

Subtyping in Dependently-Typed Higher-Order Logic

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Abstract

The recently introduced dependent typed higher-order logic (DHOL) offers an interesting compromise between expressiveness and automation support. It sacrifices the decidability of its type-system in order to significantly extend its expressiveness over standard HOL. It retains proof automation support via a sound and complete translation to HOL.

We leverage this design to extend DHOL with refinement and quotient types. Both of these are type operators commonly requested by practitioners, but they are very difficult to retrofit into a logic designed for decidable typing. In DHOL, however, adding them is not only possible but simple and elegant. In particular, we realize both as special cases of subtyping, i.e., the associated canonical operations are identity maps that do not require costly changes in representation. We rigorously work out the syntax and semantics of the extended language, including the proof of soundness and completeness.

2012 ACM Subject Classification Theory of computation

Keywords and phrases higher-order logic, dependent types, refinement types, quotient types, subtyping, automated reasoning

Digital Object Identifier 10.4230/LIPIcs...

1 Introduction and Related Work

Motivation Recently we introduced dependently-typed higher-order logic (DHOL) [14]. It can be seen as an extension of HOL [4, 8] that uses dependent function types $\Pi x:A. B$ instead of simple function types $A \rightarrow B$. It is designed to stay as simple and as close to HOL as possible while meeting the frequent user demand of supporting dependent types. Contrary to typical formulations of dependent type theory such as Martin-Löf type theory [10] and implementations in proof assistants [12, 6, 7], DHOL does not employ a sophisticated treatment of equality that keeps typing decidable. Instead, it uses a straightforward formulation of equality at the cost of making typing undecidable.

Concretely, DHOL uses a type `bool` of propositions in the style of HOL, and equality $s =_A t : \text{bool}$ of typed terms is a proposition, whose truth may depend on axioms in the theory or assumptions in the context. Equality $A \equiv B$ of types is a judgment with a straightforward congruence rule: if a dependent type constructor is applied to equal arguments, it produces equal types. Thus, equality of types and all typing judgments depend on term equality and are undecidable. To obtain practical tool support, DHOL reduces every typing judgment to

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a series of proof obligations, and [14] gives a sound and complete translation to HOL that allows using existing automated theorem provers (ATPs) for HOL to discharge these.

The subtle interaction between dependent types and decidability of typing is well-known, and logic designers have traditionally shied away from undecidable typing. Indeed, only a few major systems for dependent types have embraced it: PVS [13] and Mizar [3], although based on very different foundations, feature dependent functions and refinement types in a way similar to our work. Nuprl [5] uses a very expressive type theory that features refinement and quotient types similar to ours. With ATPs becoming ever stronger, this approach of accepting undecidable typing in order to obtain simpler languages is becoming more appealing: For example, after our publication of DHOL, it took the ATP community only one year to build a native ATP for DHOL [11].

Contribution In the present paper, we leverage that DHOL’s meta-theory and infrastructure are in place to deal with undecidable typing: we extend DHOL with refinement and quotient types. Both are inherently undecidable and therefore often difficult to add to languages designed to keep typing decidable. We extend the DHOL→HOL-translation accordingly and prove soundness and completeness for the extended language.

Refinement types $A|_p$ consist of all objects of type A that satisfy the predicate $p:A \rightarrow \text{bool}$. They correspond to comprehension in set theory. We had already sketched this extension of DHOL in [14]. A major advantage of this approach is that it allows leveraging subtyping to move between types without a change in representation. For example, we have the subtyping statement $A|_p \prec: A$ and the injection $A|_p \rightarrow A$ is a no-op, whereas the usual approach in dependent type theory (i.e., representing $A|_p$ as $\Sigma x:A. B$) requires projecting out the first component to move between the types. Similarly, we have $A \rightarrow B \prec: (A|_p) \rightarrow B$, whereas the usual approach in set theory (i.e., representing a function $A \rightarrow B$ as a set of $A \times B$ pairs) requires restricting the function to a smaller domain to move between the types.

Quotient types A/r , intuitively, consist of all equivalence classes of objects of type A relative to the equivalence relation $r:A \rightarrow A \rightarrow \text{bool}$. But we again leverage subtyping to obtain a more efficient representation: We use every object of type A as an object of type A/r and adjust the equality $=_{A/r}$ to obtain the quotient semantics. Thus, the projection $A \rightarrow A/r$ is a no-op and $A \prec: A/r$. The usual approach in set theory, on the other hand, (i.e., using equivalence classes as elements of the quotient) requires a change of representation. Similarly, the usual approach in dependent type theory (i.e., using setoids to represent quotients) requires explicit operations to represent the elements of the quotient.

The statement $A \prec: A/r$ may look odd. It is sound because we use a different equality relation at the two types: $x =_A y$ implies $x =_{A/r} y$ but not the other way round. We hold that our approach is not only justified by mathematical practice but provides an elegant formalization of it. Indeed, wherever possible, practitioners use elements of A as if they were elements of the quotient and avoid using equivalence classes, often to the point that readers do not even notice anymore that they are technically working in a quotient, e.g., in group presentations or field extensions. But in formal systems, this approach has been adopted only occasionally, e.g., in Nuprl’s quotients [5] or in Quotient Haskell in [2].

Together, this yields the subtype hierarchy of refinements and quotients of type A as in $A|_{\lambda x:A. \text{false}} \prec: \dots \prec: A|_r \prec: \dots \prec: A|_{\lambda x:A. \text{true}} \equiv A \equiv A/_=A \prec: \dots \prec: A/r \prec: \dots \prec: A/\lambda x, y:A. \text{true}$ ranging from the initial objects in the category of types, which are empty, to the terminal objects, which are singleton types.

Overview We give a self-contained definition of grammar, judgments, and inference system of DHOL in Sect. 2. Then we introduce our subtyping framework in Sect. 3, refinements in Sect. 4, and quotients in Sect. 5. We develop the meta-theory in Sect. 6 and Sect. 7, describing normalizing resp. soundness/completeness. We sketch an application to formalizing typed set theory, which partially motivated this paper, in Sect. 8, and we conclude in Sect. 9.

2 Preliminaries: Dependently Type Higher-Order Logic

2.1 Syntax

The grammar of DHOL [14] is given below. A theory **true** consists of dependent type declarations $\mathbf{a}:\Pi x_1:A_1. \dots \Pi x_n:A_n. \mathbf{tp}$, which are applied to arguments to obtain base types $\mathbf{a} \ t_1 \dots t_n$. Additionally, a theory declares typed constants $\mathbf{c}:A$ and axioms $\triangleright F$. Contexts declare typed variables $x:A$ and local assumptions $\triangleright F$ (but no new types).

T	$::=$	$\circ \mid T, \mathbf{a}:(\Pi x:A.)^* \mathbf{tp} \mid T, \mathbf{c}:A \mid T, \triangleright F$	theories
Γ	$::=$	$\cdot \mid \Gamma, x:A \mid \Gamma, \triangleright F$	contexts
A, B	$::=$	$\mathbf{a} \ t^* \mid \Pi x:A. B \mid \mathbf{bool}$	types
s, t, F, G	$::=$	$\mathbf{c} \mid x \mid \lambda x:A. t \mid s \ t \mid s =_A t \mid F \Rightarrow G$	terms (including propositions)

DHOL arises in a straightforward way from HOL by adding dependent function types $\Pi x:A. B$, whose functions map each argument $x:A$ to a result in $B(x)$. We write this type as $A \rightarrow B$ if x does not occur free in B . Dependent function types come with terms $\lambda x:A. t$ for function construction and $s \ t$ for function application.

Following typical HOL-style [1], we use a minimal set of connectives, essentially defining all connectives and quantifiers from the equality connective $s =_A t$. Critically, we use a single axiomatic equality $s =_A t$ in the style of FOL and HOL combine it with a straightforward congruence rule for base types: our rules below derive the type equality $\mathbf{a} \ s_1 \dots s_n \equiv \mathbf{a} \ t_1 \dots t_n$ if each term s_i is equal to t_i . This makes type equality and thus typing undecidable.

Because of this undecidability, and contrary to HOL, we need *dependent* binary connectives: in an implication $F \Rightarrow G$, the well-formedness of G may depend on the truth of F . This cannot be defined from equality alone, which is why we make dependent implication an additional primitive. Dependent conjunction and disjunction are definable and behave accordingly. Another consequence of undecidable well-formedness is that the well-formedness of a declaration in a theory/context may depend on previous axioms/assumptions. Therefore, our theories/contexts are lists in which declarations and axioms/assumptions may alternate.

DHOL is a conservative extension of HOL. We can recover HOL as the fragment of DHOL in which all base types \mathbf{a} have arity 0. Then all function types are simple, typing is decidable, and thus all axioms/assumptions can be collected into a set.

► **Example 1** (Lists). As a running example, we consider a formalization of lists over some type **obj**, both plain lists **list** and lists **llist** n with fixed length. It is given in Fig. 1. Now for example, the statement of associativity of **lconc** is only well-typed if we have previously stated the associativity of **plus**.

