}$.

countable

countable

Needs: natural number finite

▷ **Definition 0.1.** We say that a set A is countably infinite, iff there is a bijective function $f: A \rightarrow \mathbb{N}$. A set is called countable, iff it is finite or countably infinite.

- ▶ **Idea:** Embed learning support services into active course materials.
- ▶ **Example 4.21 (Definition on Hover).** Hovering on a (cyan) term reference reminds us of its definition. (even works recursively)
- ▶ **Example 4.22 (More Definitions on Click).** Clicking on a (cyan) term reference shows us more definitions from other contexts.
- ▶ **Example 4.23 (Guided Tour).** A guided tour for a concept c assembles definitions/etc. into a self-contained mini-course culminating at c .
- ▶ ... your idea here ... (the sky is the limit)

(Practice/Remedial) Problems Everywhere

- ▶ **Problem:** Learning requires a mix of understanding and test-driven practice.
- ▶ **Idea:** ALeA supplies targeted practice problems everywhere.
- ▶ **Concretely:** Revision markers at the end of sections.

(Practice/Remedial) Problems Everywhere

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 - ▶ A relatively non-intrusive overview over [competency](#)

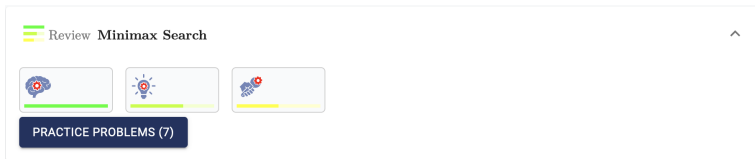


Review Minimax Search



(Practice/Remedial) Problems Everywhere

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 - ▶ A relatively non-intrusive overview over **competency**
 - ▶ Click to extend it for details.



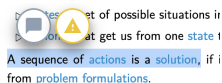
(Practice/Remedial) Problems Everywhere

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- ▶ **Concretely:** Revision markers at the end of sections.
 - ▶ A relatively non-intrusive overview over **competency**
 - ▶ Click to extend it for details.
 - ▶ Practice problems as usual. (targeted to your specific competency)

The screenshot shows a web interface for a practice problem. At the top, there are navigation links: 'Review', 'Minimax', and 'Search'. Below these are three icons representing different competencies or topics, each with a progress bar. The main content area is titled 'Problem 6 of 7' and includes 'PREV' and 'NEXT' buttons. The problem text is '(Minimax) which of the following statements about minimax are true?'. There are four radio button options: 'An extension \hat{u} of the utility function u to inner nodes. \hat{u} is computed recursively.', 'Max attempts to maximize $\hat{u}(s)$ of states reachable during play.', 'Minimax computes an online strategy', and 'Returns an optimal action, assuming perfect opponent play'. At the bottom of the problem area is a 'CHECK SOLUTION' button.

Localized Interactions with the Community

- ▶ Selecting **text** brings up **localized** – i.e. anchored on the selection – **interactions**:



set of possible situations in
at get us from one state 1
A sequence of actions is a solution, if i
from problem formulations.

- ▶ post a (public) comment or take (private) note
- ▶ report an **error** to the **course** authors/**instructors**

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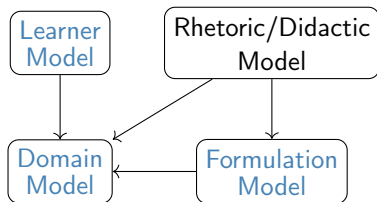
set of possible situations in
at get us from one state to
A sequence of actions is a solution, if it
from problem formulations.

- ▶ post a (public) comment or take (private) note
- ▶ report an **error** to the **course authors/instructors**
- ▶ **Localized** comments induce a thread in the **ALEA** forum (like the StudOn Forum, but targeted towards specific learning objects.)

The screenshot displays a user interface for a forum. At the top, there are two tabs: 'MY NOTES' and 'COMMENTS'. The 'COMMENTS' tab is active, showing a thread with '1 comments'. The comment is from 'Michael Kohlase' and contains the text: 'A sequence of actions is a solution. It could equivalently be defined as a sequence of actions: we can compute the state sequence from the action sequence and - given the initial state - the action sequence from the state sequence.' Below the comment, there is a 'POST' button and a 'REPLY' button. The background shows a blurred document with text about problem solving and algorithms.