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nat: tp,    zero: nat,    succ: nat → nat,    plus: nat → nat → nat,
obj: tp,    list: tp,    nil: list,    cons: obj → list → list,    conc: list → list → list,
llist: nat → tp,    lnil: llist zero,
lcons: Πn:nat. obj → llist n → llist (succ n),
lconc: Πm,n:nat. llist m → llist n → llist (plus m n)

```

■ **Figure 1** Lists in DHOL as used in Ex. 1

Name	Judgment	Intuition
theories	$\vdash T \text{ Thy}$	T is well-formed theory
contexts	$\vdash_{\top} \Gamma \text{ Ctx}$	Γ is well-formed context
types	$\Gamma \vdash_{\top} A \text{ tp}$	A is well-formed type
typing	$\Gamma \vdash_{\top} t : A$	t is a well-formed term of well-formed type A
validity	$\Gamma \vdash_{\top} F$	well-formed Boolean F is derivable
equality of types	$\Gamma \vdash_{\top} A \equiv B$	well-formed types A and B are equal

■ **Figure 2** DHOL Judgments

114 2.2 Inference System

115 DHOL uses the judgments given in Fig. 2 and the rules listed in Fig. 3. Note that while
 116 equality of terms is a Boolean term and thus equality of terms is a special case of validity,
 117 equality of types is not a Boolean and is a separate judgment. In particular, users cannot
 118 state axioms that identify types, and the only type equality is given by the congruence rules.
 119 Also note how the typing rule for implication allows using the truth of F when checking G .

120 The rules are straightforward and induce a type-checking algorithm in the usual way. In
 121 particular, type equality is checked structurally and reduced to a set of term equalities, which
 122 must be discharged by an ATP.

123 2.3 Translation to HOL

124 We obtain a semantics of DHOL and a practical ATP workflow via a sound and complete
 125 translation to HOL [1, 8]. HOL can be obtained as the fragment of DHOL where dependent
 126 types take no arguments and thus all function types are simple. The translation is *dependency*
 127 *erasure*: the identity translation except for erasing all arguments of base types, i.e., translating
 128 dependent types $a \ t_1 \ \dots \ t_n$ to simple types a , effectively “merging” all instances of dependent
 129 types into a larger simple type. The general structure is given in Fig. 4 and the concrete
 130 definition in Fig. 5.

131 Typing and equality are preserved by generating a partial equivalence relation (PER) A^*
 132 for every type A . In general, a PER r on type U is a symmetric and transitive relation on
 133 U . This is equivalent to r being an equivalence relation on a subtype of U . The intuition
 134 behind our translation is that the DHOL-type A corresponds in HOL to the quotient of
 135 the appropriate subtype of A by the equivalence A^* . All terms are translated to their HOL
 136 analogue except that equality is translated to the respective PER: $\overline{s =_A t} = A^* \ \overline{s} \ \overline{t}$. In
 137 particular, the predicate $A^* \ \overline{t} \ \overline{t}$ captures whether t represents a term of type A . For n -ary
 138 dependent type constructors a , the translation generates an $n + 2$ -ary predicate a^* such
 139 that $a^* \ \overline{t_1} \ \dots \ \overline{t_n}$ is the PER for $a \ t_1 \ \dots \ t_n$. For function types, the PER is defined using

Theories and contexts:

$$\begin{array}{c} \frac{}{\vdash \circ \text{Thy}} \quad \frac{\vdash_{\text{T}} x_1:A_1, \dots, x_n:A_n \text{ Ctx}}{\vdash T, \mathbf{a}:\Pi x_1:A_1. \dots \Pi x_n:A_n. \text{tp Thy}} \quad \frac{\vdash_{\text{T}} A \text{ tp}}{\vdash T, \mathbf{c}:A \text{ Thy}} \quad \frac{\vdash_{\text{T}} F:\text{bool}}{\vdash T, \triangleright F \text{ Thy}} \\[10pt] \frac{\vdash T \text{ Thy}}{\vdash_{\text{T}} \text{Ctx}} \quad \frac{\Gamma \vdash_{\text{T}} A \text{ tp}}{\vdash_{\text{T}} \Gamma, x:A \text{ Ctx}} \quad \frac{\Gamma \vdash_{\text{T}} F:\text{bool}}{\vdash_{\text{T}} \Gamma, \triangleright F \text{ Ctx}} \end{array}$$

Well-formedness and equality of types:

$$\begin{array}{c} \frac{\mathbf{a}:\Pi x_1:A_1. \dots \Pi x_n:A_n. \text{tp in } T \quad \Gamma \vdash_{\text{T}} t_1:A_1 \quad \dots \quad \Gamma \vdash_{\text{T}} t_n:A_n[x_1/t_1] \dots [x_{n-1}/t_{n-1}]}{\Gamma \vdash_T \mathbf{a} \ t_1 \dots t_n \text{tp}} \quad \frac{\vdash_{\text{T}} \Gamma \text{ Ctx}}{\Gamma \vdash_{\text{T}} \text{bool tp}} \quad \frac{\Gamma \vdash_{\text{T}} A \text{ tp} \quad \Gamma, x:A \vdash_{\text{T}} B \text{ tp}}{\Gamma \vdash_{\text{T}} \Pi x:A. B \text{ tp}} \\[10pt] \frac{\mathbf{a}:\Pi x_1:A_1. \dots \Pi x_n:A_n. \text{tp in } T \quad \Gamma \vdash_{\text{T}} s_1=A_1 \ t_1 \quad \dots \quad \Gamma \vdash_{\text{T}} s_n=A_n[x_1/t_1] \dots [x_{n-1}/t_{n-1}] \ t_n}{\Gamma \vdash_{\text{T}} \mathbf{a} \ s_1 \dots s_n \equiv \mathbf{a} \ t_1 \dots t_n} \quad \frac{\vdash_{\text{T}} \Gamma \text{ Ctx}}{\Gamma \vdash_{\text{T}} \text{bool} \equiv \text{bool tp}} \quad \frac{\Gamma \vdash_{\text{T}} A \equiv A' \quad \Gamma, x:A \vdash_{\text{T}} B \equiv B'}{\Gamma \vdash_{\text{T}} \Pi x:A. B \equiv \Pi x:A'. B'} \end{array}$$

Typing:

$$\begin{array}{c} \frac{c:A' \text{ in } T \quad \Gamma \vdash_{\text{T}} A' \equiv A}{\Gamma \vdash_{\text{T}} \mathbf{c}:A} \quad \frac{\Gamma, x:A \vdash_{\text{T}} t:B \quad A' \equiv A}{\Gamma \vdash_{\text{T}} (\lambda x:A. t):\Pi x:A'. B} \quad \frac{\Gamma \vdash_{\text{T}} F:\text{bool} \quad \Gamma, \triangleright F \vdash_{\text{T}} G:\text{bool}}{\Gamma \vdash_{\text{T}} F \Rightarrow G:\text{bool}} \\[10pt] \frac{x:A' \text{ in } \Gamma \quad \Gamma \vdash_{\text{T}} A' \equiv A}{\Gamma \vdash_{\text{T}} x:A} \quad \frac{\Gamma \vdash_{\text{T}} f:\Pi x:A. B \quad \Gamma \vdash_{\text{T}} t:A}{\Gamma \vdash_{\text{T}} f \ t:B[x/t]} \quad \frac{\Gamma \vdash_{\text{T}} s:A \quad \Gamma \vdash_{\text{T}} t:A}{\Gamma \vdash_{\text{T}} s =_A t:\text{bool}} \end{array}$$

Equality: congruence, reflexivity, symmetry, β , η (derivable: transitivity, functional extensionality):

$$\begin{array}{c} \frac{\Gamma \vdash_{\text{T}} A \equiv A' \quad \Gamma, x:A \vdash_{\text{T}} t =_B t'}{\Gamma \vdash_{\text{T}} \lambda x:A. t =_{\Pi x:A. B} \lambda x:A'. t'} \quad \frac{\Gamma \vdash_{\text{T}} t =_A t' \quad \Gamma \vdash_{\text{T}} f =_{\Pi x:A. B} f'}{\Gamma \vdash_{\text{T}} f \ t =_B f' \ t'} \\[10pt] \frac{\Gamma \vdash_{\text{T}} t:A}{\Gamma \vdash_{\text{T}} t =_A t} \quad \frac{\Gamma \vdash_{\text{T}} t =_A s}{\Gamma \vdash_{\text{T}} s =_A t} \quad \frac{\Gamma \vdash_{\text{T}} (\lambda x:A. s) \ t:B}{\Gamma \vdash_{\text{T}} (\lambda x:A. s) \ t =_B s[x/t]} \quad \frac{\Gamma \vdash_{\text{T}} t:\Pi x:A. B}{\Gamma \vdash_{\text{T}} t =_{\Pi x:A. B} \lambda x:A. t \ x} \end{array}$$

Rules for validity: lookup, implication, Boolean equality and extensionality

$$\begin{array}{c} \frac{\triangleright F \text{ in } T \quad \vdash_{\text{T}} \Gamma \text{ Ctx}}{\Gamma \vdash_{\text{T}} F} \quad \frac{\Gamma, \triangleright F \vdash_{\text{T}} G}{\Gamma \vdash_{\text{T}} F \Rightarrow G} \quad \frac{\Gamma \vdash_{\text{T}} F =_{\text{bool}} F' \quad \Gamma \vdash_{\text{T}} F'}{\Gamma \vdash_{\text{T}} F} \\[10pt] \frac{\triangleright F \text{ in } \Gamma \quad \vdash_{\text{T}} \Gamma \text{ Ctx}}{\Gamma \vdash_{\text{T}} F} \quad \frac{\Gamma \vdash_{\text{T}} F \Rightarrow G \quad \Gamma \vdash_{\text{T}} F}{\Gamma \vdash_{\text{T}} G} \quad \frac{\Gamma \vdash_{\text{T}} p \text{ true} \quad \Gamma \vdash_{\text{T}} p \text{ false}}{\Gamma, x:\text{bool} \vdash_{\text{T}} p \ x} \end{array}$$

■ **Figure 3** DHOL Rules

the usual condition for logical relations: functions are related if they map related inputs to related outputs.

3 Subtyping

Definition The treatment of quotients as subtypes and the use of different equality relations at different types are subtly difficult. Therefore, we first introduce a general definition that captures the essence of subtyping once and for all, and from which we will derive all concrete subtyping rules later on:

► **Definition 2** (Subtyping). $\Gamma \vdash_{\text{T}} A \prec: B$ abbreviates $\Gamma, x:A \vdash_{\text{T}} x:B$.

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DHOL	HOL
type A	type \overline{A} and PER $A^*: \overline{A} \rightarrow \overline{A} \rightarrow \text{bool}$
term $t:A$	term $\overline{t}:\overline{A}$ satisfying $A^* \overline{t} \overline{t}$

■ **Figure 4** Structure of DHOL→HOL translation

Theories and contexts, declaration-wise:

$$\begin{aligned}
\overline{\circ} &:= \circ & \overline{T, D} &:= \overline{T}, \overline{D} & \overline{\cdot} &:= \cdot & \overline{T, D} &:= \overline{T}, \overline{D} \\
\overline{a:\Pi x_1:A_1. \dots \Pi x_n:A_n. \text{tp}} &:= a:\text{tp}, \quad a^*:\overline{A_1} \rightarrow \dots \rightarrow \overline{A_n} \rightarrow a \rightarrow a \rightarrow \text{bool}, \\
&\quad \triangleright \forall x_1:\overline{A_1}. \dots \forall x_n:\overline{A_n}. \forall u, v:a. \quad a^* x_1 \dots x_n u v \Rightarrow u =_a v \\
\overline{c:A} &:= c:\overline{A}, \triangleright A^* c c & \overline{x:A} &:= x:\overline{A}, \triangleright A^* x x \\
\overline{\triangleright F} &:= \triangleright \overline{F} & \overline{\triangleright F} &:= \triangleright \overline{F}
\end{aligned}$$

Types:

$$\begin{aligned}
\overline{a \, t_1 \dots t_n} &:= a & \overline{(a \, t_1 \dots t_n)^* s \, t} &:= a^* \overline{t_1} \dots \overline{t_n} \, s \, t \\
\overline{\Pi x:A. B} &:= \overline{A} \rightarrow \overline{B} & \overline{(\Pi x:A. B)^* f \, g} &:= \forall x, y:\overline{A}. A^* x y \Rightarrow B^* (f \, x) (g \, y) \\
\overline{\text{bool}} &:= \text{bool} & \overline{\text{bool}^* s \, t} &:= s =_{\text{bool}} t
\end{aligned}$$

Terms:

$$\begin{aligned}
\overline{c} &:= c & \overline{x} &:= x & \overline{\lambda x:A. t} &:= \lambda x:\overline{A}. \overline{t} & \overline{f \, t} &:= \overline{f} \, \overline{t} \\
\overline{s =_A t} &:= A^* \overline{s} \, \overline{t} & \overline{F \Rightarrow G} &:= \overline{F} \Rightarrow \overline{G}
\end{aligned}$$

■ **Figure 5** Definition of the Translation DHOL→HOL

148 Note that this definition is independent of any concrete type operators being part of the
 149 language. It is also very intuitive: users can immediately understand whether subtyping
 150 should hold. But it is not as general as one might think:

151 ► **Lemma 3.** *In any extension of DHOL, $\Gamma \vdash_{\top} A \prec B$ is equivalent to the derivability of*

$$\frac{\Gamma \vdash_{\top} t:A}{\Gamma \vdash_{\top} t:B}$$

153 **Proof.** Left-to-right: We construct the function $\lambda x:A. x:A \rightarrow B$ and derive the needed rule
 154 using the typing rule for function application.

155 Right-to-left: We start with $\Gamma, x:A \vdash_{\top} x:A$ and apply the derivable rule. ◀

156 Thus, our subtyping relation rules out *incidental* subtype instances, where the rule from
 157 Lem. 3 is only admissible but not derivable. For example, the empty type $A|_{\lambda x:A. \text{false}}$ will be a
 158 subtype of any refinement of A , but not of all types. More generally, our definition precludes
 159 using induction on the terms of A to conclude $A \prec B$. That restriction ensures that
 160 subtyping is preserved under, e.g., theory extensions, substitution, or language extensions.
 161 Importantly, subtyping preserves equality:

162 ► **Lemma 4.** *In any extension of DHOL, $\Gamma \vdash_{\mathbf{T}} A \prec: B$ implies $\Gamma, x:A, y:A, \triangleright x =_A y \vdash_{\mathbf{T}} x =_B y$*
 163 *(which is equivalent to $\Gamma \vdash_{\mathbf{T}} \forall x:A. \forall y:A. x =_A y \Rightarrow x =_B y$).*

164 *If the rule $\frac{\Gamma \vdash_{\mathbf{T}} s =_A t}{\Gamma \vdash_{\mathbf{T}} s:A} (*)$ is admissible, then the converse holds, too.*

165 **Proof.** Left-to-right: The subtyping assumption yields $\Gamma, x:A, y:A, \triangleright x =_A y \vdash_{\mathbf{T}} (\lambda x:A. x):A \rightarrow$
 166 B . Using the congruence of function application and reflexivity, we obtain $\Gamma, x:A, y:A, \triangleright x =_A y \vdash_{\mathbf{T}}$
 167 $(\lambda x:A. x) x =_B (\lambda x:A. x) y$, which yields $x =_B y$ by β -reduction.

168 Right-to-left: In context $\Gamma, x:A$ we can derive $x =_A x$ by reflexivity. The assumption now
 169 yields $\Gamma, x:A \vdash_{\mathbf{T}} x =_B x$, from which we get $\Gamma, x:A \vdash_{\mathbf{T}} x:B$ by $*$. ◀

170 Intuitively, the condition $*$ is necessary because establishing that $x =_B y$ is well-typed at
 171 all is already equivalent to showing that $x, y:B$. It is satisfied by DHOL and all extensions
 172 introduced in this paper but must be checked separately for each extension. If satisfied,
 173 we characterize subtyping through truth as $\Gamma \vdash_{\mathbf{T}} A \prec: B$ iff $\Gamma \vdash_{\mathbf{T}} \forall x, y:A. x =_A y \Rightarrow x =_B y$.
 174 But the practicality of this characterization depends on the design choices of the individual
 175 theorem provers, which may very well violate $*$ on purpose for efficiency or accidentally due
 176 to subtle implementation errors.

177 **Subtype Ordering** We want subtyping to be an order relation on types.

178 ► **Lemma 5.** *In any extension of DHOL, subtyping is reflexive (in the sense that $\Gamma \vdash_{\mathbf{T}} A \equiv B$*
 179 *implies $\Gamma \vdash_{\mathbf{T}} A \prec: B$) and transitive.*

180 **Proof.** Reflexivity: The assumption yields $\Gamma \vdash_{\mathbf{T}} \lambda x:A. x:A \rightarrow B$ and we also have $\Gamma \vdash_{\mathbf{T}} \lambda x:A. x:$
 181 $A \rightarrow A$. Applying both to a term t of type A and β -reducing yields the rule from from
 182 Lem. 3.

183 Transitivity: This follows immediately from Lem. 3. ◀

184 However, subtyping is not anti-symmetric with respect to \equiv , i.e., we might have $\Gamma \vdash_{\mathbf{T}} A \prec: B$
 185 and $\Gamma \vdash_{\mathbf{T}} B \prec: A$ without being able to derive $\Gamma \vdash_{\mathbf{T}} A \equiv B$. We make it so by *adding* the
 186 following rule

$$187 \quad \frac{\Gamma \vdash_{\mathbf{T}} A \prec: B \quad \Gamma \vdash_{\mathbf{T}} B \prec: A}{\Gamma \vdash_{\mathbf{T}} A \equiv B} \text{STantisym}$$

188 Note that this is the only *change* we are making to DHOL here — everything before has just
 189 been abbreviations. Our change is conservative in the following sense:

190 ► **Theorem 6** (Conservativity for Plain DHOL). *In DHOL as defined so far, we have that*
 191 $A \prec: B$ *iff* $A \equiv B$.

192 **Proof.** We show by induction on derivations that each term has a unique type up to type
 193 equality and that all term equality axioms satisfy the subject reduction property. ◀

194 In other words, DHOL (without the extension we are about to make) has no non-trivial
 195 subtyping at this point.

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196 **Derivable Rules** As a first exercise of our definitions, we obtain the usual congruence and
 197 variance rule for function types:

198 ► **Theorem 7** (Equality and Variance for Function Types). *The following rules are derivable*

$$199 \frac{\Gamma \vdash_{\top} A' \prec: A \quad \Gamma, x:A' \vdash_{\top} B \prec: B'}{\Gamma \vdash_{\top} \Pi x:A. B \prec: \Pi x:A'. B'} \quad \frac{\Gamma \vdash_{\top} A' \equiv A \quad \Gamma, x:A' \vdash_{\top} B \equiv B'}{\Gamma \vdash_{\top} \Pi x:A. B \equiv \Pi x:A'. B'}$$

200 Note that the second rule in Lem. 7 is already part of DHOL (see Fig. 3). So derivability
 201 here means it is now derivable from the remaining rules and thus redundant.

202 **Proof.** The first rule is derived by expanding the definition of subtyping, using η -expansion
 203 of the function under consideration. The second rule is derived using (STantisym) and then
 204 establishing the two hypotheses using the variance rule and reflexivity of subtyping. ◀

4 Refinement types

206 **Syntax** To add refinement types, we add only one production to the grammar:

207 $A ::= A|_p$ type A refined by predicate p on A

208 Note that we do not add productions for terms — refinement types only provide new typing
 209 properties for the existing terms.

210 **Inference System** The rules for, respectively, formation, introduction, elimination (two
 211 rules), and equality for refinement types are:

$$212 \frac{\Gamma \vdash_{\top} p:A \rightarrow \text{bool}}{\Gamma \vdash_{\top} A|_p \text{ tp}} \quad \frac{\Gamma \vdash_{\top} t:A \quad \Gamma \vdash_{\top} p t}{\Gamma \vdash_{\top} t:A|_p} \quad \frac{\Gamma \vdash_{\top} t:A|_p}{\Gamma \vdash_{\top} t:A} \quad \frac{\Gamma \vdash_{\top} t:A|_p}{\Gamma \vdash_{\top} p t} \quad \frac{\Gamma \vdash_{\top} s=A t \quad \Gamma \vdash_{\top} p s}{\Gamma \vdash_{\top} s=A|_p t}$$

► **Example 8** (Refining Lists by Length). We extend Ex. 1 by obtaining fixed-length lists as
 a refinement of lists. First, we declare a predicate `length` on lists defined by two axioms:

`length: list → nat`
`▷ length nil =nat zero`
`▷ ∀ x:obj. ∀ l:list. length (cons x l) =nat succ (length l)`

Now we can define `llist n := list|λl:list. length l =nat n`. The constants for `lnil` and `lcons` are
 redundant, and we can instead derive the corresponding types for `nil` and `cons`:

$\vdash \text{nil} : \text{llist zero} \quad n : \text{nat} \vdash \text{cons} : \Pi x : \text{obj}. \Pi l : \text{llist } n. \text{ llist } (\text{succ } n)$

213 Like for function types, we can *derive* the congruence and variance rules:

214 ► **Theorem 9** (Congruence and Variance). *The following rules are derivable:*

$$215 \frac{\Gamma \vdash_{\top} A \prec: A' \quad \Gamma, x:A, \triangleright p x \vdash_{\top} p' x}{\Gamma \vdash_{\top} A|_p \prec: A'|_{p'}} \quad \frac{\Gamma \vdash_{\top} A \text{ tp}}{\Gamma \vdash_{\top} A|_p \text{ tp}} \quad \frac{\Gamma \vdash_{\top} A|_p \prec: A'|_{p'} \quad \Gamma \vdash_{\top} p =_{A \rightarrow \text{bool}} p'}{\Gamma \vdash_{\top} A|_p \equiv A'|_{p'}} \quad \frac{\Gamma \vdash_{\top} A \equiv A|_{\lambda x:A. \text{ true}}}{\Gamma \vdash_{\top} A|_p \prec: A}$$

216 **Proof.** To derive the first rule, we assume the hypotheses and $x:A|_p$. The elimination rules
 217 yield $x:A$ and $p\ x$, then the first hypothesis yields $x:A'$ and $p'\ x$, then the introduction rule
 218 yields $x:A|_p$.

219 To derive the second rule, we apply (STantisym) and use the introduction/elimination rules
 220 to show the two subtype relationships.

221 These then imply the other rules. ◀

222 5 Quotient types

223 **Syntax** To add quotient types we extend the grammar with only one production:

224 $A ::= A/r$ quotient of A by equivalence relation r

225 **Inference System** The rules for, respectively, formation, introduction, elimination, and
 226 equality for quotient types are:

$$\begin{array}{c}
 \frac{\Gamma \vdash_{\mathbf{T}} A \text{ tp} \quad \Gamma \vdash_{\mathbf{T}} r : A \rightarrow A \rightarrow \text{bool} \quad \Gamma \vdash_{\mathbf{T}} \text{EqRel}(r)}{\Gamma \vdash_{\mathbf{T}} A/r \text{ tp}} \quad \frac{\Gamma \vdash_{\mathbf{T}} t : A \quad \Gamma \vdash_{\mathbf{T}} A/r \text{ tp}}{\Gamma \vdash_{\mathbf{T}} t : A/r} \\
 \frac{\Gamma \vdash_{\mathbf{T}} s : A/r \quad \Gamma, x:A, \triangleright x =_{A/r} s \vdash_{\mathbf{T}} t : B \quad \Gamma, x:A, x':A, \triangleright x =_{A/r} s, \triangleright x' =_{A/r} s \vdash_{\mathbf{T}} t =_B t[x/x']}{\Gamma \vdash_{\mathbf{T}} t[x/s] : B[x/s]} \\
 \frac{\Gamma \vdash_{\mathbf{T}} s : A \quad \Gamma \vdash_{\mathbf{T}} t : A \quad \Gamma \vdash_{\mathbf{T}} r : A \rightarrow A \rightarrow \text{bool} \quad \text{EqRel}(r)}{\Gamma \vdash_{\mathbf{T}} (s =_{A/r} t) =_{\text{bool}} (r\ s\ t)}
 \end{array}$$

228 where $\text{EqRel}(r)$ abbreviates that r is an equivalence relation.

► **Example 10 (Sets).** We extend Ex. 1 by obtaining sets as a quotient of lists. First, we define a contains-check for lists:

```

contains: list → obj → bool
▷ ∀ x:obj. ¬(contains nil x)
▷ ∀ x:obj. ∀ y:obj. ∀ l:list. (contains (cons y l) x) =bool (x =obj y ∨ contains l x)

```

Now we can define $\text{set} := \text{list}/\lambda l:\text{list}. \lambda m:\text{list}. \forall x:\text{obj}. \text{contains } l\ x =_{\text{bool}} \text{contains } m\ x$ as the type of lists containing the same elements. The equality at set immediately yields extensionality $\vdash \forall x, y:\text{set}. x =_{\text{set}} y \Leftrightarrow (\forall z:\text{obj}. \text{contains } x\ z =_{\text{bool}} \text{contains } y\ z)$.

Any $l:\text{list}$ can be used as a representative of the respective equivalence class in set , and operations on sets can be defined via operations on lists, e.g., we can establish $\vdash \text{conc} : \text{set} \rightarrow \text{set} \rightarrow \text{set}$. To derive this, we assume $u:\text{set}$ and apply the elimination rule twice. First we apply it with $B = \text{list} \rightarrow \text{set}$ and $t = \text{conc } u$; we have to show $\text{conc } x =_{\text{list} \rightarrow \text{set}} \text{conc } x'$ under the assumption that x and y are equal as sets. That yields a term $\text{conc } u : \text{list} \rightarrow \text{set}$. We assume $v:\text{set}$ and apply the elimination rule again with $B = \text{set}$ to obtain $\text{conc } u\ v:\text{set}$, and then conclude via λ -abstraction and η -reduction.

229 The elimination rule looks overly complex. It can be understood best by comparing it to the
 230 following, simpler and more intuitive rule

$$\frac{\Gamma, x:A \vdash_{\mathbf{T}} t : B \quad \Gamma, x:A, x':A, \triangleright r\ x\ x' \vdash_{\mathbf{T}} t =_B t[x/x']}{\Gamma, x:A/r \vdash_{\mathbf{T}} t : B} (*)$$

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232 This rule captures the well-known condition that an operation t on A may be used to define
 233 an operation on A/r if t maps equivalent representatives x, x' equally. Clearly, we can derive
 234 it from our elimination rule by putting $s = x$. But it is subtly weaker:

► **Example 11.** Continuing Ex. 10, assume a total order on \mathbf{obj} and a function $g:\mathbf{list}_{\text{nonEmpty}} \rightarrow \mathbf{obj}$ picking the greatest from a non-empty list. We should be able to apply g to some $s:\mathbf{set}$ that we know to be non-empty. But if we try to apply $(*)$ to obtain $g\ s:\mathbf{obj}$, we find ourselves stuck trying to prove $g\ x =_{\mathbf{obj}} g\ x'$ for any x, x' that are representatives of an *arbitrary* equivalence class of lists. We are not allowed to use our additional knowledge that s is non-empty and thus only non-empty lists need to be considered. Thus, we cannot even derive that $g\ x$ is well-formed.

Our elimination rule remedies that: here we need to show $g\ x =_{\mathbf{obj}} g\ x'$ for any x, x' that are representatives of *the class of* s . Thus, we can use that x and x' are non-empty and that thus $g\ x$ is well-formed.

235 Like for function and refinement types, we can *derive* the congruence and variance rules:

236 ► **Theorem 12** (Congruence and Variance). *The following rules are derivable:*

$$\begin{array}{c}
 \frac{\Gamma \vdash_{\mathbf{T}} A \prec: A' \quad \Gamma, x:A, y:A, \triangleright r\ x\ y \vdash_{\mathbf{T}} r' \ x\ y}{\Gamma \vdash_{\mathbf{T}} A/r \prec: A'/r'} \quad \frac{\Gamma \vdash_{\mathbf{T}} A \text{tp}}{\Gamma \vdash_{\mathbf{T}} A \equiv A/\lambda x:A. \lambda y:A. x =_A y} \\
 \frac{\Gamma \vdash_{\mathbf{T}} A \equiv A' \quad \Gamma \vdash_{\mathbf{T}} r =_{A \rightarrow A \rightarrow \text{bool}} r'}{\Gamma \vdash_{\mathbf{T}} A/r \equiv A'/r'} \quad \frac{\Gamma \vdash_{\mathbf{T}} A/r \text{tp}}{\Gamma \vdash_{\mathbf{T}} A \prec: A/r}
 \end{array}$$

238 **Proof.** To derive the first rule, we assume the hypotheses and $s:A/r$. We use the elimination
 239 rule with $B = A'/r'$ and $t = x$. We need to establish the second hypothesis of the elimination
 240 rule, which becomes $x:A, y:A, \triangleright x =_{A/r} s, \triangleright x' =_{A/r} s \vdash_{\mathbf{T}} x =_{A'/r'} x'$. We prove this by using the
 241 equality rule, which requires $x, x':A'$ (which we show using $A \prec: A'$) and $r' \ x\ x'$, which
 242 follows from the second hypothesis.

243 To derive the second rule, we apply (STantisym) and use the introduction/elimination rules
 244 to show the two subtype relationships.

245 These then imply the other rules. ◀

6 Normalizing Types

247 **Refinement and Quotient Types** We can merge consecutive refinement and quotients:

248 ► **Theorem 13** (Repeated Refinement/Quotient). *The following equalities are derivable*
 249 *whenever the LHS is well-formed*

$$\vdash (A|_p)|_{p'} \equiv A|_{\lambda x:A. p\ x \wedge p' \ x} \quad \vdash (A/r)/r' \equiv A/\lambda x:A. \lambda y:A. r' \ x\ y \quad \vdash (A/r)|_p \equiv (A|_p)/r$$

251 **Proof.** For refinement-refinement, we first show that the RHS is well-formed: well-formedness
 252 of the LHS yields $p:A \rightarrow \text{bool}$ and $p':A|_p \rightarrow \text{bool}$ and thus $p' \ x$ is well-formed because \wedge is a
 253 *dependent* conjunction and $p\ x$ can be assumed while checking $p' \ x$. Verifying the equality is
 254 straightforward by showing subtyping in both directions.

255 For quotient-quotient, we first show that the RHS is well-formed: well-formedness of the
 256 LHS yields $r:A \rightarrow A \rightarrow \text{bool}$ and $r':A/r \rightarrow A/r \rightarrow \text{bool}$, and $r' \ x\ y$ is well-formed because
 257 $A \prec: A/r$. The relation on the RHS is an equivalence relation because r' is. To verify the

type equality, we use Lem. 4 and show that both types induce the same equality on A . In particular, the type of r' already guarantees that it subsumes r .

For refinement-quotient, we first show that the RHS is well-formed: well-formedness of the LHS yields $r:A \rightarrow A \rightarrow \text{bool}$ and $p:A/r \rightarrow \text{bool}$. That implies $r:A|_p \rightarrow A|_p \rightarrow \text{bool}$ and $p:A \rightarrow \text{bool}$, which is needed for the well-formedness of the RHS. (Note the other direction does not hold in general.) To show the equality, we show both subtyping directions. For LHS \prec : RHS, we assume $x:A/r$ and $p\ x$ and apply the elimination rule for quotients using $t = x$ and $B = (A|_p)/r$. (Critically, this step would not go through if we had only used the weaker rule $*$ in Sect. 5.) For RHS \prec : LHS, we assume $x:(A|_p)/r$ and apply the elimination rule for quotients using $t = x$. \blacktriangleleft

Function Types and Subtyping We have 4 possible subtype situations for a function type: we can refine or quotient the domain or the codomain:

► **Theorem 14** (Refinement/Quotient in a Function Type). *The following judgments are derivable if either side is well-formed:*

$$\begin{aligned} &\vdash \Pi x:A. (B|_p) \equiv (\Pi x:A. B)|_{\lambda f:\Pi x:A. B. \forall x:A. p\ (f\ x)} \\ &\vdash \Pi x:A/r. B \equiv (\Pi x:A. B)|_{\lambda f:\Pi x:A. B. \forall x,y:A. r\ x\ y \Rightarrow (f\ x) =_B (f\ y)} \\ &\vdash \Pi x:A. B/r \succ (\Pi x:A. B)/_{\lambda f,g:\Pi x:A. B. \forall x:A. r\ (f\ x)\ (g\ x)} \end{aligned}$$

The following one is derivable if the RHS is well-formed:

$$\vdash \Pi x:A|_p. B \succ (\Pi x:A. B)/_{\lambda f,g:\Pi x:A. B. \forall x:A. p\ x \Rightarrow (f\ x) =_B (g\ x)}$$

Proof. Refined codomain: It is straightforward to prove both subtyping directions once we observe that terms on either side are given by $\lambda x:A. t$ where t has type B and satisfies p .

Quotiented domain: It is straightforward to prove both subtyping directions once we observe that both sides are subtypes of $\Pi x:A. B$ and that their elements must preserve r .

Quotiented codomain: We assume a term f of RHS-type and show $x:A \vdash f\ x:B/r$ using the quotient elimination rule.

Refined domain: We assume a term f of RHS-type and show $x:A|_p \vdash f\ x:B$ using the quotient elimination rule. Note that the well-formedness of the LHS does not imply the well-formedness of the RHS because the well-formedness of B might depend on the assumption $p\ x$. \blacktriangleleft

Maybe surprisingly, two of the subtyping laws in Thm. 14 are not equalities. The law for the refined domain must not be an equality:

► **Example 15** (Refined Domain). The issue here is that the assumption $p\ x$ makes more terms well-typed and thus there may be functions $\Pi x:A|_p. B$ that are not a restriction of a function $\Pi x:A. B$. Consider the theory $a:\text{bool} \rightarrow \text{tp}$, $c:a\ \text{true}$. Then $a\ \text{false}$ is empty and so are $\Pi x:\text{bool}. a\ x$ and its quotients. But with $p = \lambda x:\text{bool}. x$, we have $\vdash \lambda x:\text{bool}|_p. c : \Pi x:\text{bool}|_p. a\ x$.

However, with the law for the quotiented codomain, we have some leeway that is related to which variant of the axiom of choice, if any, we want to adopt. Consider the following two statements

$$\vdash_{\top} \exists \text{repr}:B/r \rightarrow B. \text{repr} =_{B/r \rightarrow B/r} \lambda x:B/r. x \quad f:\Pi x:A. B/r \vdash_{\top} \exists g:\Pi x:A. B. f =_{\Pi x:A. B/r} g$$

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(Note that the first one is well-typed because repr also has type $B/r \rightarrow B/r$.) Both have a claim to be called the axiom of choice: The first one expresses that every equivalence relation has a system of representatives. The second generalizes this to a family of equivalence relations. The latter implies the former (put $A := B/r$ and $f := \lambda x:B/r. x$). In the simply-typed case the former also implies the latter (pick $\text{repr} \circ f$ for g); but in the dependently-typed case, where B and r may depend on x , the implication depends subtly on what other language features are around (e.g., Σ -types or choice).

Both statements construct a new term from existing term (repr behaves like the identity, and g like f) that has a different type but behaves the same up to quotienting. Adding the \prec direction to the law for the refined codomain would go a step further: it not only implies the existence of g from f but allows using f as a representative of the equivalence class of possible values for g . That is in keeping with our goal of avoiding changes of representation when transitioning between types:

► **Definition 16** (Quotiented Codomain). *We adopt as an additional axiom (whenever either side is well-formed):*

$$\vdash \Pi x:A. B/r \prec: (\Pi x:A. B)/\lambda f, g: \Pi x:A. B. \forall x:A. r (f x) (g x)$$

which is an equality in conjunction with Thm. 14.

Normalization Aggregating the above laws, we obtain a normalization algorithm for types:

► **Theorem 17** (Normalizing Types). *Every type is equal to a type of the form $(A|_p)/r$ where $A ::= \text{bool} \mid a \ t^* \mid \Pi x:A|_p. B$.*

Proof. Using Thm. 14 with the axiom from Def. 16, all refinements and quotients can be pushed out of all function types except for a single refinement of the domain; if there is no such refinement, we can use $p := \lambda x:A. \text{true}$. And using Thm. 13, those can be collected into a single quotient+refinement. ◀

It is maybe surprising, and somewhat frustrating, that we need to allow for refined domains in the normal forms. Indeed, we initially expected being able to normalize those away as well, which would have allowed for a much more efficient algorithmic treatment. But we eventually found out, as discussed above, that is impossible.

7 Soundness and Completeness

We obtain a sound and complete theorem prover for DHOL via a translation to HOL. We build on the result in [14] and only describe the necessary extensions.

Translation We have added only two type operators to the grammar. We extend the translation from Fig. 5 that translates each DHOL type A to a HOL type \overline{A} with a PER A^* on it:

$$\begin{aligned} \overline{A|_p} &:= \overline{A} & (A|_p)^* s t &:= A^* s t \wedge \overline{p} s \wedge \overline{p} t \\ \overline{A/r} &:= \overline{A} & (A/r)^* s t &:= \overline{r} s t \wedge A^* s s \wedge A^* t t \end{aligned}$$

329 **Completeness** HOL can prove the translations of all derivable DHOL judgments:

330 ► **Theorem 18** (Completeness). *We have*

331

if in DHOL	then in HOL	
$\vdash T \text{ Thy}$	$\vdash \overline{T} \text{ Thy}$	
$\vdash_{\top} \Gamma \text{ Ctx}$	$\vdash_{\overline{\top}} \overline{\Gamma} \text{ Ctx}$	
$\Gamma \vdash_{\top} A \text{ tp}$	$\overline{\Gamma} \vdash_{\overline{\top}} \overline{A} \text{ tp}$	and $\overline{\Gamma} \vdash_{\overline{\top}} A^* : \overline{A} \rightarrow \overline{A} \rightarrow \text{bool}$ and A^* is a PER
$\Gamma \vdash_{\top} A \equiv B$	$\overline{\Gamma} \vdash_{\overline{\top}} \overline{A} \equiv \overline{B}$	and $\overline{\Gamma}, x, y : \overline{A} \vdash_{\overline{\top}} A^* x y =_{\text{bool}} B^* x y$
$\Gamma \vdash_{\top} A \prec: B$	$\overline{\Gamma} \vdash_{\overline{\top}} \overline{A} \equiv \overline{B}$	and $\overline{\Gamma}, x, y : \overline{B} \vdash_{\overline{\top}} A^* x y \Rightarrow B^* x y$
$\Gamma \vdash_{\top} t : A$	$\overline{\Gamma} \vdash_{\overline{\top}} \overline{t} : \overline{A}$	and $\overline{\Gamma} \vdash_{\overline{\top}} A^* \overline{t} \overline{t}$
$\Gamma \vdash_{\top} F$	$\overline{\Gamma} \vdash_{\overline{\top}} \overline{F}$	

333 **Proof.** Note that the subtyping claim is a slightly strengthened version of the claim obtained
 334 from the others by expanding the definition of $\prec:$. We adapt the proof from [14] with
 335 additional cases for all new productions and rules. The details are given in Appendix C. ◀

336 The case for subtyping in Thm. 18 gives us a criterion for which subtyping instances should
 337 hold. This allows to revisit our discussion following Thm. 14, which led us to adopt Def. 16:

► **Example 19** (PERs for a Quotiented Codomain). We calculate the PERs for both sides of the axiom of Def. 16:

$$(\Pi x:A. B/x)^* f g = \forall x, y: \overline{A}. A^* x y \Rightarrow (\overline{r} (f x) (g y) \wedge B^* (f x) (f x) \wedge B^* (g y) (g y))$$

which can be simplified to

$$\forall x: \overline{A}. A^* x x \Rightarrow \overline{r} (f x) (g x) \wedge B^* (f x) (f x) \wedge B^* (g y) (g y)$$

which is exactly what we get when we unfold

$$((\Pi x:A. B)/\lambda f, g: \Pi x:A. B. \forall x:A. r (f x) (g x))^* f g$$

This justifies adopting the axiom.

► **Example 20** (PERs for the a Refined Domain). We calculate the PERs for both sides of the subtyping law for a refined domain:

$$(\Pi x:A|_p. B)^* f g = \forall x, y: \overline{A}. A^* x y \wedge \overline{p} x \wedge \overline{p} y \Rightarrow B^* (f x) (g y)$$

$$(\Pi x:A. B)/\lambda f, g: \Pi x:A. B. \forall x:A. p x \Rightarrow (f x) =_B (g x))^* f g =$$

$$\forall x: \overline{A}. A^* x x \Rightarrow (\overline{p} x \Rightarrow B^* (f x) (g x)) \wedge$$

$$(\forall x, y: \overline{A}. A^* x y \Rightarrow B^* (f x) (f y)) \wedge$$

$$(\forall x, y: \overline{A}. A^* x y \Rightarrow B^* (g x) (g y))$$

These are indeed not equivalent in line with our observation from Ex. 15.

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Soundness As discussed in [14], the converse theorem to completeness is much harder to state and prove. But it does carry over to DHOL with subtyping:

► **Theorem 21** (Soundness).

If $\Gamma \vdash_{\mathbf{T}}^{\text{DHOL}} F : \text{bool}$ and $\bar{\Gamma} \vdash_{\bar{\mathbf{T}}}^{\text{HOL}} \bar{F}$, then $\Gamma \vdash_{\mathbf{T}}^{\text{DHOL}} F$

In particular, if $\Gamma \vdash_{\mathbf{T}} s : A$ and $\Gamma \vdash_{\mathbf{T}} t : A$ and $\bar{\Gamma} \vdash_{\bar{\mathbf{T}}} A^* \bar{s} \bar{t}$, then $\Gamma \vdash s =_A t$.

Proof. The key idea is to transform a HOL-proof of \bar{F} into one that is in the image of the translation, at which point we can read off a DHOL-proof of F . The full proof is given in Appendix D. ◀

Intuitively, the reverse directions of Thm. 18 holds if we have already established that all involved expressions are well-typed in DHOL. Like in [14], we can develop an intertwined type-checker and theorem prover that type-checks the conjecture generating a sequence of proof obligations, and then calls the HOL ATP on the proof obligations and the conjecture.