- ▶ Answering questions gives **karma** $\hat{=}$ a public measure of **user** helpfulness.
- ▶ Notes can be anonymous (→ generate no karma)

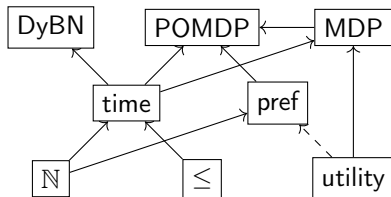
- ▶ **Idea:** Do what a teacher does!
Use/maintain four models:



(Good) teachers

- ▶ understand the objects and their properties they are talking about
- ▶ have readimade formulations how to convey them best
- ▶ and understand how these best work together
- ▶ model what the **learners** already **know**/understand and adapts them accordingly

- ▶ **Idea:** Do what a teacher does!
Use/maintain four models:
- ▶ **Ingredient 1:** Domain model $\hat{=}$ knowledge/theory graph

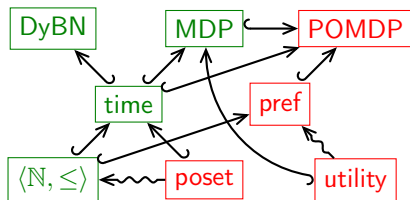


A theory graph provides (modular representation of the domain)

- ▶ symbols with URIs for all concepts, objects, and relations
- ▶ definitions, notations, and verbalizations for all symbols
- ▶ “object-oriented inheritance” and views between theories.

ALeA $\hat{=}$ Data-Driven & AI-enabled Learning Assistance

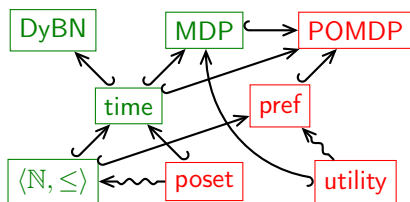
- ▶ **Idea:** Do what a teacher does!
Use/maintain four models:
- ▶ **Ingredient 1:** Domain model $\hat{=}$ knowledge/theory graph
- ▶ **Ingredient 2:** Learner model $\hat{=}$ adding competency estimations



The learner model is a function from learner IDs \times symbol URIs to competency values

- ▶ competency comes in six cognitive dimensions: remember, understand, analyze, evaluate, apply, and create.
- ▶ ALeA logs all learner interactions (keeps data learner-private)
- ▶ each interaction updates the learner model function.

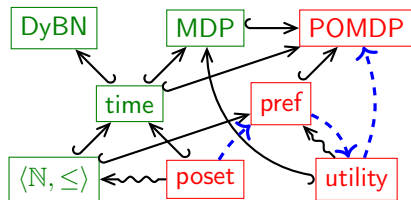
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- ▶ **Ingredient 2:** Learner model $\hat{=}$ adding competency estimations
- ▶ **Ingredient 3:** A collection of ready-formulated learning objects



Learning objects are the text fragments learners see and interact with; they are structured by

- ▶ didactic relations, e.g. tasks have prerequisites and learning objectives
- ▶ rhetoric relations, e.g. introduction, elaboration, and transition

- ▶ **Idea:** Do what a teacher does!
Use/maintain four models:
- ▶ **Ingredient 1:** Domain model $\hat{=}$ knowledge/theory graph
- ▶ **Ingredient 2:** Learner model $\hat{=}$ adding competency estimations
- ▶ **Ingredient 3:** A collection of ready-formulated learning objects
- ▶ **Ingredient 4:** Educational dialogue planner \leadsto guided tours

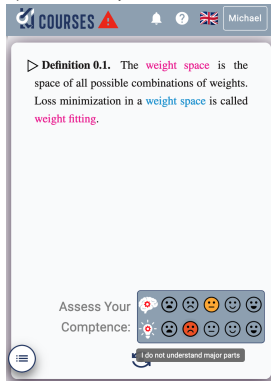
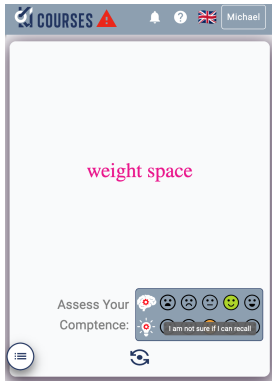


The dialogue planner assembles learning objects into active course material using

- ▶ the domain model and didactic relations to determine the order of LOs
- ▶ the learner model to determine what to show
- ▶ the rhetoric relations to make the dialogue coherent

New Feature: Drilling with Flashcards

- ▶ Flashcards challenge you with a **task** (term/problem) on the **front**...



... and the definition/answer is on the **back**.

- ▶ Self-assessment updates the **learner model** (before/after)
- ▶ **Idea:** Challenge yourself to a **card stack**, keep drilling/assessing flashcards until the **learner model** eliminates all.
- ▶ **Bonus:** Flashcards can be generated from existing semantic markup (educational equivalent to free beer)

Learner Data and Privacy in ALEA

- ▶ **Observation:** Learning support services in ALEA use the learner model; they
 - ▶ need the learner model data to adapt to the individual learner!
 - ▶ collect learner interaction data (to update the learner model)
- ▶ **Consequence:** You need to be logged in (via your FAU IDM credentials) for useful learning support services!



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- ▶ **Problem:** Learner model data is highly sensitive personal data!
- ▶ **ALeA Promise:** The ALEA team does the utmost to keep your personal data safe. (SSO via FAU IDM/eduGAIN, ALEA trust zone)



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- ▶ **ALeA Privacy Axioms:**
 1. ALEA only collects learner models data about logged in users.
 2. Personally identifiable learner model data is only accessible to its subject (delegation possible)
 3. Learners can always query the learner model about its data.
 4. All learner model data can be purged without negative consequences (except usability deterioration)
 5. Logging into ALEA is completely optional.
- ▶ **Observation:** Authentication for bonus quizzes are somewhat less optional, but you can always purge the learner model later.

Concrete Todos for ALeA

- ▶ **Recall:** You will use ALeA for the **prepquizzes** (or lose bonus points)
All other use is optional. (but AI-supported pre/postparation can be helpful)
- ▶ To use the ALeA system, you will have to **log in** via **SSO**: (do it now)
 - ▶ go to <https://courses.voll-ki.fau.de/course-home/ai-1>,
 - ▶ in the upper right hand corner you see ,
 - ▶ **log in** via your **FAU IDM credentials**. (you should have them by now)
- ▶ You get access to your personal ALeA profile via  Michael
(plus feature notifications, manual, and language chooser)

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(plus feature notifications, manual, and language chooser)
- ▶ **Problem:** Most ALeA services depend on the **learner model**. (to adapt to you)
- ▶ **Solution:** Initialize your **learner model** with your **educational** history!
 - ▶ **Concretely:** enter taken **CS courses** (FAU equivalents) and **grades**.
 - ▶ ALeA uses that to estimate your **CS/AI competencies**. (for your benefit)
 - ▶ then ALeA knows about you; I don't! (ALeA trust zone)

Chapter 2

Artificial Intelligence – Who?, What?, When?, Where?, and Why?

- ▶ Motivation, overview, and finding out what you already know
 - ▶ What is **Artificial Intelligence**?
 - ▶ What has **AI** already achieved?
 - ▶ A (very) quick walk through the AI-1 topics.
 - ▶ How can you get involved with **AI** at **KWARC**?

2.1 What is Artificial Intelligence?

What is Artificial Intelligence? Definition

- ▶ **Definition 1.1 (According to Wikipedia).** **Artificial Intelligence (AI)** is intelligence exhibited by machines
- ▶ **Definition 1.2 (also).** **Artificial Intelligence (AI)** is a sub-field of **computer science** that is concerned with the automation of intelligent behavior.
- ▶ **BUT:** it is already difficult to define **intelligence** precisely.
- ▶ **Definition 1.3 (Elaine Rich).** **Artificial Intelligence (AI)** studies how we can make the **computer** do things that humans can still do better at the moment.