8 Application to Typed Set Theory

As a major case study, we sketch a formalization of typed set-theory. Throughout, we assume we can *define* identifiers rather than just declare them, and we use common infix notations where clear from the context.

We start with

$\text{set} : \text{tp}, \quad \in : \text{set} \rightarrow \text{set} \rightarrow \text{bool}, \quad \text{elem } s := \text{set} |_{\lambda x : \text{set}. x \in s}$

where *elem* lifts every set to the type level. We have previously used this idea for typed set theory [9] in plain LF without any support for subtyping. There, we needed explicit reasoning about refinement, which massively complicated the development. In DHOL with subtyping, these formalizations are much more elegant.

We skip the routine formalization of the axioms, definitions, and theorems for untyped set theory. For example, for untyped pairing we get operations and theorems:

$\times : \text{set} \rightarrow \text{set} \rightarrow \text{set}, \quad \text{pair} : \text{set} \rightarrow \text{set} \rightarrow \text{set}, \quad \triangleright \forall x, y, s, t : \text{set}. x \in s \wedge y \in t \Rightarrow \text{pair } x y \in s \times t$

(where we omit the definitions and proofs).

We can now use that to easily define a typed pairing operator:

$\text{tpair} : \Pi s, t : \text{set}. \text{elem } s \rightarrow \text{elem } t \rightarrow \text{elem } s \times t \quad := \quad \lambda s, t : \text{set}. \text{pair}$

Type-checking this declaration yields the proof obligation

$x : \text{elem } s, y : \text{elem } t \vdash \text{pair } x y : \text{elem } s \times t$

which is exactly the corresponding untyped theorem. Similarly, all constructions of untyped set theory can be lifted to their typed counterparts.

Moreover, we can represent the *set* of functions from *s* to *t* as the type *Functions s t* := $(s \rightarrow t) |_p /_r$ where

$p f = \forall x : \text{set}. x \in s \Rightarrow (f x) \in t$

372
373 $r \ f \ g = \forall x:\text{set}. x \in s \Rightarrow (f \ x) =_{\text{set}} (g \ x)$

374 We can then define function application and composition \circ in the usual way, leading to the
375 conjecture that the composition of functions from s to t and from t to u yields a function
376 from s to u :

377 $\triangleright \forall s, t, u:\text{set}. \forall f:\text{Functions } s \ t. \forall g:\text{Functions } t \ u. \forall x:\text{set}. x \in s \Rightarrow ((g \circ f) \ x) \in u$

378 9 Conclusion and Future Work

379 DHOL combines higher-order logic with dependent types, obtaining an intuitive and expressive
380 language, albeit with undecidable typing. We have doubled down on this design in two ways
381 to obtain an extension of DHOL with two type constructors that practitioners often demand
382 from language designers: refinement and quotient types.

383 Firstly, like dependent function types, refinement and quotient types require *dependent*
384 types, i.e., terms occurring in types. Moreover, both are inherently undecidable and are
385 therefore near-impossible to add as an afterthought to a type theory with decidable typing.
386 But in DHOL, they can be added very elegantly. Secondly, the semantics of DHOL is
387 defined via a translation to HOL. Critically, this translation maps every DHOL-type to a
388 HOL-type with a partial equivalence relation (PER) on it. Because PERs are closed under
389 refinements and quotients, it became feasible to adapt the existing translation as well as the
390 soundness/completeness proof to obtain the corresponding results for our extended DHOL.

391 We used an extensional subtyping approach, where $A \prec B$ holds iff all A -terms also have
392 type B . That enabled us to prove all the expected variance and normalization laws — with
393 one unexpected exception: we do not have a normalization algorithm that eliminates function
394 types with refined domains (in other words: partial functions). That makes the normal forms
395 of types and thus the task of deriving efficient subtype-checking algorithms more complex.
396 Future work must investigate how to improve on the latter.

397 Extending DHOL with choice operators and sigma types also remains for future work.

398 We also want to use DHOL to guide future improvements to existing refinement type systems
399 for programming languages like e.g. Quotient Haskell for the Haskell language. These systems
400 extends the programming language with refinement types (and in case of Quotient Haskell
401 also quotient types) in order to obtain a lightweight specification language. Like in our
402 work on DHOL, they use a translation to obtain ATP support. But unlike those systems
403 which typically prioritizes proof obligations that are efficiently checkable by SMTs, DHOL
404 focuses on rigorously working out the general case. Furthermore, our soundness proof enables
405 proof reconstruction and checking, whereas the trusted codebase of refinement type systems
406 typically includes an entire SMT solver. A combination of these advantages is so far lacking.

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A Summary of logics and translations

In this section we collect the inference rules of the logics and the definition of the overall translation. We name the rules and enumerate the cases in the definition of the translation for reference in the proofs in the subsequent appendices.

A.1 HOL rules

Theories and contexts:

$$\begin{array}{c} \frac{}{\vdash \circ \text{Thy}} \text{thyEmpty} \quad \frac{\vdash T \text{Thy}}{\vdash T, A \text{tp Thy}} \text{thyType} \quad \frac{\vdash_{\text{T}} A \text{tp}}{\vdash T, c:A \text{Thy}} \text{thyConst} \quad \frac{\vdash_{\text{T}} F:\text{bool}}{\vdash T, \triangleright F \text{Thy}} \text{thyAxiom} \\[10pt] \frac{\vdash T \text{Thy}}{\vdash_{\text{T}} \text{Ctx}} \text{ctxEmpty} \quad \frac{\vdash_{\text{T}} A \text{tp}}{\vdash_{\text{T}} \Gamma, x:A \text{Ctx}} \text{ctxVar} \quad \frac{\vdash_{\text{T}} F:\text{bool}}{\vdash_{\text{T}} \Gamma, \triangleright F \text{Ctx}} \text{ctxAssume} \end{array}$$

Lookup in theory and context:

$$\begin{array}{c} \frac{A:\text{tp in } T \quad \vdash_{\text{T}} \Gamma \text{Ctx}}{\vdash_{\text{T}} A \text{tp}} \text{type} \quad \frac{c:A' \text{ in } T \quad \vdash_{\text{T}} A' \equiv A}{\vdash_{\text{T}} c:A} \text{const} \quad \frac{\triangleright F \text{ in } T \quad \vdash_{\text{T}} \Gamma \text{Ctx}}{\vdash_{\text{T}} F} \text{axiom} \\[10pt] \frac{x:A' \text{ in } \Gamma \quad \vdash_{\text{T}} A' \equiv A}{\vdash_{\text{T}} x:A} \text{var} \quad \frac{\triangleright F \text{ in } \Gamma \quad \vdash_{\text{T}} \Gamma \text{Ctx}}{\vdash_{\text{T}} F} \text{assume} \end{array}$$

Well-formedness and equality of types:

$$\frac{\vdash_{\text{T}} \Gamma \text{Ctx}}{\vdash_{\text{T}} \text{bool tp}} \text{bool} \quad \frac{\vdash_{\text{T}} A \text{tp} \quad \vdash_{\text{T}} B \text{tp}}{\vdash_{\text{T}} A \rightarrow B \text{tp}} \text{arrow} \quad \frac{\vdash_{\text{T}} A \text{tp}}{\vdash_{\text{T}} A \equiv A} \text{congBase} \quad \frac{\vdash_{\text{T}} A \equiv A' \quad \vdash_{\text{T}} B \equiv B'}{\vdash_{\text{T}} A \rightarrow B \equiv A' \rightarrow B'} \text{cong} \rightarrow$$

Typing:

$$\frac{\Gamma, x:A \vdash_{\text{T}} t:B}{\vdash_{\text{T}} (\lambda x:A. t):A \rightarrow B} \text{lambda} \quad \frac{\vdash_{\text{T}} f:A \rightarrow B \quad \vdash_{\text{T}} t:A}{\vdash_{\text{T}} f t:B} \text{appl} \quad \frac{\vdash_{\text{T}} s:A \quad \vdash_{\text{T}} t:A}{\vdash_{\text{T}} s =_A t:\text{bool}} \text{=type}$$

Term equality, congruence, reflexivity, symmetry, β , η :

$$\begin{array}{c} \frac{\vdash_{\text{T}} A \equiv A' \quad \Gamma, x:A \vdash_{\text{T}} t =_B t'}{\vdash_{\text{T}} \lambda x:A. t =_{A \rightarrow B} \lambda x:A'. t'} \text{cong} \lambda \text{ (xi)} \quad \frac{\vdash_{\text{T}} t =_A t' \quad \vdash_{\text{T}} f =_{A \rightarrow B} f'}{\vdash_{\text{T}} f t =_B f' t'} \text{congAppl} \\[10pt] \frac{\vdash_{\text{T}} t:A}{\vdash_{\text{T}} t =_A t} \text{refl} \quad \frac{\vdash_{\text{T}} t =_A s}{\vdash_{\text{T}} s =_A t} \text{sym} \quad \frac{\vdash_{\text{T}} (\lambda x:A. s) t:B}{\vdash_{\text{T}} (\lambda x:A. s) t =_B s[x/t]} \text{beta} \quad \frac{\vdash_{\text{T}} t:A \rightarrow B \quad x \text{ not in } \Gamma}{\vdash_{\text{T}} t =_{A \rightarrow B} \lambda x:A. t x} \text{eta} \end{array}$$

Rules for implication:

$$\frac{\vdash_{\text{T}} F:\text{bool} \quad \vdash_{\text{T}} G:\text{bool}}{\vdash_{\text{T}} F \Rightarrow G:\text{bool}} \Rightarrow \text{type} \quad \frac{\vdash_{\text{T}} F:\text{bool} \quad \Gamma, \triangleright F \vdash_{\text{T}} G}{\vdash_{\text{T}} F \Rightarrow G} \Rightarrow \text{I} \quad \frac{\vdash_{\text{T}} F \Rightarrow G \quad \vdash_{\text{T}} F}{\vdash_{\text{T}} G} \Rightarrow \text{E}$$

Congruence for validity, Boolean extensionality, and non-emptiness of types:

$$\frac{\vdash_{\text{T}} F =_{\text{bool}} F' \quad \vdash_{\text{T}} F'}{\vdash_{\text{T}} F} \text{cong} \vdash \quad \frac{\vdash_{\text{T}} p \text{ true} \quad \vdash_{\text{T}} p \text{ false}}{\Gamma, x:\text{bool} \vdash_{\text{T}} p x} \text{boolExt} \quad \frac{\vdash_{\text{T}} F:\text{bool} \quad \Gamma, x:A \vdash_{\text{T}} F}{\vdash_{\text{T}} F} \text{nonempty}$$

In the soundness proof, we will occasionally use the existence of a HOL term of given type A (whose existence follows from rule (nonempty)), so we denote this term by w_A .

Figure 6 HOL Rules

A.2 Derived rules

Using the rules given in Figure 6 we can derive a number of additional useful rules.

A.3 Admissible rules for HOL

The following lemma collects a few routine meta-theorems that we make use of later on:

► **Lemma 22.** *Given the inference rules for HOL (cfg. Figure 6), the following rules are admissible:*

$$\begin{array}{c}
\frac{\Gamma \vdash \text{Ctx}}{\vdash T \text{ Thy}} \text{ctxThy} \quad \frac{\Gamma \vdash_{\top} A \text{ tp}}{\vdash_{\top} \Gamma \text{ Ctx}} \text{tpCtx} \quad \frac{\Gamma \vdash_{\top} t:A}{\Gamma \vdash_{\top} A \text{ tp}} \text{typingTp} \quad \frac{\Gamma \vdash_{\top} F}{\Gamma \vdash_{\top} F:\text{bool}} \text{validTyping} \\
\\
\frac{\text{c:A in } T}{\Gamma \vdash_{\top} \text{c:A}} \text{constS} \quad \frac{x:A \text{ in } \Gamma}{\Gamma \vdash_{\top} x:A} \text{varS} \\
\\
\frac{\Gamma \vdash_{\top} A \text{ tp}}{\Gamma \vdash_{\top} A \equiv A} \equiv \text{refl} \quad \frac{\Gamma \vdash_{\top} A \equiv A'}{\Gamma \vdash_{\top} A' \equiv A} \equiv \text{sym} \quad \frac{\Gamma \vdash_{\top} A \equiv A' \quad \Gamma \vdash_{\top} A' \equiv A''}{\Gamma \vdash_{\top} A \equiv A''} \equiv \text{trans} \\
\\
\frac{\Gamma \vdash_{\top} s =_A t}{\Gamma \vdash_{\top} s:A} \text{eqTyping} \quad \frac{\Gamma \vdash_{\top} F \Rightarrow G}{\Gamma \vdash_{\top} F:\text{bool}} \text{implTypingL} \quad \frac{\Gamma \vdash_{\top} F \Rightarrow G}{\Gamma \vdash_{\top} G:\text{bool}} \text{implTypingR} \\
\\
\frac{\Gamma \vdash_{\top} s:A \quad \Gamma \vdash_{\top} s:A'}{\Gamma \vdash_{\top} A \equiv A'} \text{typesUnique} \quad \frac{\Gamma \vdash_{\top} f t:B \quad \Gamma \vdash_{\top} f:A \rightarrow B}{\Gamma \vdash_{\top} t:A} \text{typingWf} \\
\\
\frac{\Gamma \vdash_{\top} t:A \quad \Gamma \vdash_{\top} f t:B}{\Gamma \vdash_{\top} f:A \rightarrow B} \text{applType} \quad \frac{\Gamma, x:B \vdash_{\top} s:A \quad \Gamma \vdash_{\top} t:B}{\Gamma \vdash_{\top} s[x/t]:A} \text{rewriteTyping} \\
\\
\frac{\Gamma \vdash_{\top} F:\text{bool} \quad \Gamma \vdash_{\top} G}{\Gamma, \triangleright F \vdash_{\top} G} \text{monotonic} \quad \frac{\Gamma \vdash_{\top} A \text{ tp} \quad \Gamma \vdash_{\top} J \quad \text{for any statement } \vdash_{\top} J}{\Gamma, x:A \vdash_{\top} J} \text{var} \\
\\
\frac{\Gamma, x:A \vdash_{\top} F:\text{bool}}{\Gamma \vdash_{\top} \forall x:A. F:\text{bool}} \forall \text{type} \quad \frac{\Gamma, x:A \vdash_{\top} F}{\Gamma \vdash_{\top} \forall x:A. F} \forall I \quad \frac{\Gamma \vdash_{\top} \forall x:A. F \quad \Gamma \vdash_{\top} t:A}{\Gamma \vdash_{\top} F[x/t]} \forall E \\
\\
\frac{\Gamma \text{ Ctx} \quad F \text{ in } \Gamma}{\Gamma \vdash_{\top} F:\text{bool}} \text{assTyping} \quad \frac{\Gamma \vdash_{\top} t =_A t' \quad \Gamma \vdash_{\top} A \equiv A' \quad \Gamma \vdash_{\top} t:A'}{\Gamma \vdash_{\top} t':A'} \text{cong} \\
\\
\frac{\Gamma \vdash_{\top} F =_{\text{bool}} \text{true}}{\Gamma \vdash_{\top} F} = \text{true} \quad \frac{\Gamma \vdash_{\top} F}{\Gamma \vdash_{\top} F =_{\text{bool}} \text{true}} = \text{true} \quad \frac{\Gamma, \triangleright F \vdash_{\top} G \quad \Gamma, \triangleright G \vdash_{\top} F}{\Gamma \vdash_{\top} F =_{\text{bool}} G} \text{propExt} \\
\\
\frac{\Gamma, x:A \vdash_{\top} f x =_B f' x \quad \Gamma \vdash_{\top} f:A \rightarrow B \quad \Gamma \vdash_{\top} f':A \rightarrow B}{\Gamma \vdash_{\top} f =_{A \rightarrow B} f'} \text{extensionality} \\
\\
\frac{\Gamma \vdash_{\top} s =_A t \quad \Gamma \vdash_{\top} t =_A u}{\Gamma \vdash_{\top} s =_A u} \text{trans} \quad \frac{\Gamma \vdash_{\top} s =_A s' \quad \Gamma \vdash_{\top} t =_A t'}{\Gamma \vdash_{\top} (s =_A t) =_{\text{bool}} (s' =_A t')} = \text{cong} \\
\\
\frac{\Gamma \vdash_{\top} A \equiv A' \quad \Gamma, x:A \vdash_{\top} F =_{\text{bool}} F'}{\Gamma \vdash_{\top} \forall x:A. F =_{\text{bool}} \forall x:A'. F'} \forall \text{cong} \quad \frac{\Gamma \vdash_{\top} F =_{\text{bool}} F' \quad \Gamma \vdash_{\top} G =_{\text{bool}} G'}{\Gamma \vdash_{\top} F \Rightarrow G =_{\text{bool}} F' \Rightarrow G'} \Rightarrow \text{cong} \\
\\
\frac{\Gamma, x:A \vdash_{\top} F \Rightarrow G}{\Gamma \vdash_{\top} \forall x:A. F \Rightarrow \forall x:A. G} \forall \Rightarrow \quad \frac{\Gamma \vdash_{\top} G \Rightarrow G' \quad \Gamma \vdash_{\top} F' \Rightarrow F}{\Gamma \vdash_{\top} (F \Rightarrow G) \Rightarrow (F' \Rightarrow G')} \Rightarrow \text{Funct} \\
\\
\frac{\Gamma \vdash_{\top} F =_{\text{bool}} F' \quad \Gamma \vdash_{\top} F}{\Gamma \vdash_{\top} F'} \vdash \text{cong} \quad \frac{\Gamma \vdash_{\top} F[x/t] \quad \Gamma \vdash_{\top} t =_A t' \quad \Gamma, x:A \vdash_{\top} F:\text{bool}}{\Gamma \vdash_{\top} F[x/t']} \text{rewrite}
\end{array}$$

This Lemma 22 is already proven for the version of HOL in the paper[14] that originally introduced DHOL.

Furthermore, using the definitions of the connectives and quantifiers we can prove the rules:

$$\begin{array}{c}
\frac{\Gamma \vdash_{\top} F:\text{bool} \quad \Gamma \vdash_{\top} G:\text{bool}}{\Gamma \vdash_{\top} F \wedge G:\text{bool}} \wedge \quad \frac{\Gamma \vdash_{\top} F =_{\text{bool}} F' \quad \Gamma \vdash_{\top} F =_{\text{bool}} F'}{\Gamma \vdash_{\top} (F \wedge G) =_{\text{bool}} (F' \wedge G')} \wedge \text{Cong} \\
\\
\frac{\Gamma \vdash_{\top} F \quad \Gamma \vdash_{\top} G}{\Gamma \vdash_{\top} F \wedge G} \wedge I \quad \frac{\Gamma \vdash_{\top} F \wedge G}{\Gamma \vdash_{\top} F} \wedge E_l \quad \frac{\Gamma \vdash_{\top} F \wedge G}{\Gamma \vdash_{\top} G} \wedge E_r
\end{array}$$

and similar rules for the other boolean connectives.

► **Remark 1.** Observe that many of the rules derived for HOL in Lemma 22 still hold in DHOL. In particular, the rules (*ctxThy*), (*tpCtx*), (*typingTp*) and (*validTyping*) can be proven by the same method. The rules (*monotonic*), (*var*), (*type*), (*E*), (*I*), (*assTyping*), (*= true*), (*true =*), (*propExt*), (*extensionality*), (*congr*), (*⇒*), (*⇒Funct*), (*congr*), (*rewrite*) and the introduction and elimination rules for the (dependent) conjunction can be derived in DHOL with the same proofs. Also the rules (*≡ refl*) and (*≡ sym*) can be proven easily in DHOL by induction on the type equality rules.

A.4 DHOL rules

Theories and contexts:

$$\begin{array}{c}
\frac{}{\vdash \circ \text{Thy}} \text{thyEmpty} \quad \frac{\vdash_{\text{Thy}} x_1:A_1, \dots, x_n:A_n \text{ Ctx}}{\vdash T, a:\prod x_1:A_1. \dots \prod x_n:A_n. \text{tp Thy}} \text{thyType'} \\
\\
\frac{\vdash_{\text{Thy}} A \text{ tp}}{\vdash T, c:A \text{ Thy}} \text{thyConst} \quad \frac{\vdash_{\text{Thy}} F:\text{bool}}{\vdash T, \triangleright F \text{ Thy}} \text{thyAxiom} \\
\\
\frac{\vdash T \text{ Thy}}{\vdash_{\text{Thy}} \text{Ctx}} \text{ctxEmpty} \quad \frac{\Gamma \vdash_{\text{Thy}} A \text{ tp}}{\vdash_{\text{Thy}} \Gamma, x:A \text{ Ctx}} \text{ctxVar} \quad \frac{\Gamma \vdash_{\text{Thy}} F:\text{bool}}{\vdash_{\text{Thy}} \Gamma, \triangleright F \text{ Ctx}} \text{ctxAssume}
\end{array}$$

Well-formedness and equality of types:

$$\begin{array}{c}
\frac{a:\prod x_1:A_1. \dots \prod x_n:A_n. \text{tp in } T \quad \frac{\Gamma \vdash_{\text{Thy}} t_1:A_1 \quad \dots \quad \Gamma \vdash_{\text{Thy}} t_n:A_n[x_1/t_1] \dots [x_{n-1}/t_{n-1}]}{\Gamma \vdash_{\text{Thy}} a \ t_1 \ \dots \ t_n \text{tp}}}{\Gamma \vdash_{\text{Thy}} a \ t_1 \ \dots \ t_n \text{tp}} \text{type'} \\
\\
\frac{\frac{\Gamma \vdash_{\text{Thy}} p:\prod x:A. \text{bool}}{\Gamma \vdash_{\text{Thy}} A|_p \text{tp}}|_p \text{tp} \quad \frac{\vdash_{\text{Thy}} \Gamma \text{ Ctx}}{\Gamma \vdash_{\text{Thy}} \text{bool tp}} \text{bool} \quad \frac{\Gamma \vdash_{\text{Thy}} A \text{ tp} \quad \Gamma, x:A \vdash_{\text{Thy}} B \text{tp}}{\Gamma \vdash_{\text{Thy}} \prod x:A. B \text{tp}} \text{pi}}{\Gamma \vdash_{\text{Thy}} A \text{tp} \quad \Gamma \vdash_{\text{Thy}} r:\prod x_1:A. \prod x_2:A. \text{bool} \quad \Gamma \vdash_{\text{Thy}} \text{EqRel}(r)} \text{Q} \\
\Gamma \vdash_{\text{Thy}} A/r \text{tp}
\end{array}$$

Type equality:

$$\begin{array}{c}
\frac{a:\prod x_1:A_1. \dots \prod x_n:A_n. \text{tp in } T \quad \frac{\Gamma \vdash_{\text{Thy}} s_1=A_1 \ t_1 \ \dots \ \Gamma \vdash_{\text{Thy}} s_n=A_n[x_1/t_1] \dots [x_{n-1}/t_{n-1}] \ t_n}{\Gamma \vdash_{\text{Thy}} a \ s_1 \ \dots \ s_n \equiv a \ t_1 \ \dots \ t_n} \text{congBase'} \quad \frac{\Gamma \vdash A <: B \quad \Gamma \vdash B <: A}{\Gamma \vdash_{\text{Thy}} A \equiv B} \text{STantisym}}{\Gamma \vdash_{\text{Thy}} a \ s_1 \ \dots \ s_n \equiv a \ t_1 \ \dots \ t_n} \\
\\
\frac{\vdash_{\text{Thy}} \Gamma \text{ Ctx}}{\Gamma \vdash_{\text{Thy}} \text{bool} \equiv \text{bool tp}} \equiv \text{bool} \quad \frac{\Gamma \vdash_{\text{Thy}} A \equiv A' \quad \Gamma, x:A \vdash_{\text{Thy}} B \equiv B'}{\Gamma \vdash_{\text{Thy}} \prod x:A. B \equiv \prod x:A'. B'} \text{congPi}
\end{array}$$

Typing:

$$\begin{array}{c}
\frac{c:A' \text{ in } T \quad \Gamma \vdash_{\text{Thy}} A' \equiv A}{\Gamma \vdash_{\text{Thy}} c:A} \text{const'} \quad \frac{x:A' \text{ in } \Gamma \quad \Gamma \vdash_{\text{Thy}} A' \equiv A}{\Gamma \vdash_{\text{Thy}} x:A} \text{var'} \\
\\
\frac{\Gamma, x:A \vdash_{\text{Thy}} t:B \quad \Gamma \vdash_{\text{Thy}} A \equiv A'}{\Gamma \vdash_{\text{Thy}} (\lambda x:A. t):\prod x:A'. B} \text{lambda'} \quad \frac{\Gamma \vdash_{\text{Thy}} f:\prod x:A. B \quad \Gamma \vdash_{\text{Thy}} t:A}{\Gamma \vdash_{\text{Thy}} f \ t:B[x/t]} \text{appl'} \\
\\
\frac{\Gamma \vdash_{\text{Thy}} F:\text{bool} \quad \Gamma, \triangleright F \vdash_{\text{Thy}} G:\text{bool}}{\Gamma \vdash_{\text{Thy}} F \Rightarrow G:\text{bool}} \Rightarrow \text{type'} \quad \frac{\Gamma \vdash_{\text{Thy}} s:A \quad \Gamma \vdash_{\text{Thy}} t:A}{\Gamma \vdash_{\text{Thy}} s =_A t:\text{bool}} = \text{type} \\
\\
\frac{\Gamma \vdash_{\text{Thy}} t:A \quad \Gamma \vdash_{\text{Thy}} p \ t}{\Gamma \vdash_{\text{Thy}} t:A|_p} |_p \text{I} \quad \frac{\Gamma \vdash_{\text{Thy}} t:A|_p}{\Gamma \vdash_{\text{Thy}} t:A} |_p \text{E1} \quad \frac{\Gamma \vdash_{\text{Thy}} t:A \quad \Gamma \vdash_{\text{Thy}} \text{EqRel}(r)}{\Gamma \vdash_{\text{Thy}} t:A/r} \text{QI} \\
\\
\frac{\Gamma \vdash_{\text{Thy}} s:A/r \quad \Gamma, x:A, x =_{A/r} s \vdash_{\text{Thy}} t:B \quad \Gamma, x:A, x':A, x =_{A/r} s, x' =_{A/r} s \vdash_{\text{Thy}} t =_B t[x/x']}{\Gamma \vdash_{\text{Thy}} t[x/s]:B[x/s]} \text{quotE}
\end{array}$$

XX:20 Subtyping in Dependently-Typed Higher-Order Logic

526

527 Term equality; congruence, reflexivity, symmetry, β , η :

$$\begin{array}{c}
 \frac{\Gamma \vdash_{\mathcal{T}} A \equiv A' \quad \Gamma, x:A \vdash_{\mathcal{T}} t =_B t'}{\Gamma \vdash_{\mathcal{T}} \lambda x:A. t =_{\Pi x:A. B} \lambda x:A'. t'} \text{cong}\lambda', \quad \frac{\Gamma \vdash_{\mathcal{T}} t =_A t' \quad \Gamma \vdash_{\mathcal{T}} f =_{\Pi x:A. B} f'}{\Gamma \vdash_{\mathcal{T}} f t =_B f' t'} \text{congAppl}' \\
 \\
 \frac{\Gamma \vdash_{\mathcal{T}} t:A}{\Gamma \vdash_{\mathcal{T}} t =_A t} \text{refl} \quad \frac{\Gamma \vdash_{\mathcal{T}} t =_A s}{\Gamma \vdash_{\mathcal{T}} s =_A t} \text{sym} \quad \frac{\Gamma \vdash_{\mathcal{T}} (\lambda x:A. s) t:B}{\Gamma \vdash_{\mathcal{T}} (\lambda x:A. s) t =_B s[x/t]} \text{beta} \quad \frac{\Gamma \vdash_{\mathcal{T}} \Pi x:A. B}{\Gamma \vdash_{\mathcal{T}} t =_{\Pi x:A. B} \lambda x:A. t x} \text{etaPi} \\
 \\
 \frac{\Gamma \vdash_{\mathcal{T}} s =_A t \quad \Gamma \vdash_{\mathcal{T}} p s}{\Gamma \vdash_{\mathcal{T}} s =_{A|_p} t} |_p \text{Eq} \quad \frac{\Gamma \vdash_{\mathcal{T}} s:A \quad \Gamma \vdash_{\mathcal{T}} t:A \quad \Gamma \vdash_{\mathcal{T}} r:A \rightarrow A \rightarrow \text{bool} \quad \text{EqRel}(r)}{\Gamma \vdash_{\mathcal{T}} (s =_{A/r} t) =_{\text{bool}} (r s t)} Q =
 \end{array}$$

534 Rules for validity:

$$\begin{array}{c}
 \frac{\triangleright F \text{ in } T \quad \vdash_{\mathcal{T}} \Gamma \text{ Ctx}}{\Gamma \vdash_{\mathcal{T}} F} \text{axiom} \quad \frac{\triangleright F \text{ in } \Gamma \quad \vdash_{\mathcal{T}} \Gamma \text{ Ctx}}{\Gamma \vdash_{\mathcal{T}} F} \text{assume} \\
 \\
 \frac{\Gamma \vdash_{\mathcal{T}} F:\text{bool} \quad \Gamma, \triangleright F \vdash_{\mathcal{T}} G}{\Gamma \vdash_{\mathcal{T}} F \Rightarrow G} \Rightarrow I \quad \frac{\Gamma \vdash_{\mathcal{T}} F \Rightarrow G \quad \Gamma \vdash_{\mathcal{T}} F}{\Gamma \vdash_{\mathcal{T}} G} \Rightarrow E \\
 \\
 \frac{\Gamma \vdash_{\mathcal{T}} F =_{\text{bool}} F' \quad \Gamma \vdash_{\mathcal{T}} F'}{\Gamma \vdash_{\mathcal{T}} F} \text{cong}\vdash \quad \frac{\Gamma \vdash_{\mathcal{T}} p \text{ true} \quad \Gamma \vdash_{\mathcal{T}} p \text{ false}}{\Gamma, x:\text{bool} \vdash_{\mathcal{T}} p x} \text{boolExt} \\
 \\
 \frac{\Gamma \vdash_{\mathcal{T}} t:A|_p}{\Gamma \vdash_{\mathcal{T}} p t} |_p E2
 \end{array}$$

542 We also have the axiom (16).

543 Finally, we modify the rule for the non-emptiness of types: we allow the existence of empty
 544 dependent types and only require that for each HOL type in the image of the translation
 545 there exists one non-empty DHOL type translated to it (rather than requiring all dependent
 546 types translated to it to be non-empty). Observe that either restricting to the fragment HOL
 547 of DHOL or translating to it then yields the non-emptiness assumptions for HOL types.

B The translation from DHOL into HOL

549 Before actually going into the soundness and completeness proofs, we repeat and enumerate
 550 the cases in the definition of the translation, so we can reference them in the following.

551 ► **Definition 23** (Translation). *We define a translation from DHOL to HOL syntax by*
 552 *induction on the Grammar.*

553 We use the notation $\overrightarrow{x:A}, \overrightarrow{\Pi x:A}, \overrightarrow{A}$ and \overrightarrow{x} to denote $x:A_1, \dots, x_n:A_n, \Pi x_1:A_1. \dots \Pi x_n:A_n, A_1 \rightarrow \dots \rightarrow A_n$ and $x_1 \dots x_n$ respectively.

554 The cases for theories and contexts are:

$$\begin{array}{c}
 \overline{\circ} := \circ \quad \text{(PT1)} \\
 \\
 \overline{T}, \overline{D} := \overline{T}, \overline{D} \quad \text{where} \\
 \\
 \overline{a:\Pi x:A. tp} := \overline{a:tp}, \\
 \\
 \overline{a^*:\overrightarrow{A}} \rightarrow \overline{a} \rightarrow \overline{a} \rightarrow \text{bool}, \\
 \\
 \triangleright \forall \overline{x:\overrightarrow{A}}. \forall u, v, w:\overline{a}. (\overline{a} \overrightarrow{x})^* u v \Rightarrow ((\overline{a} \overrightarrow{x})^* v w \Rightarrow (\overline{a} \overrightarrow{x})^* u w), \\
 \\
 \triangleright \forall \overline{x:\overrightarrow{A}}. \forall u, v:\overline{a}. (\overline{a} \overrightarrow{x})^* u v \Rightarrow (\overline{a} \overrightarrow{x})^* v u, \\
 \\
 \triangleright \forall \overline{x:\overrightarrow{A}}. \forall u, v:\overline{a}. (\overline{a} \overrightarrow{x})^* v v \Rightarrow (\overline{a} \overrightarrow{x})^* u v =_{\text{bool}} u =_{\overline{a}} v \quad \text{(PT2)}
 \end{array}$$

$$\overline{c:A} := c : \overline{A}, \triangleright A^* c c \quad (\text{PT3})$$

$$\triangleright \overline{F} := \triangleright \overline{F} \quad (\text{PT4})$$

$$\tau := . \quad (\text{PT5})$$

$$\overline{\Gamma, x:A} := \overline{\Gamma}, x:\overline{A}, \triangleright A^* x x \quad (\text{PT6})$$

$$\overline{\Gamma, \triangleright F} := \overline{\Gamma}, \triangleright \overline{F} \quad (\text{PT7})$$

568 The case of \overline{A} and $A^* s t$ for types A are:

$$\overline{(a t_1 \dots t_n)} := a \quad (\text{PT8})$$

$$(a t_1 \dots t_n)^* s t := a^* \overline{t_1} \dots \overline{t_n} s t \quad (\text{PT9})$$

$$\overline{\Pi x:A. B} := \overline{A} \rightarrow \overline{B} \quad (\text{PT10})$$

$$(\Pi x:A. B)^* f g := \forall x, y: \overline{A}. A^* x y \Rightarrow B^* (f x) (g y) \quad (\text{PT11})$$

$$\overline{\text{bool}} := \text{bool} \quad (\text{PT12})$$

$$\text{bool}^* s t := s =_{\text{bool}} t \quad (\text{PT13})$$

$$\overline{A|_p} := \overline{A} \quad (\text{PT14})$$

$$(A|_p)^* s t := A^* s t \wedge \overline{p} s \wedge \overline{p} t \quad (\text{PT15})$$

$$\overline{A/r} := \overline{A} \quad (\text{PT16})$$

$$(A/r)^* s t := \overline{r} s t \wedge A^* s s \wedge A^* t t \quad (\text{PT17})$$

580 The cases for terms are:

$$\overline{c} := c \quad (\text{PT18})$$

$$\overline{x} := x \quad (\text{PT19})$$

$$\overline{\lambda x:A. t} := \lambda x:\overline{A}. \overline{t} \quad (\text{PT20})$$

$$\overline{f t} := \overline{f} \overline{t} \quad (\text{PT21})$$

$$\overline{F \Rightarrow G} := \overline{F} \Rightarrow \overline{G} \quad (\text{PT22})$$

$$\overline{s =_A t} := A^* \overline{s} \overline{t} \quad (\text{PT23})$$

588 C Completeness proof

589 To simplify the inductive arguments, we will actually prove the following slightly stronger
590 version of the theorem:

591 ► **Theorem 24** (Completeness). *We have*

$$\vdash T \text{ Thy} \quad \text{implies} \quad \vdash \overline{T} \text{ Thy} \quad (1)$$

$$\vdash_{\overline{T}} \Gamma \text{ Ctx} \quad \text{implies} \quad \vdash_{\overline{T}} \overline{\Gamma} \text{ Ctx} \quad (2)$$

$$\Gamma \vdash_{\overline{T}} A \text{ tp} \quad \text{implies} \quad \overline{\Gamma} \vdash_{\overline{T}} \overline{A} \text{ tp} \quad \text{and} \quad \overline{\Gamma} \vdash_{\overline{T}} A^*: \overline{A} \rightarrow \overline{A} \rightarrow \text{bool} \quad (3)$$

$$\Gamma \vdash_{\overline{T}} A \equiv B \quad \text{implies} \quad \overline{\Gamma} \vdash_{\overline{T}} \overline{A} \equiv \overline{B} \quad \text{and} \quad \overline{\Gamma}, x:\overline{A} \vdash_{\overline{T}} A^* x x =_{\text{bool}} B^* x x \quad (4)$$

$$\Gamma \vdash_{\overline{T}} t:A \quad \text{implies} \quad \overline{\Gamma} \vdash_{\overline{T}} \overline{t}:\overline{A} \quad \text{and} \quad \overline{\Gamma} \vdash_{\overline{T}} A^* \overline{t} \overline{t} \quad (5)$$

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In case of \prec : we strengthen the first claim of

$$\bar{\Gamma}, x:, \triangleright \mathbf{A}^* x x \vdash_{\bar{T}} x:\bar{B}$$

to $\bar{\Gamma} \vdash_{\bar{T}} \bar{A} \equiv \bar{B}$ yielding:

$$\Gamma \vdash_{\mathbf{T}} A \prec: B \quad \text{implies} \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{A} \equiv \bar{B} \quad \text{and} \quad \bar{\Gamma}, x, y:\bar{B} \vdash_{\bar{T}} \mathbf{A}^* x y \Rightarrow \mathbf{B}^* x y \quad (6)$$

$$\Gamma \vdash_{\mathbf{T}} F \quad \text{implies} \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{F} \quad (7)$$

In case of term equality, we strengthen the claim to:

$$\Gamma \vdash_{\mathbf{T}} t =_A t' \quad \text{implies} \quad \bar{\Gamma} \vdash_{\bar{T}} \mathbf{A}^* \bar{t} \bar{t}' \quad \text{and} \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{t}:\bar{A} \quad \text{and} \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{t}':\bar{A} \quad (8)$$

Furthermore, the typing relations \mathbf{A}^* are symmetric and transitive on all well-formed types A :

$$\Gamma \vdash_{\mathbf{T}} A \text{ tp} \quad \text{implies} \quad \bar{\Gamma} \vdash_{\bar{T}} \forall x, y:\bar{A}. \mathbf{A}^* x y \Rightarrow \mathbf{A}^* y x \quad (9)$$

$$\Gamma \vdash_{\mathbf{T}} A \text{ tp} \quad \text{implies} \quad \bar{\Gamma} \vdash_{\bar{T}} \forall x, y, z:\bar{A}. \mathbf{A}^* x y \Rightarrow (\mathbf{A}^* y z \Rightarrow \mathbf{A}^* x z) \quad (10)$$

Additionally the substitution lemma holds, i.e.,

$$\Gamma, x:A \vdash_{\mathbf{T}} t:B \text{ and } \Gamma \vdash u:A \quad \text{implies} \quad \bar{\Gamma} \vdash_{\bar{T}} \overline{t[x/u]} =_{\bar{B}} \bar{t}[x/u] \quad (11)$$

$$\Gamma, x:A \vdash_{\mathbf{T}} B \text{ tp and } \Gamma \vdash_{\mathbf{T}} u:B \quad \text{implies} \quad \bar{\Gamma} \vdash_{\bar{T}} \overline{B[x/u]} \equiv \bar{B}[x/u] \quad (12)$$

In the following lines, we assume that if $t = \lambda y:C. s$ for s of type D , then $B = \Pi y:C. D$ (this is enough in practice and we cannot easily show more).

$$\Gamma, x:A \vdash_{\mathbf{T}} t:B \quad \text{implies} \quad \bar{\Gamma}, x, x':\bar{A}, \triangleright \mathbf{A}^* x x' \vdash_{\bar{T}} \mathbf{B}^* \bar{t} \bar{t}[x/x'] \quad (13)$$

Here Case 4 looks weaker than in the original statement, but is easily seen to be equivalent. The equivalence proof uses induction on the shape of the types (reducing the claim to base types), propositional extensionality and the PER axioms.

Proof of Theorem 24. Firstly, we will prove the substitution lemma by induction on the grammar, i.e. by induction on the shape of the terms and types.

Afterwards, we will prove completeness of the translation w.r.t. all DHOL judgements by induction on the derivations. This means that we consider the inference rules of DHOL and prove that if completeness holds for the assumptions of a DHOL inference rule, then it also holds for the conclusion of the rule. For the inductive steps for some typing rules, namely ($=$ type), we also require the fact that for any (well-formed) type A in DHOL we have $\mathbf{A}^*:\bar{A} \rightarrow \bar{A} \rightarrow \text{bool}$. This follows directly from how the \mathbf{A}^* are generated/defined in the translation.

C.1 Substitution lemma and symmetry and transitivity of the typing relations

Since the translation of types commutes with the type productions of the grammar (12) is obvious.

We show (11) by induction on the grammar of DHOL. If x is not a free variable in t , then $\overline{t[x/u]} = \overline{t} = \overline{t[x/u]}$ and the claim (11) follows by rule (refl). So assume that x is a free variable of t .

If t is a variable, then by assumption (that x is a free variable in t) it follows that $t = x$ and thus $\overline{t[x/u]} = \overline{u} = \overline{t[x/u]}$ and the claim follows by rule (refl).

If t is a λ -term $\lambda y:A. s$, then by induction hypothesis we have $\overline{\Gamma}, y:\overline{A} \vdash_{\overline{T}} \overline{s[x/u]} =_{\overline{A}} \overline{s[x/u]}$, where A is the type of s . By rule (cong λ), the claim of $\overline{\Gamma} \vdash_{\overline{T}} \lambda y:A. \overline{s[x/u]} =_{\overline{B}} \overline{\lambda y:A. s[x/u]}$ follows.

If t is a function application $f s$, then by induction hypothesis we have $\overline{\Gamma} \vdash_{\overline{T}} \overline{s[x/u]} =_{\overline{A}} \overline{s[x/u]}$ and $\overline{\Gamma} \vdash_{\overline{T}} \overline{f[x/u]} =_{\overline{A \rightarrow B}} \overline{f[x/u]}$, where A is the type of s . By rule (congApp), the claim of $\overline{\Gamma} \vdash_{\overline{T}} \overline{(f s)[x/u]} =_{\overline{B}} \overline{f s[x/u]}$ follows.