What is Artificial Intelligence? Components

- ▶ **Elaine Rich:** AI studies how we can make the **computer** do things that humans can still do better at the moment.
- ▶ This needs a combination of

Inference



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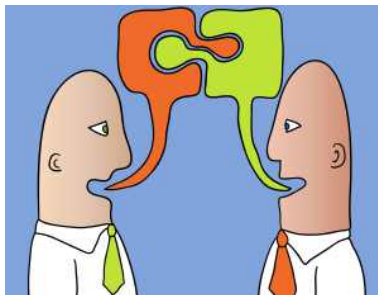
Perception



What is Artificial Intelligence? Components

- ▶ **Elaine Rich:** AI studies how we can make the computer do things that humans can still do better at the moment.
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Language understanding



What is Artificial Intelligence? Components

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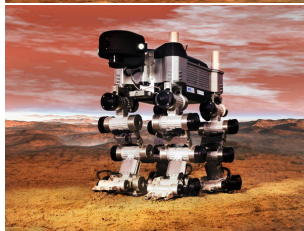
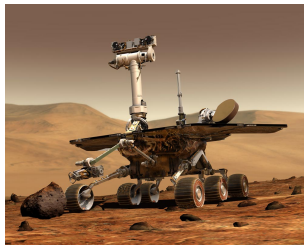
Emotion



2.2 Artificial Intelligence is here today!

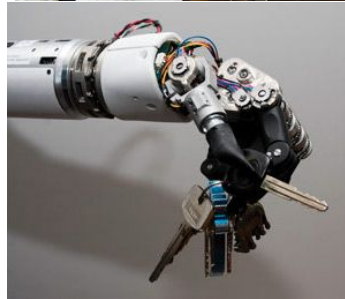
Artificial Intelligence is here today!

- ▶ in outer space
 - ▶ in outer space systems need autonomous control:
 - ▶ remote control impossible due to time lag
- ▶ in artificial limbs
- ▶ in household appliances
- ▶ in hospitals
- ▶ for safety/security



Artificial Intelligence is here today!

- ▶ in outer space
- ▶ in artificial limbs
 - ▶ the user controls the prosthesis via existing nerves, can e.g. grip a sheet of paper.
- ▶ in household appliances
- ▶ in hospitals
- ▶ for safety/security



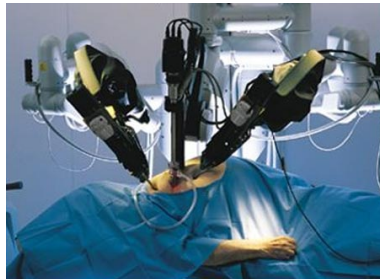
Artificial Intelligence is here today!

- ▶ in outer space
- ▶ in artificial limbs
- ▶ in household appliances
 - ▶ The iRobot Roomba vacuums, mops, and sweeps in corners, . . . , parks, charges, and discharges.
 - ▶ general robotic household help is on the horizon.
- ▶ in hospitals
- ▶ for safety/security



Artificial Intelligence is here today!

- ▶ in outer space
- ▶ in artificial limbs
- ▶ in household appliances
- ▶ in hospitals
 - ▶ in the USA 90% of the prostate operations are carried out by RoboDoc
 - ▶ Paro is a cuddly robot that eases solitude in nursing homes.
- ▶ for safety/security



Artificial Intelligence is here today!



- ▶ in outer space
- ▶ in artificial limbs
- ▶ in household appliances
- ▶ in hospitals
- ▶ for safety/security
 - ▶ e.g. Intel verifies **correctness** of all chips after the “Pentium 5 disaster”



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"It's the latest innovation in office safety.
When your computer crashes, an air bag is activated
so you won't bang your head in frustration."

The AI Conundrum

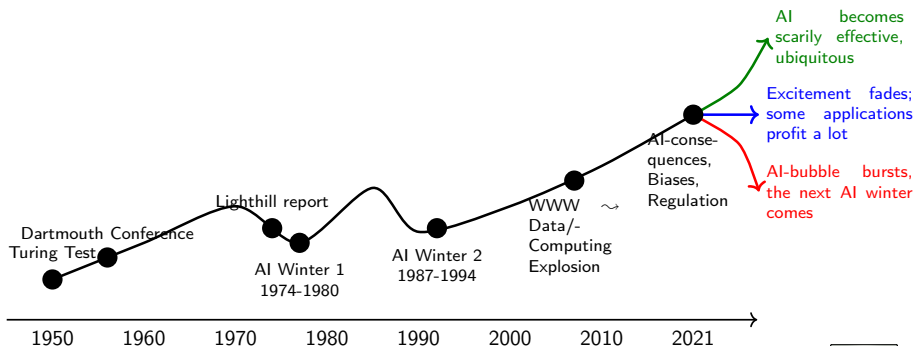
- ▶ **Observation:** Reserving the term “Artificial Intelligence” has been quite a land grab!
- ▶ **But:** researchers at the Dartmouth Conference (1956) really thought they would solve/reach AI in two/three decades.
- ▶ **Consequence:** AI still asks the big questions. (and still promises answers soon)
- ▶ **Another Consequence:** AI as a field is an incubator for many innovative technologies.
- ▶ **AI Conundrum:** Once AI solves a subfield it is called “computer science”. (becomes a separate subfield of CS)
- ▶ **Example 2.1.** Functional/Logic Programming, automated theorem proving, Planning, machine learning, Knowledge Representation, ...
- ▶ **Still Consequence:** AI research was alternatingly flooded with money and cut off brutally.

The current AI Hype — Part of a longer Story

- ▶ The history of AI as a discipline has been very much tied to the amount of funding – that allows us to do research and development.

- ▶ A potted history of AI

(AI summers and winters)

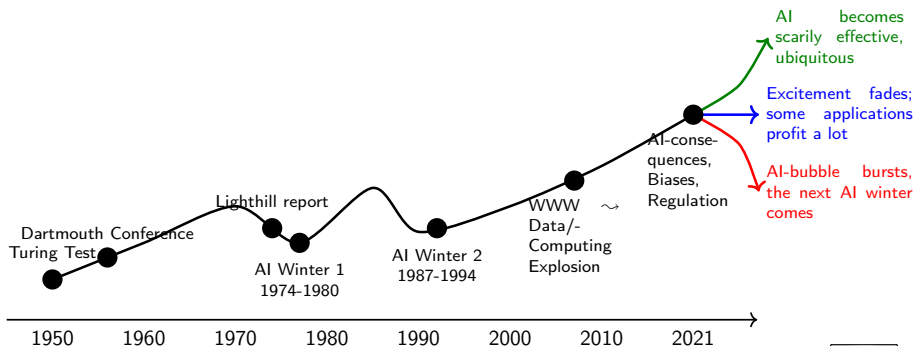


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- ▶ Funding levels are tied to public perception of success (especially for AI)

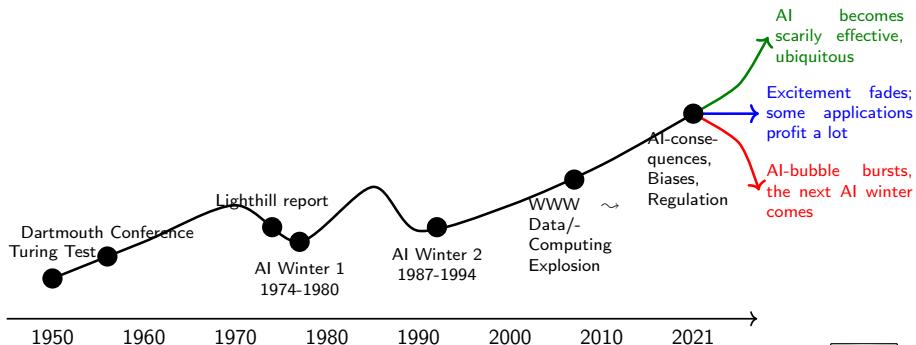
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- ▶ The history of AI as a discipline has been very much tied to the amount of funding – that allows us to do research and development.
- ▶ Funding levels are tied to public perception of success (especially for AI)
- ▶ **Definition 2.4.** An AI winter is a time period of low public perception and funding for AI, mostly because AI has failed to deliver on its – sometimes overblown – promises. An AI summer is a time period of high public perception and funding for AI.
- ▶ A potted history of AI (AI summers and winters)



2.3 Ways to Attack the AI Problem

Four Main Approaches to Artificial Intelligence

- ▶ **Definition 3.1.** **Symbolic AI** is a subfield of **AI** based on the assumption that many aspects of **intelligence** can be achieved by the manipulation of **symbols**, combining them into **meaning**-carrying structures (**expressions**) and manipulating them (using processes) to produce new **expressions**.