If t is an equality $s =_A s'$, then by induction hypothesis we have $\overline{\Gamma} \vdash_{\overline{T}} \overline{s[x/u]} =_{\overline{A}} \overline{s[x/u]}$ and $\overline{\Gamma} \vdash_{\overline{T}} \overline{s'[x/u]} =_{\overline{A}} \overline{s'[x/u]}$, where A is the type of s and s' . By rule (= cong), the claim of $\overline{\Gamma} \vdash_{\overline{T}} \overline{(s =_A s')[x/u]} =_{\text{bool}} \overline{(s =_A s') [x/u]}$ follows.

Before we can show (13), we first need to prove the symmetry and transitivity of the typing relations: We can prove both by induction on the type A . Denote $\Delta := \overline{\Gamma}, x, y:\overline{A}, \triangleright_{A^*} x y$ and $\Theta := \overline{\Gamma}, x, y, z:\overline{A}, \triangleright_{A^*} x y, \triangleright_{A^*} y z$ respectively. If we can show $\Delta \vdash_{\overline{T}} A^* y x$ and $\Theta \vdash_{\overline{T}} A^* x z$ respectively, then the claims (9) and (10) follows by the rules (\Rightarrow I), (\forall I), (varS) and (assume). Those are therefore the claims we are going to show.

Observe that for types declared in the theory T , the symmetry and transitivity of A^* follows from the axiom generated by the translation (in case (PT2)) of the type declaration declaring A . This follows from the symmetry and transitivity of equality and (11).

If A is **bool**, the typing relation is $=_{\text{bool}}$ which is symmetric and transitive by the rules (sym) and (trans) respectively. In these cases the claims follows by the rule (assume) and rule (sym) resp. by rule (assume) and rule (trans).

If A is a Π -type $\Pi x:C. D$ we have $C^* f g = \forall x, y:\overline{C}. C^* x y \Rightarrow D^* f x g y$. Then we have

$$\Delta = \overline{\Gamma}, x, y:\overline{A}, \triangleright_{\forall w:\overline{C}. \forall w':\overline{C}. C^* w w' \Rightarrow D^* (x w) (y w')}$$

and

$$\begin{aligned} \Theta = \overline{\Gamma}, x, y, z:\overline{A}, \triangleright_{\forall w:\overline{C}. \forall w':\overline{C}. C^* w w' \Rightarrow D^* (x z) (y z')}, \\ \triangleright_{\forall w:\overline{C}. \forall w':\overline{C}. C^* w w' \Rightarrow D^* (y w) (z w')}. \end{aligned}$$

The claim is

$$\Delta \vdash_{\overline{T}} \forall w, w':\overline{C}. C^* w w' \Rightarrow D^* (y w) (x w')$$

and

$$\Theta \vdash_{\overline{T}} \forall w, w':\overline{C}. C^* w w' \Rightarrow D^* (x w) (z w')$$

respectively.

We can prove the claim for (9) by

$$\Delta, w, w':\overline{C}, \triangleright_{C^* w w' \vdash_{\overline{T}}}$$

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$$\begin{array}{lcl} 662 & \mathbf{C}^* w w' \Rightarrow \mathbf{D}^* (x w) (y w') & (\forall E), (\forall E), (\text{assume}) \quad (14) \end{array}$$

$$\begin{array}{lcl} 663 & \Delta, w, w': \overline{C}, \triangleright \mathbf{C}^* w w' \vdash_{\overline{T}} & \\ 664 & \mathbf{D}^* (x w) (y w') & (\Rightarrow E), (14), (\text{assume}) \quad (15) \end{array}$$

$$\begin{array}{lcl} 665 & \Delta, w, w': \overline{C}, \triangleright \mathbf{C}^* w w' \vdash_{\overline{T}} & \\ 666 & \mathbf{D}^* (x w) (y w') \Rightarrow \mathbf{D}^* (y w) (x w') & \text{induction hypothesis} \quad (16) \end{array}$$

$$\begin{array}{lcl} 667 & \Delta, w, w': \overline{C}, \triangleright \mathbf{C}^* w w' \vdash_{\overline{T}} & \\ 668 & \mathbf{D}^* (x w) (y w') & (\Rightarrow E), (16), (15) \quad (17) \end{array}$$

$$\begin{array}{lcl} 670 & \Delta, w, w': \overline{C} \vdash_{\overline{T}} \mathbf{C}^* w w' \Rightarrow \mathbf{D}^* (y w) (x w') & (\Rightarrow I), (17) \quad (18) \end{array}$$

$$\begin{array}{lcl} 671 & \Delta \vdash_{\overline{T}} \forall w, w': \overline{C}. \mathbf{C}^* w w' \Rightarrow \mathbf{D}^* (y w) (x w') & (\forall I), (\forall I), (18) \end{array}$$

672 We can prove the claim for (10) similarly. For this denote $\Lambda := \Theta, w, w': \overline{C}, \triangleright \mathbf{C}^* w w'$.

$$\begin{array}{lcl} 673 & \Lambda \vdash_{\overline{T}} \mathbf{C}^* w w' \Rightarrow \mathbf{D}^* (x w) (y w') & (\forall E), (\forall E), (\text{assume}) \quad (19) \end{array}$$

$$\begin{array}{lcl} 674 & \Lambda \vdash_{\overline{T}} \mathbf{C}^* w w' \Rightarrow \mathbf{D}^* (y w) (z w') & (\forall E), (\forall E), (\text{assume}) \quad (20) \end{array}$$

$$\begin{array}{lcl} 675 & \Lambda \vdash_{\overline{T}} \mathbf{D}^* (x w) (y w') & (\Rightarrow E), (19), (\text{assume}) \quad (21) \end{array}$$

$$\begin{array}{lcl} 676 & \Lambda \vdash_{\overline{T}} \mathbf{D}^* (y w) (z w') & (\Rightarrow E), (20), (\text{assume}) \quad (22) \end{array}$$

$$\begin{array}{lcl} 677 & \Lambda \vdash_{\overline{T}} \mathbf{D}^* (x w) (y w') \Rightarrow (\mathbf{D}^* (y w) (z w')) & \\ 678 & \Rightarrow \mathbf{D}^* (x w) (z w') & \text{induction hypothesis} \quad (23) \end{array}$$

$$\begin{array}{lcl} 679 & \Lambda \vdash_{\overline{T}} \mathbf{D}^* (y w) (z w') \Rightarrow \mathbf{D}^* (x w) (z w') & (\Rightarrow E), (23), (21) \quad (24) \end{array}$$

$$\begin{array}{lcl} 680 & \Lambda \vdash_{\overline{T}} \mathbf{D}^* (x w) (z w') & (\Rightarrow E), (24), (22) \quad (25) \end{array}$$

$$\begin{array}{lcl} 681 & \Theta, w, w': \overline{C} \vdash_{\overline{T}} \mathbf{C}^* w w' \Rightarrow \mathbf{D}^* (x w) (z w') & (\Rightarrow E), (25), (\text{assume}) \quad (26) \end{array}$$

$$\begin{array}{lcl} 682 & \overline{\Gamma} \vdash_{\overline{T}} \forall w, w': \overline{C}. \mathbf{C}^* w w' \Rightarrow \mathbf{D}^* (x w) (z w') & (\forall I), (\forall I), (26) \end{array}$$

683 If A is a quotient-type B/r we have $\mathbf{A}^* s t = \overline{r} s t \wedge \mathbf{A}^* s s \wedge \mathbf{A}^* s s$ for all terms $s, t: \overline{A}$. Observe
684 that the assumption $\text{EqRel}(r) := \forall x, y: B. (x =_B y \Rightarrow r x y) \wedge (r x y \Rightarrow r y x) \wedge (\forall z: B. r x y \wedge$
685 $r y z \Rightarrow r x z)$ for B/r is translated to $\forall x: \overline{B}. \mathbf{B}^* x x \Rightarrow \forall y: \overline{B}. \mathbf{B}^* y y \Rightarrow (\mathbf{B}^* x y \Rightarrow \overline{r} x y) \wedge$
686 $(\overline{r} x y \Rightarrow \overline{r} y x) \wedge (\forall z: \overline{B}. \mathbf{B}^* z z \Rightarrow \overline{r} x y \wedge \overline{r} y z \Rightarrow \overline{r} x z)$, which implies that \overline{r} is an equivalence
687 for terms x satisfying $\mathbf{B}^* x x$. Therefore, \mathbf{A}^* is also an equivalence relation.

688 It remains to consider the case of $A = B|_p$. In this case, the claim is $\Delta \vdash_{\overline{T}} \mathbf{B}^* y x \wedge \overline{p} y \wedge \overline{p} x$
689 respectively $\Theta \vdash_{\overline{T}} \mathbf{B}^* x z \wedge \overline{p} x \wedge \overline{p} z$. Applying the induction hypothesis for type B yields
690 $\Delta \vdash_{\overline{T}} \mathbf{B}^* y x$ respectively $\Theta \vdash_{\overline{T}} \mathbf{B}^* x z$. So it remains to show that $\Delta \vdash_{\overline{T}} \overline{p} y \wedge \overline{p} x$ and
691 $\Theta \vdash_{\overline{T}} \overline{p} x \wedge \overline{p} z$ respectively hold. We can show them using rule $(\wedge I)$ given $\Delta \vdash_{\overline{T}} \overline{p} y$ and
692 $\Delta \vdash_{\overline{T}} \overline{p} x$ respectively $\Theta \vdash_{\overline{T}} \overline{p} x$ and $\Theta \vdash_{\overline{T}} \overline{p} z$. Those statements follow from rule (assume)
693 and the elimination rules of \wedge .

694 This concludes the proof of (9) and (10).

695 We show (13) by induction on the grammar: Without loss of generality we may assume
696 that $B =: B'|_p$ for B' either a quotient-, a base- or a Π -type. This is due to the fact that
697 quotient-, base- and Π -types B' can be written as $B'|_{\lambda x: B'. \text{true}}$ and types of the form $B''|_p|_q$
698 can be rewritten as $B''|_{\lambda x: B''. p x \wedge q x}$.

699 If t is a constant or variable then $\overline{t}[x/x'] = \overline{t}$ and by case (PT6) resp. by case (PT4) in the
700 definition of the translation, we have $\mathbf{A}^* \overline{t} \overline{t}$. So the claim holds.

701 If t is a λ -term $\lambda y:C. s$ and $B' = \Pi z:C. D$, then by induction hypothesis we have

$$702 \quad \bar{\Gamma}, x, x':\bar{A}, \triangleright \mathbf{A}^* x x' \vdash_{\bar{T}} \mathbf{D}^* \bar{s} \bar{s}[x/x'].$$

703 By the rules $(\forall I)$, $(\Rightarrow I)$, we yield

$$704 \quad \bar{\Gamma} \vdash_{\bar{T}} \forall x, y:\bar{A}. \mathbf{A}^* x y \Rightarrow \mathbf{D}^* \bar{s} \bar{s}[x/x'].$$

705 By definition (PT11) this is exactly

$$706 \quad \bar{\Gamma}, x, x':\bar{A}, \triangleright \mathbf{A}^* x x' \vdash_{\bar{T}} \mathbf{B}'^* \bar{t} \bar{t}[x/x'].$$

707 Since t is a λ -term, by assumption we have that $B \equiv B' = B|_{\lambda z:B. \text{true}}$, so the claim follows
708 trivially.

709 If t is a function application $f s$ with f of type $\Pi z:C. D$ and s of type C , then by assumption
710 $B = D \equiv B' = B|_{\lambda z:B. \text{true}}$, so it suffices to prove that

$$711 \quad \bar{\Gamma}, x, x':\bar{A}, \triangleright \mathbf{A}^* x x' \vdash_{\bar{T}} \mathbf{D}^* \bar{f} \bar{s} \bar{f} \bar{s}[x/x'].$$

712 By induction hypothesis and (11) we then have:

$$713 \quad \bar{\Gamma}, x, x':\bar{A}, \triangleright \mathbf{A}^* x x' \vdash_{\bar{T}} (\Pi z:C. \mathbf{D})^* \bar{f} \bar{f}[x/x']$$

714 and

$$715 \quad \bar{\Gamma}, x, x':\bar{A}, \triangleright \mathbf{A}^* x x' \vdash_{\bar{T}} \mathbf{C}^* \bar{s} \bar{s}[x/x']. \quad (27)$$

716 By definition (PT11), we can unpack the former to:

$$717 \quad \bar{\Gamma}, x, x':\bar{A}, \triangleright \mathbf{A}^* x x' \vdash_{\bar{T}} \forall z, z':\bar{C}. \mathbf{C}^* z z' \Rightarrow (\Pi z:C. \mathbf{D})^* \bar{f} z \bar{f}[x/x'] z'[x/x'] \quad (28)$$

718 Using the rules $(\forall E)$ and $(\Rightarrow E)$ (using (28)) to plug in \bar{s} resp. $\bar{s}[x/x']$ for z, z' in (28), we
719 yield:

$$720 \quad \bar{\Gamma}, x, x':\bar{A}, \triangleright \mathbf{A}^* x x' \vdash_{\bar{T}} (\Pi z:C. \mathbf{D})^* \bar{f} \bar{s} \bar{f}[x/x'] \bar{s}[x/x']$$

721 which is exactly the desired result.

722 By definition (PT13), the typing relation for type **bool** is ordinary equality, so the cases of t
723 being an implication or Boolean equality are in fact special cases of (11), which is already
724 proven above. It remains to consider the case of t being an equality $s =_C s'$ for $C \neq \text{bool}$.
725 In this case, the induction hypothesis implies that

$$726 \quad \bar{\Gamma}, x, x':\bar{A}, \triangleright \mathbf{A}^* x x' \vdash_{\bar{T}} \mathbf{C}^* \bar{s} \bar{s}[x/x'] \quad (29)$$

727 and

$$728 \quad \bar{\Gamma}, x, x':\bar{A}, \triangleright \mathbf{A}^* x x' \vdash_{\bar{T}} \mathbf{C}^* \bar{s}' \bar{s}'[x/x'] \quad (30)$$

729 We need to prove

$$730 \quad \bar{\Gamma}, x, x':\bar{A}, \triangleright \mathbf{A}^* x x' \vdash_{\bar{T}} \mathbf{C}^* \bar{s} \bar{s}' =_{\text{bool}} \mathbf{C}^* \bar{s}[x/x'] \bar{s}'[x/x'].$$

731 If we can show

$$732 \quad \bar{\Gamma}, x, x':\bar{A}, \triangleright \mathbf{A}^* x x', \triangleright \mathbf{C}^* \bar{s} \bar{s}' \vdash_{\bar{T}} \mathbf{C}^* \bar{s}[x/x'] \bar{s}'[x/x']$$

733 and similarly also

$$734 \quad \bar{\Gamma}, x, x':\bar{A}, \triangleright \mathbf{A}^* x x', \triangleright \mathbf{C}^* \bar{s}[x/x'] \bar{s}'[x/x'] \vdash_{\bar{T}} \mathbf{C}^* \bar{s} \bar{s}',$$

735 then the claim follows by rule (propExt).

736 Both follows from the transitivity (10) of the typing relation \mathbf{C}^* .

737 C.2 Proof of remaining soundness theorem by induction on DHOL 738 derivations

739 C.2.1 Well-formedness of theories

740 Well-formedness of DHOL theories can be shown using the rules (thyEmpty), (thyType'),
741 (thyConst) and (thyAxiom):

742 **(thyEmpty):**

$$\begin{array}{ll} 743 \quad \vdash \circ \text{ Thy} & (\text{thyEmpty}) \quad (31) \\ 744 \quad \vdash^H \circ \text{ Thy} & (\text{thyEmpty}) \end{array}$$

745 **(thyType'):**

$$746 \quad \vdash_{\mathcal{T}} x_1:A_1, \dots, x_n:A_n \text{ Ctx} \quad \text{by assumption} \quad (32)$$

$$747 \quad \vdash_{\overline{\mathcal{T}}} x_1:\overline{A_1}, A_1^* x_1 x_1, \dots, x_n:\overline{A_n}, \triangleright A_n^* x_n x_n \text{ Ctx} \quad \text{induction hypothesis, (32)} \quad (33)$$

$$748 \quad \vdash^H \overline{\mathcal{T}} \text{ Thy} \quad (\text{ctxThy}), (33) \quad (34)$$

$$749 \quad \vdash^H \overline{\mathcal{T}}, a:\text{tp} \text{ Thy} \quad (\text{thyType}), (34) \quad (35)$$

$$750 \quad \vdash^H \overline{\mathcal{T}}, a:\prod x_1:A_1. \dots \prod x_n:A_n. \text{tp} \text{ Thy} \quad \text{PT2, (35)}$$

751 **(thyConst):**

$$752 \quad \vdash_{\mathcal{T}} A \text{ tp} \quad \text{by assumption} \quad (36)$$

$$753 \quad \vdash_{\overline{\mathcal{T}}} \overline{A} \text{ tp} \quad \text{induction hypothesis, (36)} \quad (37)$$

$$754 \quad \vdash^H \overline{\mathcal{T}}, c:\overline{A} \text{ Thy} \quad (\text{thyConst}), (37) \quad (38)$$

$$755 \quad \vdash^H \overline{\mathcal{T}}, c:\overline{A} \text{ Thy} \quad \text{PT3, (38)} \quad (39)$$

756 **(thyAxiom):**

$$757 \quad \vdash_{\mathcal{T}} F:\text{bool} \quad \text{by assumption} \quad (40)$$

$$758 \quad \vdash_{\overline{\mathcal{T}}} \overline{F}:\text{bool} \quad \text{induction hypothesis, (40)} \quad (41)$$

$$759 \quad \vdash^H \overline{\mathcal{T}}, \triangleright \overline{F} \text{ Thy} \quad (\text{thyAxiom}), (41) \quad (42)$$

$$760 \quad \vdash^H \overline{\mathcal{T}}, \triangleright \overline{F} \text{ Thy} \quad \text{PT4, (42)} \quad (43)$$

761 C.2.2 Well-formedness of contexts

762 Well-formedness of contexts can be concluded using the rules (ctxEmpty), (ctxVar) and
763 (ctxAssume):

764 **(ctxEmpty):**

$$765 \quad \vdash \overline{\mathcal{T}} \text{ Thy} \quad \text{by assumption} \quad (44)$$

$$766 \quad \vdash^H \overline{\mathcal{T}} \text{ Thy} \quad \text{induction hypothesis, (44)} \quad (45)$$

$$767 \quad \vdash_{\overline{\mathcal{T}}} \text{ Ctx} \quad (\text{ctxEmpty}), (45) \quad (46)$$

$$768 \quad \vdash_{\overline{\mathcal{T}}} \text{ Ctx} \quad \text{PT5, (46)} \quad (47)$$

769 **(ctxVar):**

770	$\Gamma \vdash_{\mathcal{T}} A \text{ tp}$	by assumption	(48)
771	$\bar{\Gamma} \vdash_{\bar{\mathcal{T}}} \bar{A} \text{ tp}$	induction hypothesis,(48)	(49)
772	$\bar{\Gamma} \vdash_{\bar{\mathcal{T}}} A^* : \bar{A} \rightarrow \bar{A} \rightarrow \text{bool}$	induction hypothesis,(48)	(50)
773	$\vdash_{\bar{\mathcal{T}}} \bar{\Gamma}, x:\bar{A} \text{ Ctx}$	(ctxVar),(49)	(51)
774	$\bar{\Gamma}, x:\bar{A} \vdash_{\bar{\mathcal{T}}} A^* : \bar{A} \rightarrow \bar{A} \rightarrow \text{bool}$	(var \vdash),(49),(50)	(52)
775	$\bar{\Gamma}, x:\bar{A} \vdash_{\bar{\mathcal{T}}} A^* x x : \text{bool}$	(appl),(52),(varS)	(53)
776	$\vdash_{\bar{\mathcal{T}}} \bar{\Gamma}, x:\bar{A}, A^* x x \text{ Ctx}$	(ctxAssume),(53)	(54)
777	$\vdash_{\bar{\mathcal{T}}} \bar{\Gamma}, x:\bar{A} \text{ Ctx}$	PT6,(54)	(55)

778 **(ctxAssume):**

779	$\Gamma \vdash_{\mathcal{T}} F : \text{bool}$	by assumption	(56)
780	$\bar{\Gamma} \vdash_{\bar{\mathcal{T}}} \bar{F} : \text{bool}$	induction hypothesis,(56)	(57)
781	$\vdash_{\bar{\mathcal{T}}} \bar{\Gamma}, \triangleright \bar{F} \text{ Ctx}$	(ctxAssume),(57)	(58)
782	$\vdash_{\bar{\mathcal{T}}} \bar{\Gamma}, \triangleright \bar{F} \text{ Ctx}$	PT7,(58)	(59)

783 C.2.3 Well-formedness of types

784 Well-formedness of types can be shown in DHOL using the rules (type'), (bool), (pi), (Q)
 785 and ($|_p \text{tp}$):

786 **(type'):**

787	$a : \Pi x_1 : A_1. \dots \Pi x_n : A_n. \text{tp in } T$	by assumption	(60)
788	$\Gamma \vdash_{\mathcal{T}} t_1 : A_1$	by assumption	(61)
789	\vdots		
790	$\Gamma \vdash_{\mathcal{T}} t_n : A_n [x_1/t_1] \dots [x_n/t_n]$	by assumption	(62)
791	$\bar{\Gamma} \vdash_{\bar{\mathcal{T}}} \bar{t}_1 : \bar{A}_1$	induction hypothesis,(61)	(63)
792	\vdots		
793	$\bar{\Gamma} \vdash_{\bar{\mathcal{T}}} \bar{t}_n : \bar{A}_n$	induction hypothesis,(62)	(64)
794	$a : \text{tp in } \bar{T}$	PT2,(60)	(65)
795	$a^* : \bar{A}_1 \rightarrow \dots \bar{A}_n \rightarrow a \rightarrow a \rightarrow \text{bool in } \bar{T}$	PT2,(60)	(66)
796	$\bar{\Gamma} \vdash_{\bar{\mathcal{T}}} a^* : \bar{A}_1 \rightarrow \dots \bar{A}_n \rightarrow a \rightarrow a \rightarrow \text{bool}$	(constS),(66)	(67)
797	$\bar{\Gamma} \vdash_{\bar{\mathcal{T}}} a^* \bar{t}_1 : \bar{A}_2 \rightarrow \dots \bar{A}_n \rightarrow a \rightarrow a \rightarrow \text{bool}$	(appl),(67),(63)	(68)
798	\vdots		
799	$\bar{\Gamma} \vdash_{\bar{\mathcal{T}}} a^* \bar{t}_1 \dots \bar{t}_n : a \rightarrow a \rightarrow \text{bool}$	(appl),previous line,(64)	(69)
800	$\vdash_{\bar{\mathcal{T}}} \bar{\Gamma} \text{ Ctx}$	(tpCtx),(typingTp),(67)	(70)
801	$\bar{\Gamma} \vdash_{\bar{\mathcal{T}}} a \text{ tp}$	(type),(65),(70)	

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802 $\bar{\Gamma} \vdash_{\bar{T}} (a \ t_1 \ \dots \ t_n)^* : \bar{a} \rightarrow \bar{a} \rightarrow \text{bool}$ PT21,(69)

803 **(bool):**

804 $\vdash_{\bar{T}} \Gamma \text{ Ctx}$ by assumption (71)

805 $\vdash_{\bar{T}} \bar{\Gamma} \text{ Ctx}$ induction hypothesis,(71) (72)

806 $\vdash_{\bar{T}} \text{bool tp}$ (bool),(72) (73)

807 $\vdash_{\bar{T}} \overline{\text{bool}} \text{ tp}$ PT12,(73)

808 bool^* is just a notation of $=_{\text{bool}}$ which is of type $\text{bool} \rightarrow \text{bool} \rightarrow \text{bool}$ in HOL, as desired.

809 **(pi):**

810 $\Gamma \vdash_{\bar{T}} A \text{ tp}$ by assumption (74)

811 $\Gamma, x:A \vdash_{\bar{T}} B \text{ tp}$ by assumption (75)

812 $\bar{\Gamma} \vdash_{\bar{T}} \bar{A} \text{ tp}$ induction hypothesis,(74) (76)

813 $\bar{\Gamma}, x:\bar{A}, \triangleright A^* \ x \ x \vdash_{\bar{T}} \bar{B} \text{ tp}$ induction hypothesis,(75) (77)

814 $\bar{\Gamma} \vdash_{\bar{T}} \bar{B} \text{ tp}$ HOL types context independent,(77) (78)

815 $\bar{\Gamma} \vdash_{\bar{T}} \bar{A} \rightarrow \bar{B} \text{ tp}$ (arrow),(76),(78) (79)

816 $\bar{\Gamma} \vdash_{\bar{T}} A^* : \bar{A} \rightarrow \bar{A} \rightarrow \text{bool}$ induction hypothesis,(74) (80)

817 $\bar{\Gamma} \vdash_{\bar{T}} B^* : \bar{B} \rightarrow \bar{B} \rightarrow \text{bool}$ induction hypothesis,(75) (81)

818 $\bar{\Gamma} \vdash_{\bar{T}} \overline{\Pi x:A. B} \text{ tp}$ PT10,(79)

819 $\bar{\Gamma} \vdash_{\bar{T}} (\Pi x:A. B)^* : (\overline{\Pi x:A. B}) \rightarrow (\overline{\Pi x:A. B}) \rightarrow \text{bool}$ PT11,(80),(81)

820 **(Q):**

821 $\Gamma \vdash_{\bar{T}} A \text{ tp}$ by assumption (82)

822 $\Gamma \vdash_{\bar{T}} r : \Pi x_1:A. \Pi x_2:A. \text{bool}$ by assumption (83)

823 $\bar{\Gamma} \vdash_{\bar{T}} \bar{A} \text{ tp}$ induction hypothesis,(82) (84)

824 $\bar{\Gamma} \vdash_{\bar{T}} A^* : \bar{A} \rightarrow \bar{A} \rightarrow \text{bool}$ induction hypothesis,(82) (85)

825 $\bar{\Gamma} \vdash_{\bar{T}} \bar{r} : \bar{A} \rightarrow \bar{A} \rightarrow \text{bool}$ induction hypothesis,(83) (86)

826 $\bar{\Gamma} \vdash_{\bar{T}} \bar{A}/r \text{ tp}$ PT16,(84)

827 $\bar{\Gamma}, x, y:\bar{A} \vdash_{\bar{T}} A^* \ x \ x : \text{bool}$ (appl),(appl),(85),(var),(var) (87)

828 $\bar{\Gamma}, x, y:\bar{A} \vdash_{\bar{T}} A^* \ y \ y : \text{bool}$ (appl),(appl),(85),(var),(var) (88)

829 $\bar{\Gamma}, x, y:\bar{A} \vdash_{\bar{T}} \bar{r} \ x \ x : \text{bool}$ (appl),(appl),(86),(var),(var) (89)

830 $\bar{\Gamma}, x, y:\bar{A} \vdash_{\bar{T}} \bar{r} \ x \ y \wedge A^* \ x \ x \wedge A^* \ y \ y : \text{bool}$ (\wedge),(89),(\wedge),(87),(88) (90)

831 $\bar{\Gamma} \vdash_{\bar{T}} \lambda x, y:\bar{A}. \bar{r} \ x \ y \wedge A^* \ x \ x \wedge A^* \ y \ y : \bar{A} \rightarrow \bar{A} \rightarrow \text{bool}$ (lambda),(lambda),(90) (91)

832 $\bar{\Gamma} \vdash_{\bar{T}} (\bar{A}/r)^* : (\overline{\bar{A}/r}) \rightarrow (\overline{\bar{A}/r}) \rightarrow \text{bool}$ PT16,PT17,(91)

834 **(|_p tp):**

835 $\Gamma \vdash_{\bar{T}} p : \Pi x:A. \text{bool}$ by assumption (92)

$$\begin{array}{lll}
836 & \bar{\Gamma} \vdash_{\bar{T}} \bar{p} : \bar{A} \rightarrow \text{bool} & \text{induction hypothesis, (92)} \quad (93) \\
837 & \bar{\Gamma} \vdash_{\bar{T}} \bar{A} \rightarrow \text{bool} \text{ tp} & (\text{typingTp}), (93) \quad (94)
\end{array}$$

Since statements of shape $\vdash B \rightarrow C$ tp only provable using rule (arrow):

$$\begin{array}{lll}
838 & \bar{\Gamma} \vdash_{\bar{T}} \bar{A} \text{ tp} & \text{see above, (94)} \quad (95) \\
839 & \bar{\Gamma} \vdash_{\bar{T}} \bar{A} |_{\bar{p}} \text{ tp} & \text{PT14, (95)} \\
840 & \bar{\Gamma} \vdash_{\bar{T}} \forall x, y : \bar{A}. A^* x y \Rightarrow \bar{p} x =_{\text{bool}} \bar{p} y & \text{induction hypothesis, PT11, (92)} \quad (96) \\
841 & \bar{\Gamma}, x, y : \bar{A} \vdash_{\bar{T}} A^* x y \Rightarrow \bar{p} x =_{\text{bool}} \bar{p} y & (\text{monotonic} \vdash), (\forall E), (\text{monotonic} \vdash), \\
842 & & (\forall E), (\text{monotonic} \vdash), (96), (\text{var}), (\text{var}) \quad (97) \\
843 & \bar{\Gamma}, x, y : \bar{A} \vdash_{\bar{T}} A^* x y : \text{bool} & (\text{implTypingL}), (97) \quad (98) \\
844 & \bar{\Gamma}, x, y : \bar{A} \vdash_{\bar{T}} A^* : \bar{A} \rightarrow \bar{A} \rightarrow \text{bool} & (\text{applType}), (\text{var}), (\text{applType}), (\text{var}), (98) \quad (99)
\end{array}$$

845 Since x, y don't occur in A^* and HOL types are context independent:

$$\begin{array}{lll}
846 & \bar{\Gamma} \vdash_{\bar{T}} A^* : \bar{A} \rightarrow \bar{A} \rightarrow \text{bool} & \text{see above, (99)} \quad (100) \\
847 & \bar{\Gamma} \vdash_{\bar{T}} (A|_{\bar{p}})^* : \bar{A} \rightarrow \bar{A} \rightarrow \text{bool} & \text{PT15, (100)}
\end{array}$$

848 C.2.4 Type-equality

849 Type-equality can be shown using the rules (congBase'), (STantisym), (congII) and ($\equiv \text{bool}$): Observe
850 that by the rules (var \vdash), (congAppl), (var), instead of proving $\bar{\Gamma}, x, y : \bar{A} \vdash_{\bar{T}} A^* x y =_{\text{bool}} A^* x y$ we
851 may simply prove $\bar{\Gamma} \vdash_{\bar{T}} A^* =_{\bar{A} \rightarrow \bar{A} \rightarrow \text{bool}} A^* x y$.

852 (**congBase'**):

$$\begin{array}{lll}
853 & a : \prod x_1 : A_1. \dots \prod x_n : A_n. \text{ tp in } T & \text{by assumption} \quad (101) \\
854 & \Gamma \vdash_T s_1 =_{A_1} t_1 & \text{by assumption} \quad (102) \\
855 & \vdots & \\
856 & \Gamma \vdash_T s_n =_{A_n[x_1/t_1] \dots [x_{n-1}/t_{n-1}]} t_n & \text{by assumption} \quad (103) \\
857 & a : \text{tp in } \bar{T} & \text{PT2, (101)} \quad (104) \\
858 & a^* : \bar{A}_1 \rightarrow \dots \rightarrow \bar{A}_n \rightarrow \bar{a} \rightarrow \bar{a} \rightarrow \text{bool in } \bar{T} & \text{PT2, (101)} \quad (105) \\
859 & \bar{\Gamma} \vdash_{\bar{T}} \bar{s}_1 =_{\bar{A}_1} \bar{t}_1 & \text{induction hypothesis, (102)} \quad (106) \\
860 & \vdots & \\
861 & \bar{\Gamma} \vdash_{\bar{T}} \bar{s}_n =_{\bar{A}_n} \bar{t}_n & \text{induction hypothesis, (103)} \quad (107) \\
862 & \vdash_{\bar{T}} \bar{\Gamma} \text{ Ctx} & (\text{tpCtx}), (\text{typingTp}), (\text{eqTyping}), (106) \quad (108) \\
863 & \bar{\Gamma} \vdash_{\bar{T}} a : \text{tp} & (\text{type}), (104), (108) \quad (109) \\
864 & \bar{\Gamma} \vdash_{\bar{T}} a \equiv a & (\text{congBase}), (109) \quad (110) \\
865 & \bar{\Gamma} \vdash_{\bar{T}} a^* =_{\bar{A}_1 \rightarrow \dots \rightarrow \bar{A}_n \rightarrow \bar{a} \rightarrow \bar{a} \rightarrow \text{bool}} a^* & (\text{refl}), (\text{constS}), (105), (108) \quad (111) \\
866 & \bar{\Gamma} \vdash_{\bar{T}} a^* \bar{s}_1 =_{\bar{A}_2 \rightarrow \dots \rightarrow \bar{A}_n \rightarrow \bar{a} \rightarrow \bar{a} \rightarrow \text{bool}} a^* \bar{t}_1 & (\text{congAppl}), (106), (111) \quad (112) \\
867 & \vdots & \\
868 & \bar{\Gamma} \vdash_{\bar{T}} a^* \bar{s}_1 \dots \bar{s}_n =_{\bar{a} \rightarrow \bar{a} \rightarrow \text{bool}} a^* \bar{t}_1 \dots \bar{t}_n & (\text{congAppl}), (107), \text{previous line} \quad (113) \\
869 & \bar{\Gamma} \vdash_{\bar{T}} \bar{a} \bar{s}_1 \dots \bar{s}_n \equiv \bar{a} \bar{t}_1 \dots \bar{t}_n & \text{PT8, (110)} \\
870 & \bar{\Gamma} \vdash_{\bar{T}} \bar{a} (\bar{a} \bar{s}_1 \dots \bar{s}_n)^* =_{\bar{a} \rightarrow \bar{a} \rightarrow \text{bool}} (\bar{a} \bar{t}_1 \dots \bar{t}_n)^* & \text{PT9, (113)}
\end{array}$$

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871 (STantisym):

$$872 \quad \Gamma \vdash_{\top} A \prec: A' \quad \text{by assumption} \quad (114)$$

$$873 \quad \Gamma \vdash_{\top} A' \prec: A \quad \text{by assumption} \quad (115)$$

$$874 \quad \bar{\Gamma} \vdash_{\bar{\top}} \bar{A} \equiv \bar{A'} \quad \text{induction hypothesis, (114)} \quad (116)$$

$$875 \quad \Gamma, x, y: \bar{A} \vdash_{\bar{\top}} A^* x y \Rightarrow A'^* x y \quad \text{induction hypothesis, (114)} \quad (117)$$

$$876 \quad \bar{\Gamma}, x: \bar{A} \vdash_{\bar{\top}} A^* x x \Rightarrow A'^* x x \quad (\forall E), (\forall I), (117), (\text{var}) \quad (118)$$

$$877 \quad \Gamma, x, y: \bar{A'} \vdash_{\bar{\top}} A'^* x y \Rightarrow A^* x y \quad \text{induction hypothesis, (115)} \quad (119)$$

$$878 \quad \bar{\Gamma}, x: \bar{A'} \vdash_{\bar{\top}} A'^* x x \Rightarrow A^* x x \quad (\forall E), (\forall I), (119), (\text{var}) \quad (120)$$

$$879 \quad \bar{\Gamma} \vdash_{\bar{\top}} \forall x: \bar{A}. A'^* x x \Rightarrow A^* x x \quad (\text{cong}\vdash), (\forall \text{cong}), (\equiv \text{trans}), (116), (\text{refl}), (\forall I), (120) \quad (121)$$

$$880 \quad \bar{\Gamma}, x: \bar{A} \vdash_{\bar{\top}} A'^* x x \Rightarrow A^* x x \quad (\forall E), (\text{var}\vdash), (121), (\text{var}) \quad (122)$$

$$881 \quad \bar{\Gamma}, x: \bar{A} \vdash_{\bar{\top}} A^* x x =_{\text{bool}} A'^* x x \quad (\text{propExt}), (118), (122)$$

882 (congII):

$$883 \quad \Gamma \vdash_{\top} A \equiv A' \quad \text{by assumption} \quad (123)$$

$$884 \quad \Gamma, x: A \vdash_{\top} B \equiv B' \quad \text{by assumption} \quad (124)$$

$$885 \quad \bar{\Gamma} \vdash_{\bar{\top}} \bar{A} \equiv \bar{A'} \quad \text{induction hypothesis, (123)} \quad (125)$$

$$886 \quad \bar{\Gamma} \vdash_{\bar{\top}} \bar{A}^* =_{\bar{A} \rightarrow \bar{A} \rightarrow \text{bool}} \bar{A'}^* \quad \text{induction hypothesis, (123)} \quad (126)$$

$$887 \quad \bar{\Gamma}, x: \bar{A}, \triangleright A^* x x \vdash_{\bar{\top}} \bar{B} \equiv \bar{B'} \quad \text{induction hypothesis, (124)} \quad (127)$$

888 Since \equiv is context independent in HOL:

$$889 \quad \bar{\Gamma} \vdash_{\bar{\top}} \bar{B} \equiv \bar{B'} \quad \text{explanation, (127)} \quad (128)$$

$$890 \quad \bar{\Gamma} \vdash_{\bar{\top}} \bar{A} \rightarrow \bar{B} \equiv \bar{A'} \rightarrow \bar{B'} \quad (\text{cong}\rightarrow), (125), (128) \quad (129)$$

$$891 \quad \bar{\Gamma} \vdash_{\bar{\top}} \overline{\Pi x: A. B} \equiv \overline{\Pi x: A'. B'} \quad \text{PT10, (129)}$$

$$892 \quad \bar{\Gamma}, x: \bar{A}, \triangleright A^* x x \vdash_{\bar{\top}} \bar{B}^* =_{\bar{B} \rightarrow \bar{B} \rightarrow \text{bool}} \bar{B'}^* \quad \text{induction hypothesis, (124)} \quad (130)$$

$$893 \quad \bar{\Gamma}, f: \bar{A} \rightarrow \bar{B}, x: \bar{A} \vdash_{\bar{\top}} A^* x x \Rightarrow B^* (f x) (f x) \\ 894 \quad =_{\text{bool}} A'^* x x \Rightarrow B^* (f x) (f x) \quad (\text{rewrite}), (\text{refl}), (126) \quad (131)$$

$$895 \quad \bar{\Gamma}, f: \bar{A} \rightarrow \bar{B}, x: \bar{A} \vdash_{\bar{\top}} A^* x x \Rightarrow B^* (f x) (f x) \\ 896 \quad =_{\text{bool}} A'^* x x \Rightarrow B'^* (f x) (f x) \quad (\text{rewrite}), (131), (130) \quad (132)$$

$$897 \quad \bar{\Gamma}, f: \bar{A} \rightarrow \bar{B} \vdash_{\bar{\top}} \forall x: \bar{A}. A^* x x \Rightarrow (B^* (f x) (f x)) =_{\text{bool}} \\ 898 \quad \forall x: \bar{A'}. A'^* x x \Rightarrow (B'^* (f x) (f x)) \quad (\forall \text{cong}), (125), (132) \quad (133)$$

$$899 \quad \bar{\Gamma} \vdash_{\bar{\top}} (\Pi x: A. B)^* =_{\bar{A} \rightarrow \bar{A} \rightarrow \text{bool}} (\Pi x: A'. B')^* \quad \text{PT20, (cong}\lambda), (133)$$

900 (\equiv bool):

$$901 \quad \vdash_{\top} \Gamma \text{ Ctx} \quad \text{by assumption} \quad (134)$$

$$902 \quad \vdash_{\bar{\top}} \bar{\Gamma} \text{ Ctx} \quad \text{induction hypothesis, (134)} \quad (135)$$

$$903 \quad \bar{\Gamma} \vdash_{\bar{\top}} \text{bool tp} \quad (\text{congBase}), (\text{bool}), (135)$$

904 bool^* is a well-typed relation on bool by definition.

905 C.2.5 Subtyping

906 Subtyping can be shown using the axiom (16).

907 **(16):**

908 We need to check that the translation of axiom (16) holds in HOL. (16) states that whenever either
 909 side is well-formed, we have:

$$910 \quad \vdash \Pi x:A. B/r \prec: (\Pi x:A. B)/\lambda f, g: \Pi x:A. B. \forall x:A. r (f x) (g x)$$

If either side is well-formed it follows that A, B are well-formed and r is equivalence relation on A . We then need to prove that $\Pi x:A. B/r \equiv (\Pi x:A. B)/\lambda f, g: \Pi x:A. B. \forall x:A. r (f x) (g x)$ holds, which is immediate from the definition of the translation (both sides are just $\overline{A} \rightarrow \overline{B}$) and that in a context containing $x, y: \overline{B}$ we have

$$(\Pi x:A. B/r)^* x y \Rightarrow ((\Pi x:A. B)/\lambda f, g: \Pi x:A. B. \forall x:A. r (f x) (g x))^* x y.$$

911 However, we have already shown in Example 19 that both PER applications reduce to the same
 912 formula, so the implication must be valid in HOL.

913 C.2.6 Typing

914 Typing can be shown using the rules (const'), (var'), (quotE), (lambda'), (appl'), (\Rightarrow type'), (=type),
 915 ($|_P$ I), ($|_P$ E1), (QI):

916 **(const'):**

917	$c:A' \text{ in } T$	by assumption	(136)
918	$\Gamma \vdash_T A' \equiv A$	by assumption	(137)
919	$c:\overline{A'} \text{ in } \overline{T}$	PT3,(136)	(138)
920	$\triangleright A'^* c c \text{ in } \overline{T}$	PT3,(136)	(139)
921	$\overline{\Gamma} \vdash_{\overline{T}} \overline{A'} \equiv \overline{A}$	induction hypothesis,(137)	(140)
922	$\overline{\Gamma}, x:\overline{A'} \vdash_{\overline{T}} A'^* x x =_{\text{bool}} A^* x x$	induction hypothesis,(137)	(141)
923	$\overline{\Gamma} \vdash_{\overline{T}} \forall x:\overline{A}. A'^* x x =_{\text{bool}} A^* x x$	(\forall I),(\forall I),(141)	(142)
924	$\overline{\Gamma} \vdash_{\overline{T}} c:\overline{A}$	(const),(138),(140)	(143)
925	$\overline{\Gamma} \vdash_{\overline{T}} \overline{c}:\overline{A}$	PT3,(143)	
926	$\overline{\Gamma} \vdash_{\overline{T}} A'^* \overline{c} \overline{c}$	PT3,(axiom),(139)	(144)
927	$\overline{\Gamma} \vdash_{\overline{T}} A^* \overline{c} \overline{c}$	(cong \vdash),(\forall E),(142),(144)	

928 **(var'):**

929	$x:A' \text{ in } \Gamma$	by assumption	(145)
930	$\Gamma \vdash_T A' \equiv A$	by assumption	(146)
931	$x:\overline{A'} \text{ in } \overline{\Gamma}$	PT3,(145)	(147)
932	$\triangleright A'^* x x \text{ in } \overline{\Gamma}$	PT3,(145)	(148)
933	$\overline{\Gamma} \vdash_{\overline{T}} \overline{A'} \equiv \overline{A}$	induction hypothesis,(146)	(149)
934	$\overline{\Gamma}, x:\overline{A'} \vdash_{\overline{T}} A'^* x x =_{\text{bool}} A^* x x$	induction hypothesis,(146)	(150)
935	$\overline{\Gamma} \vdash_{\overline{T}} \forall x:\overline{A}. A'^* x x =_{\text{bool}} A^* x x$	(\forall I),(150)	(151)
936	$\overline{\Gamma} \vdash_{\overline{T}} x:\overline{A}$	(var),(147),(149)	(152)
937	$\overline{\Gamma} \vdash_{\overline{T}} \overline{x}:\overline{A}$	PT3,(152)	
938	$\overline{\Gamma} \vdash_{\overline{T}} A'^* \overline{x} \overline{x}$	PT3,(assume),(148)	(153)
939	$\overline{\Gamma} \vdash_{\overline{T}} A^* \overline{x} \overline{x}$	(cong \vdash),(\forall E),(151),(153)	

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940 (quotE):

$$941 \quad \Gamma \vdash_{\top} s : A/r \quad \text{by assumption} \quad (154)$$

$$942 \quad \Gamma, x:A, \triangleright x =_{A/r} s \vdash_{\top} t : B \quad \text{by assumption} \quad (155)$$

$$943 \quad \Gamma, x:A, x':A, \triangleright x =_{A/r} s, \triangleright x' =_{A/r} s \vdash_{\top} t =_B t[x/x'] \quad \text{by assumption} \quad (156)$$

$$944 \quad \bar{\Gamma} \vdash_{\bar{\top}} \bar{s} : \bar{A} \quad \text{induction hypothesis,(154)} \quad (157)$$

$$945 \quad \bar{\Gamma}, x:\bar{A}, \triangleright \mathbf{A}^* x x, \triangleright (\mathbf{A}/r)^* \bar{x} \bar{s} \vdash_{\bar{\top}} \bar{t} : \bar{B} \quad \text{induction hypothesis,(155)} \quad (158)$$