Four Main Approaches to Artificial Intelligence

- ▶ **Definition 3.5.** **Symbolic AI** is a subfield of **AI** based on the assumption that many aspects of **intelligence** can be achieved by the manipulation of **symbols**, combining them into **meaning**-carrying structures (**expressions**) and manipulating them (using processes) to produce new **expressions**.
- ▶ **Definition 3.6.** **Statistical AI** remedies the two shortcomings of **symbolic AI** approaches: that all concepts represented by **symbols** are crisply defined, and that all aspects of the world are knowable/representable in principle. **Statistical AI** adopts sophisticated **mathematical models** of **uncertainty** and uses them to create more accurate world models and reason about them.

Four Main Approaches to Artificial Intelligence

- ▶ **Definition 3.9.** **Symbolic AI** is a subfield of **AI** based on the assumption that many aspects of **intelligence** can be achieved by the manipulation of **symbols**, combining them into **meaning**-carrying structures (**expressions**) and manipulating them (using processes) to produce new **expressions**.
- ▶ **Definition 3.10.** **Statistical AI** remedies the two shortcomings of **symbolic AI** approaches: that all concepts represented by **symbols** are crisply defined, and that all aspects of the world are knowable/representable in principle. **Statistical AI** adopts sophisticated **mathematical models** of **uncertainty** and uses them to create more accurate world models and reason about them.
- ▶ **Definition 3.11.** **Subsymbolic AI** (also called **connectionism** or **neural AI**) is a subfield of **AI** that posits that **intelligence** is inherently tied to brains, where information is represented by a simple sequence pulses that are processed in parallel via simple calculations realized by neurons, and thus concentrates on neural computing.

Four Main Approaches to Artificial Intelligence

- ▶ **Definition 3.13.** **Symbolic AI** is a subfield of **AI** based on the assumption that many aspects of **intelligence** can be achieved by the manipulation of **symbols**, combining them into **meaning**-carrying structures (**expressions**) and manipulating them (using processes) to produce new **expressions**.
- ▶ **Definition 3.14.** **Statistical AI** remedies the two shortcomings of **symbolic AI** approaches: that all concepts represented by **symbols** are crisply defined, and that all aspects of the world are knowable/representable in principle. **Statistical AI** adopts sophisticated **mathematical models** of **uncertainty** and uses them to create more accurate world models and reason about them.
- ▶ **Definition 3.15.** **Subsymbolic AI** (also called **connectionism** or **neural AI**) is a subfield of **AI** that posits that **intelligence** is inherently tied to brains, where information is represented by a simple sequence pulses that are processed in parallel via simple calculations realized by neurons, and thus concentrates on neural computing.
- ▶ **Definition 3.16.** **Embodied AI** posits that **intelligence** cannot be achieved by **reasoning** about the state of the world (**symbolically**, **statistically**, or **connectivist**), but must be **embodied** i.e. situated in the world, equipped with a “body” that can interact with it via **sensors** and **actuators**. Here, the main method for realizing **intelligent behavior** is by **learning** from the world.

Two ways of reaching Artificial Intelligence?

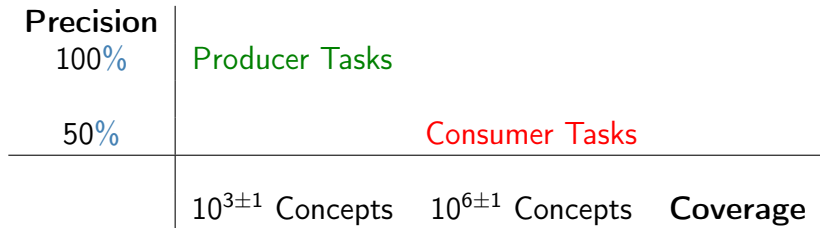
- ▶ We can classify the AI approaches by their coverage and the analysis depth(they are complementary)

| | | |
|---------------------------------|-------------------|----------------------------------|
| Deep | symbolic AI-1 | not there yet cooperation? |
| Shallow | no-one wants this | statistical/sub symbolic AI-2 |
| Analysis ↑ vs. Coverage → | Narrow | Wide |

- ▶ **This semester** we will cover foundational aspects of symbolic AI (deep/narrow processing)
- ▶ **next semester** concentrate on statistical/subsymbolic AI. (shallow/wide-coverage)

Environmental Niches for both Approaches to AI

- ▶ **Observation:** There are two kinds of applications/tasks in AI
 - ▶ **Consumer tasks:** consumer grade applications have tasks that must be fully generic and wide coverage. (e.g. machine translation like Google Translate)
 - ▶ **Producer tasks:** producer grade applications must be high-precision, but can be domain-specific (e.g. multilingual documentation, machinery-control, program verification, medical technology)



after Aarne Ranta [Ran17].


- ▶ **General Rule:** Subsymbolic AI is well suited for consumer tasks, while symbolic AI is better suited for producer tasks.
- ▶ A domain of producer tasks I am interested in: mathematical/technical documents.


2.4 Strong vs. Weak AI

Strong AI vs. Narrow AI

- ▶ **Definition 4.1.** With the term **narrow AI** (also **weak AI**, **instrumental AI**, **applied AI**) we refer to the use of software to study or accomplish *specific* problem solving or reasoning tasks (e.g. **playing chess/go**, **controlling elevators**, **composing music**, ...)
- ▶ **Definition 4.2.** With the term **strong AI** (also **full AI**, **AGI**) we denote the quest for software performing at the full range of human cognitive abilities.
- ▶ **Definition 4.3.** Problems requiring **strong AI** to solve are called **AI hard**, and **AI complete**, iff **AGI** should be able to solve them all.
- ▶ **In short:** We can characterize the difference intuitively:
 - ▶ **narrow AI:** What (most) **computer scientists** think AI is / should be.
 - ▶ **strong AI:** What **Hollywood** authors think AI is / should be.
- ▶ **Needless to say** we are only going to cover **narrow AI** in this **course!**

A few words on AGI...

- ▶ The conceptual and **mathematical** framework (**agents**, **environments** etc.) is the same for **strong AI** and **weak AI**.
- ▶ **AGI** research focuses mostly on **abstract** aspects of machine learning (**reinforcement learning**, neural nets) and decision/game theory (“which **goals** should an AGI pursue?”).
- ▶ Academic respectability of **AGI** fluctuates massively, recently increased (again). (**correlates somewhat with AI winters and golden years**)
- ▶ Public attention increasing due to talk of “existential risks of **AI**” (e.g. **Hawking**, **Musk**, **Bostrom**, **Yudkowsky**, **Obama**, ...)
- ▶ **Kohlhase's View**: **Weak AI** is here, **strong AI** is very far off. (not in my lifetime)
- ▶  : But even if that is **true**, **weak AI** will affect all of us deeply in everyday life.
- ▶ **Example 4.4**. You should not train to be an accountant or truck driver!
(**bots will replace you soon**)

- ▶ “Famous” research(ers) / organizations
 - ▶ MIRI (Machine Intelligence Research Institute), Eliezer Yudkowsky (Formerly known as “Singularity Institute”)
 - ▶ Future of Humanity Institute Oxford (Nick Bostrom),
 - ▶ Google (Ray Kurzweil),
 - ▶ AGIRI / OpenCog (Ben Goertzel),
 - ▶ petr1.org (People for the Ethical Treatment of Reinforcement Learners). (Obviously somewhat tongue-in-cheek)
- ▶  Be highly skeptical about any claims with respect to AGI! (Kohlhase's View)

2.5 AI Topics Covered

Topics of AI-1 (Winter Semester)

- ▶ Getting Started
 - ▶ What is Artificial Intelligence? (situating ourselves)
 - ▶ Logic programming in Prolog (An influential paradigm)
 - ▶ Intelligent Agents (a unifying framework)
- ▶ Problem Solving
 - ▶ Problem Solving and search (Black Box World States and Actions)
 - ▶ Adversarial search (Game playing) (A nice application of search)
 - ▶ constraint satisfaction problems (Factored World States)
- ▶ Knowledge and Reasoning
 - ▶ Formal Logic as the mathematics of Meaning
 - ▶ Propositional logic and satisfiability (Atomic Propositions)
 - ▶ First-order logic and theorem proving (Quantification)
 - ▶ Logic programming (Logic + Search \rightsquigarrow Programming)
 - ▶ Description logics and semantic web
- ▶ Planning
 - ▶ Planning Frameworks
 - ▶ Planning Algorithms
 - ▶ Planning and Acting in the real world

- ▶ Uncertain Knowledge and Reasoning
 - ▶ Uncertainty
 - ▶ Probabilistic reasoning
 - ▶ Making Decisions in Episodic Environments
 - ▶ Problem Solving in Sequential Environments
- ▶ Foundations of machine learning
 - ▶ Learning from Observations
 - ▶ Knowledge in Learning
 - ▶ Statistical Learning Methods
- ▶ Communication

(If there is time)

AI1SysProj: A Systems/Project Supplement to AI-1

- ▶ The AI-1 **course** concentrates on concepts, theory, and **algorithms** of **symbolic AI**.
- ▶ **Problem:** Engineering/Systems Aspects of **AI** are very important as well.
- ▶ **Partial Solution:** Getting your hands dirty in the homeworks and the Kalah Challenge

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 - ▶ For each Topic of AI-1, there will be a mini-project in AI1SysProj
 - ▶ e.g. for game-play there will be Chinese Checkers (more difficult than Kalah)
 - ▶ e.g. for CSP we will schedule TechFak **courses** or **exams** (from real data)
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- ▶ **Answer:** It depends ... (on your situation)
 - ▶ most master's **programs** require a 10-ECTS "Master's Project" (Master AI: two)
 - ▶ there will be a great pressure on project places (so reserve one early)
 - ▶ BUT 10 **ECTS** $\hat{=}$ 250-300 hours involvement by definition (1/3 of your time/ECTS)

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- ▶ **BTW:** There will also be an AI2SysProj next **semester!** (another chance)

2.6 AI in the KWARC Group

- ▶ **Observation:** The ability to **represent knowledge** about the world and to **draw logical inferences** is one of the central components of **intelligent behavior**.
- ▶ **Thus:** reasoning components of some form are at the heart of many AI systems.
- ▶ **KWARC Angle:** Scaling up (web-coverage) without dumbing down (too much)
 - ▶ **Content markup** instead of full formalization (too tedious)
 - ▶ **User support** and **quality control** instead of “The Truth” (elusive anyway)
 - ▶ use **Mathematics** as a test tube (\triangleleft **Mathematics** $\hat{=}$ **Anything Formal** \triangleleft)
 - ▶ care more about applications than about philosophy (we cannot help getting this right anyway as logicians)
- ▶ The **KWARC** group was established at Jacobs Univ. in 2004, moved to FAU Erlangen in 2016
- ▶ see <http://kwarc.info> for projects, publications, and links

Overview: KWARC Research and Projects

Applications: eMath 3.0, Active Documents, Active Learning, Semantic Spreadsheets/CAD/CAM, Change Management, Global Digital Math Library, Math Search Systems, **SMGloM:** Semantic Multilingual Math Glossary, Serious Games, ...

Foundations of Math:

- ▶ **MathML**, *OpenMath*
- ▶ advanced Type Theories
- ▶ **MMT:** Meta Meta Theory
- ▶ Logic Morphisms/Atlas
- ▶ Theorem Prover/CAS Interoperability
- ▶ Mathematical Models/Simulation

KM & Interaction:

- ▶ Semantic Interpretation (aka. Framing)
- ▶ math-literate interaction
- ▶ **MathHub:** math archives & active docs
- ▶ Active documents: embedded semantic services
- ▶ Model-based Education

Semantization:

- ▶ **L^AT_EXML:** L^AT_EX \rightsquigarrow XML
- ▶ **S_TE_X:** Semantic L^AT_EX
- ▶ invasive editors
- ▶ Context-Aware IDEs
- ▶ Mathematical Corpora
- ▶ Linguistics of Math
- ▶ ML for Math Semantics Extraction

Foundations: Computational Logic, Web Technologies, **OMDoc/MMT**

Research Topics in the KWARC Group

- ▶ We are always looking for bright, motivated KWARCies.
- ▶ We have topics in for all levels! (Enthusiast, Bachelor, Master, Ph.D.)
- ▶ List of current topics: <https://gl.kwarc.info/kwarc/thesis-projects/>
 - ▶ Automated Reasoning: Maths Representation in the Large
 - ▶ Logics development, (Meta)ⁿ-Frameworks
 - ▶ Math Corpus Linguistics: Semantics Extraction
 - ▶ Serious Games, Cognitive Engineering, Math Information Retrieval, Legal Reasoning, ...
 - ▶ ... last but not least: KWARC is the home of **ALEA!**
- ▶ We always try to find a topic at the intersection of your and our interests.
- ▶ We also sometimes have positions!. (HiWi, Ph.D.: $\frac{1}{2}$ E-13, PostDoc: full E-13)

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