$$946 \quad \bar{\Gamma}, x:A, \triangleright \mathbf{A}^* x x, x':A, \triangleright \mathbf{A}^* x' x', \\ 947 \quad \triangleright (\mathbf{A}/r)^* x \bar{s}, \triangleright (\mathbf{A}/r)^* x' \bar{s} \vdash_{\bar{\top}} \mathbf{B}^* \bar{t} \bar{t}[x/x'] \quad \text{induction hypothesis,(155)} \quad (159)$$

948 Since typing is context independent in HOL:

$$949 \quad \bar{\Gamma}, x:\bar{A} \vdash_{\bar{\top}} \bar{t} : \bar{B} \quad \text{explanation,(158)} \quad (160)$$

$$950 \quad \bar{\Gamma} \vdash_{\bar{\top}} \bar{t}[x/\bar{s}] : \bar{B} \quad (\text{rewriteTyping}), (160), (157) \quad (161)$$

$$951 \quad \bar{\Gamma} \vdash_{\bar{\top}} \bar{t}[x/\bar{s}] : \overline{B[x/\bar{s}]} \quad \text{HOL types are simple,(161)}$$

952 Since \mathbf{B}^* is transitive we can simplify (159) to:

$$953 \quad \bar{\Gamma}, x:A, \triangleright \mathbf{A}^* x x, \\ 954 \quad \triangleright (\mathbf{A}/r)^* x \bar{s} \vdash_{\bar{\top}} \mathbf{B}^* \bar{t} \bar{t}[x/\bar{s}] \quad \text{explanation,(159)} \quad (162)$$

955 By symmetry and transitivity of \mathbf{B}^* , we yield also $\mathbf{B}^* \bar{t}[x/\bar{s}] \bar{t}[x/\bar{s}]$ in the same context. Since this
956 formula no longer depends on x and an (known to be well-typed) equality assumption with an
957 otherwise unused variable on one side is not useful for proving in HOL, the same must also be
958 derivable in context $\bar{\Gamma}$.

$$959 \quad \bar{\Gamma} \vdash_{\bar{\top}} \mathbf{B}^* \bar{t}[x/\bar{s}] \bar{t}[x/\bar{s}] \quad \text{explanation,(162)}$$

960 (lambda'):

$$961 \quad \Gamma, x:\bar{A} \vdash_{\top} t : B \quad \text{by assumption} \quad (163)$$

$$962 \quad \Gamma \vdash_{\top} A \equiv A' \quad \text{by assumption} \quad (164)$$

$$963 \quad \Gamma, x:\bar{A}, \triangleright \mathbf{A}^* x x \vdash_{\bar{\top}} \bar{t} : \bar{B} \quad \text{induction hypothesis,PT6,(163)} \quad (165)$$

$$964 \quad \bar{\Gamma} \vdash_{\bar{\top}} \bar{A} \equiv \bar{A}' \quad \text{induction hypothesis,(164)} \quad (166)$$

$$965 \quad \bar{\Gamma} \vdash_{\bar{\top}} \mathbf{A}^* =_{\bar{A} \rightarrow \bar{A} \rightarrow \text{bool}} \mathbf{A}'^* \quad \text{induction hypothesis,(164)} \quad (167)$$

$$966 \quad \Gamma, x:\bar{A}, \triangleright \mathbf{A}^* x x \vdash_{\bar{\top}} \mathbf{B}^* \bar{t} \bar{t} \quad \text{induction hypothesis,PT6,(163)} \quad (168)$$

$$967 \quad \Gamma, x, y:\bar{A}, \triangleright \mathbf{A}^* x y \vdash_{\bar{\top}} \mathbf{B}^* \bar{t} \bar{t}[x/y] \quad (13), (168) \quad (169)$$

$$968 \quad \Gamma \vdash_{\top} \forall x, y:\bar{A}. \mathbf{A}^* x y \Rightarrow \mathbf{B}^* \bar{t} \bar{t}[x/y] \quad (\forall I), (\Rightarrow I), (169) \quad (170)$$

$$969 \quad \Gamma \vdash_{\top} \forall x, y:\bar{A}. \mathbf{A}'^* x y \Rightarrow \mathbf{B}^* \bar{t} \bar{t}[x/y] \quad (\text{rewrite}), (170), (167) \quad (171)$$

$$970 \quad \Gamma, x:A \vdash_{\top} t : \bar{B} \quad \text{typing independent of assumptions,(165)} \quad (172)$$

$$971 \quad \bar{\Gamma} \vdash_{\bar{\top}} (\lambda x:\bar{A}. \bar{t}) : \bar{A} \rightarrow \bar{B} \quad (\text{lambda}), (172) \quad (173)$$

Since in HOL equal types are necessarily identical, it follows:

$$972 \quad \bar{\Gamma} \vdash_{\bar{\top}} (\lambda x:\bar{A}. \bar{t}) : \bar{A}' \rightarrow \bar{B} \quad \text{explanation,(173),(166)} \quad (174)$$

$$973 \quad \bar{\Gamma} \vdash_{\bar{\top}} \overline{\lambda x:A. t : \Pi x:A'. B} \quad \text{PT20,PT10,(174)}$$

$$974 \quad \bar{\Gamma} \vdash_{\bar{\top}} (\Pi x:A'. \mathbf{B})^* \overline{\lambda x:A. t} \quad \text{PT11,(171)}$$

975 (appl'):

976	$\Gamma \vdash_{\tau} f : \Pi x : A. B$	by assumption	(175)
977	$\Gamma \vdash_{\tau} t : A$	by assumption	(176)
978	$\bar{\Gamma} \vdash_{\bar{\tau}} f : \bar{A} \rightarrow \bar{B}$	induction hypothesis, PT10, (175)	(177)
979	$\bar{\Gamma} \vdash_{\bar{\tau}} (\Pi x : A. B)^* \bar{f} \bar{f}$	induction hypothesis, PT10, (175)	(178)
980	$\bar{\Gamma} \vdash_{\bar{\tau}} \forall x : \bar{A}. \forall y : \bar{A}.$		
981	$A^* x y \Rightarrow B^* (\bar{f} x) (\bar{f} y)$	PT11, (178)	(179)
982	$\bar{\Gamma} \vdash_{\bar{\tau}} \bar{t} : \bar{A}$	induction hypothesis, (176)	(180)
983	$\bar{\Gamma} \vdash_{\bar{\tau}} A^* \bar{t} \bar{t}$	induction hypothesis, (176)	(181)
984	$\bar{\Gamma} \vdash_{\bar{\tau}} A^* \bar{t} \bar{t} \Rightarrow B^* (\bar{f} \bar{t}) (\bar{f} \bar{t})$	($\forall E$), ($\forall E$), (179), (180), (180)	(182)
985	$\bar{\Gamma} \vdash_{\bar{\tau}} B^* (\bar{f} \bar{t}) (\bar{f} \bar{t})$	($\Rightarrow E$), (182), (181)	(183)
986	$\bar{\Gamma} \vdash_{\bar{\tau}} \bar{f} \bar{t} : \bar{B}$	(appl), (177), (180)	(184)
987	$\bar{\Gamma} \vdash_{\bar{\tau}} \bar{f} \bar{t} : \bar{B}$	PT21, (184)	
988	$\bar{\Gamma} \vdash_{\bar{\tau}} B^* \bar{f} \bar{t} \bar{f} \bar{t}$	PT21, (182)	

989 (\Rightarrow type'):

990	$\Gamma \vdash_{\tau} F : \text{bool}$	by assumption	(185)
991	$\Gamma, \triangleright F \vdash_{\tau} G : \text{bool}$	by assumption	(186)
992	$\bar{\Gamma} \vdash_{\bar{\tau}} \bar{F} : \text{bool}$	induction hypothesis, (185)	(187)
993	$\bar{\Gamma}, \bar{F} \vdash_{\bar{\tau}} \bar{G} : \text{bool}$	induction hypothesis, (186)	(188)
994	$\bar{\Gamma} \vdash_{\bar{\tau}} \bar{G} : \text{bool}$	typing is independent of assumptions, (188)	(189)
995	$\bar{\Gamma} \vdash_{\bar{\tau}} \bar{F} \Rightarrow \bar{G} : \text{bool}$	(\Rightarrow type), (187), (189)	(190)
996	$\bar{\Gamma} \vdash_{\bar{\tau}} \bar{F} \Rightarrow \bar{G} : \text{bool}$	PT22, (190)	(191)
997	$\bar{\Gamma} \vdash_{\bar{\tau}} \text{bool}^* \bar{F} \Rightarrow \bar{G} \bar{F} \Rightarrow \bar{G}$	(PT13), (refl), (191)	

998 (=type):

999	$\Gamma \vdash_{\tau} s : A$	by assumption	(192)
1000	$\Gamma \vdash_{\tau} t : A$	by assumption	(193)
1001	$\bar{\Gamma} \vdash_{\bar{\tau}} \bar{s} : \bar{A}$	induction hypothesis, (192)	(194)
1002	$\bar{\Gamma} \vdash_{\bar{\tau}} \bar{t} : \bar{A}$	induction hypothesis, (193)	(195)
1003	$\bar{\Gamma} \vdash_{\bar{\tau}} A^* \bar{s} \bar{s}$	induction hypothesis, (192)	(196)
1004	$\bar{\Gamma} \vdash_{\bar{\tau}} A^* \bar{s} \bar{s} : \text{bool}$	(validTyping), (196)	(197)
1005	$\bar{\Gamma} \vdash_{\bar{\tau}} A^* \bar{A} \rightarrow \bar{A} \rightarrow \text{bool}$	(applType), (196), (applType), (196), (197)	(198)
1006	$\bar{\Gamma} \vdash_{\bar{\tau}} A^* \bar{s} \bar{t} : \text{bool}$	(appl), (appl), (198), (194), (195)	(199)
1007	$\bar{\Gamma} \vdash_{\bar{\tau}} \text{bool}^* (A^* \bar{s} \bar{t}) (A^* \bar{s} \bar{t})$	(PT13), (refl), (199)	

1008 ($|_p$!):

1009	$\Gamma \vdash_{\tau} t : A$	by assumption	(200)
1010	$\Gamma \vdash_{\tau} p t$	by assumption	(201)

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$$1011 \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{t} : \bar{A} \quad \text{induction hypothesis, (200)} \quad (202)$$

$$1012 \quad \bar{\Gamma} \vdash_{\bar{T}} \mathbf{A}^* \bar{t} \bar{t} \quad \text{induction hypothesis, (200)} \quad (203)$$

$$1013 \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{p} \bar{t} \quad \text{induction hypothesis, (201)} \quad (204)$$

$$1014 \quad \bar{\Gamma} \vdash_{\bar{T}} (\mathbf{A}|_p)^* \bar{t} \bar{t} \quad \text{PT15, } (\wedge\text{I}), (203), (\wedge\text{I}), (204), (204)$$

$$1015 \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{t} : \bar{A}|_p \quad \text{PT14, (202)}$$

1016 **(|_p E1):**

$$1017 \quad \Gamma \vdash_{\mathbf{T}} t : A|_p \quad \text{by assumption} \quad (205)$$

$$1018 \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{t} : \bar{A} \quad \text{induction hypothesis, (205)}$$

$$1019 \quad \bar{\Gamma} \vdash_{\bar{T}} \mathbf{A}^* \bar{t} \bar{t} \wedge \bar{p} \bar{t} \quad \text{induction hypothesis, (205)} \quad (206)$$

$$1020 \quad \bar{\Gamma} \vdash_{\bar{T}} \mathbf{A}^* \bar{t} \bar{t} \quad (\wedge\text{E}), (206)$$

1021 **(QI):**

$$1022 \quad \Gamma \vdash_{\mathbf{T}} t : A \quad \text{by assumption} \quad (207)$$

$$1023 \quad \Gamma \vdash_{\mathbf{T}} \text{EqRel}(r) \quad \text{by assumption} \quad (208)$$

$$1024 \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{t} : \bar{A} \quad \text{induction hypothesis, (207)} \quad (209)$$

$$1025 \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{t} : (\bar{A}/r) \quad \text{PT15, (209)}$$

$$1026 \quad \bar{\Gamma} \vdash_{\bar{T}} \mathbf{A}^* \bar{t} \bar{t} \quad \text{induction hypothesis, (207)} \quad (210)$$

As shown as Subsection C.1 (208) implies that \bar{r} is an equivalence on terms x satisfying $\mathbf{A}^* x x$. It follows that $\bar{r} \bar{t} \bar{t}$ holds.

$$1027 \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{r} \bar{t} \bar{t} \quad \text{explanation, (210)} \quad (211)$$

$$1028 \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{r} \bar{t} \bar{t} \wedge \mathbf{A}^* \bar{t} \bar{t} \wedge \mathbf{A}^* \bar{t} \bar{t} \quad \text{definition of } \wedge, (211), (210) \quad (212)$$

$$1029 \quad \bar{\Gamma} \vdash_{\bar{T}} (\mathbf{A}/x)^* \bar{t} \bar{t} \quad \text{PT17, (212)}$$

1030 C.2.7 Term equality

1031 Fix a context. By rule (rewrite), if we can show for two DHOL terms $s, t : A$ that $\bar{s} =_{\bar{A}} \bar{t}$ and
 1032 additionally that $\mathbf{A}^* \bar{s} \bar{s}$, then $\mathbf{A}^* \bar{t} \bar{t}$ and $\mathbf{A}^* \bar{s} \bar{t}$ follow. By rule (eqTyping) and rule (sym) we further
 1033 yield $\bar{s} : \bar{A}$ and $\bar{t} : \bar{A}$. This reduces the completeness claim for a term-equality $s =_A t$ to showing $\bar{s} =_{\bar{A}} \bar{t}$
 1034 and $\mathbf{A}^* \bar{s} \bar{s}$.

1035 Term equality can be shown using the rules (congλ'), (congAppl'), (refl), (sym), (beta), (etaPi) and
 1036 (Q =) in DHOL.

1037 **(congλ')**

1038 This case will use (13).

$$1039 \quad \Gamma \vdash_{\mathbf{T}} A \equiv A' \quad \text{by assumption} \quad (213)$$

$$1040 \quad \Gamma, x : A \vdash_{\mathbf{T}} t =_B t' \quad \text{by assumption} \quad (214)$$

$$1041 \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{A} \equiv \bar{A}' \quad \text{induction hypothesis, (213)} \quad (215)$$

$$1042 \quad \bar{\Gamma}, x : \bar{A}, \triangleright \mathbf{A}^* x x \vdash_{\bar{T}} \mathbf{B}^* \bar{t} \bar{t}' \quad \text{induction hypothesis, (214)} \quad (216)$$

$$1043 \quad \bar{\Gamma}, z : \bar{A}, \triangleright \mathbf{A}^* z z \vdash_{\bar{T}} \mathbf{B}^* \bar{t}[x/z] \bar{t}'[x/z] \quad \alpha\text{-renaming, (216)} \quad (217)$$

1044	$\bar{\Gamma}, z:\bar{A} \vdash_{\bar{T}} \mathbf{A}^* z z \Rightarrow$		
1045	$\mathbf{B}^* \bar{t}[x/z] \bar{t}'[x/z]$	$(\Rightarrow I), (217)$	(218)
1046	$\bar{\Gamma} \vdash_{\bar{T}} \forall z:\bar{A}. \mathbf{A}^* z z \Rightarrow$		
1047	$\mathbf{B}^* \bar{t}[x/z] \bar{t}'[x/z]$	$(\forall I), (218)$	(219)
1048	$\bar{\Gamma}, x, y:\bar{A} \vdash_{\bar{T}} \forall z:\bar{A}. \mathbf{A}^* z z \Rightarrow$		
1049	$\mathbf{B}^* \bar{t}[x/z] \bar{t}'[x/z]$	$(\text{var} \vdash), (\text{var} \vdash), (219)$	(220)
1050	$\bar{\Gamma}, x, y:\bar{A} \vdash_{\bar{T}} \mathbf{A}^* x x \Rightarrow \mathbf{B}^* \bar{t} \bar{t}'$	$(\text{var} \vdash), (\text{var} \vdash), (220)$	(221)
1051	$\bar{\Gamma}, x, y:\bar{A}, \triangleright \mathbf{A}^* x y \vdash_{\bar{T}} \mathbf{A}^* x x \Rightarrow \mathbf{B}^* \bar{t} \bar{t}'$	$(\text{monotonic} \vdash), (221)$	(222)
1052	$\bar{\Gamma}, x, y:\bar{A}, \triangleright \mathbf{A}^* x y \vdash_{\bar{T}} \mathbf{A}^* x x$	$(13), (\text{assume}), (\text{assume})$	(223)
1053	$\bar{\Gamma}, x, y:\bar{A}, \triangleright \mathbf{A}^* x y \vdash_{\bar{T}} \mathbf{B}^* \bar{t} \bar{t}'$	$(\Rightarrow E), (222), (223)$	(224)
1054	$\bar{\Gamma}, x, y:\bar{A}, \triangleright \mathbf{A}^* x y \vdash_{\bar{T}} \mathbf{B}^* \bar{t} \bar{t}'[x/y]$	$(13), (224), (\text{assume})$	(225)
1055	$\bar{\Gamma}, x, y:\bar{A} \vdash_{\bar{T}} \mathbf{A}^* x y \Rightarrow \mathbf{B}^* \bar{t} \bar{t}'[x/y]$	$(\Rightarrow I), (225)$	(226)
1056	$\bar{\Gamma} \vdash_{\bar{T}} \forall x:\bar{A}. \forall y:\bar{A}. \mathbf{A}^* x y$		
1057	$\Rightarrow \mathbf{B}^* \bar{t} \bar{t}'[x/y]$	$(\forall I), (\forall I), (226)$	(227)
1058	$\bar{\Gamma}, x:\bar{A}, \triangleright \mathbf{A}^* x x \vdash_{\bar{T}} \bar{t}:\bar{B}$	induction hypothesis, (235)	(228)
1059	$\bar{\Gamma}, x:\bar{A}, \triangleright \mathbf{A}^* x x \vdash_{\bar{T}} \bar{t}':\bar{B}$	induction hypothesis, (235)	(229)

Since in HOL typing is independent of context assumptions:

1060	$\bar{\Gamma}, x:\bar{A} \vdash_{\bar{T}} \bar{t}:\bar{B}$	explanation, (228)	(230)
1061	$\bar{\Gamma}, x:\bar{A} \vdash_{\bar{T}} \bar{t}':\bar{B}$	explanation, (229)	(231)
1062	$\bar{\Gamma} \vdash_{\bar{T}} \lambda x:\bar{A}. \bar{t}:\bar{A} \rightarrow \bar{B}$	$(\text{lambda}), (230)$	(232)
1063	$\bar{\Gamma} \vdash_{\bar{T}} \lambda x:\bar{A}. \bar{t}':\bar{A} \rightarrow \bar{B}$	$(\text{lambda}), (231)$	(233)
1064	$\bar{\Gamma} \vdash_{\bar{T}} \overline{\lambda x:A. t =_{\Pi x:A. B} \lambda x:A'. t'}$	$(\text{PT23}), (227)$	
1065	$\bar{\Gamma} \vdash_{\bar{T}} \overline{\lambda x:A. t:\Pi x:A. B}$	$(\text{PT10}), (\text{PT20}), (232)$	
1066	$\bar{\Gamma} \vdash_{\bar{T}} \overline{\lambda x:A. t':\Pi x:A. B}$	$(\text{PT10}), (\text{PT20}), (233)$	

1067 **(congAppl'):**

1068	$\Gamma \vdash_{\top} t =_A t'$	by assumption	(234)
1069	$\Gamma \vdash_{\top} f =_{\Pi x:A. B} f'$	by assumption	(235)
1070	$\bar{\Gamma} \vdash_{\bar{T}} \mathbf{A}^* \bar{t} \bar{t}'$	induction hypothesis, (234)	(236)
1071	$\bar{\Gamma} \vdash_{\bar{T}} \forall x:\bar{A}. \forall y:\bar{A}. \mathbf{A}^* x y \Rightarrow$		
1072	$(\Pi z:A. B)^* \bar{f} x \bar{f}' y$	induction hypothesis, (235)	(237)
1073	$\bar{\Gamma} \vdash_{\bar{T}} \bar{t}:\bar{A}$	induction hypothesis, (234)	(238)
1074	$\bar{\Gamma} \vdash_{\bar{T}} \bar{t}':\bar{A}$	induction hypothesis, (234)	(239)
1075	$\bar{\Gamma} \vdash_{\bar{T}} \mathbf{A}^* \bar{t} \bar{t}' \Rightarrow (\Pi z:A. B)^* \bar{f} \bar{t} \bar{f}' \bar{t}'$	$(\forall E), (\forall E), (237), (238), (239)$	(240)
1076	$\bar{\Gamma} \vdash_{\bar{T}} \bar{f}:\bar{A} \rightarrow \bar{B}$	induction hypothesis, (235)	(241)
1077	$\bar{\Gamma} \vdash_{\bar{T}} \bar{f}':\bar{A} \rightarrow \bar{B}$	induction hypothesis, (235)	(242)
1078	$\bar{\Gamma} \vdash_{\bar{T}} (\Pi z:A. B)^* \bar{f} \bar{t} \bar{f}' \bar{t}'$	$(\Rightarrow E), (240), (236)$	
1079	$\bar{\Gamma} \vdash_{\bar{T}} \bar{f} \bar{t}:\bar{B}$	$(\text{appl}), (241), (238)$	
1080	$\bar{\Gamma} \vdash_{\bar{T}} \bar{f}' \bar{t}':\bar{B}$	$(\text{appl}), (241), (238)$	

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1081 **(refl):**

$$1082 \quad \Gamma \vdash_{\top} t : A \quad \text{by assumption} \quad (243)$$

$$1083 \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{t} : \bar{A} \quad \text{induction hypothesis, (243)} \quad (244)$$

$$1084 \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{t} =_{\bar{A}} \bar{t} \quad (\text{refl}), (244)$$

$$1085 \quad \bar{\Gamma} \vdash_{\bar{T}} A^* \bar{t} \bar{t} \quad \text{induction hypothesis, (243)}$$

1086 **(sym):**

$$1087 \quad \Gamma \vdash_{\top} s =_A t \quad \text{by assumption} \quad (245)$$

$$1088 \quad \bar{\Gamma} \vdash_{\bar{T}} A^* \bar{s} \bar{t} \quad \text{induction hypothesis, (245)} \quad (246)$$

$$1089 \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{t} : \bar{A} \quad \text{induction hypothesis, (245)} \quad (247)$$

$$1090 \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{s} : \bar{A} \quad \text{induction hypothesis, (245)} \quad (248)$$

$$1091 \quad \bar{\Gamma} \vdash_{\bar{T}} A^* \bar{t} \bar{s} \quad (\forall E), (\forall E), (\Rightarrow E), (9), (246), (247), (248)$$

1092 **(beta):**

$$1093 \quad \Gamma \vdash_{\top} (\lambda x : A. s) t : B \quad \text{by assumption} \quad (249)$$

$$1094 \quad \bar{\Gamma} \vdash_{\bar{T}} (\lambda x : \bar{A}. \bar{s}) \bar{t} : \bar{B} \quad \text{induction hypothesis, PT20, (249)} \quad (250)$$

$$1095 \quad \bar{\Gamma} \vdash_{\bar{T}} (\lambda x : \bar{A}. \bar{s}) \bar{t} =_{\bar{B}} \bar{s}[\bar{x}/\bar{t}] \quad (\text{beta}), (250) \quad (251)$$

$$1096 \quad \bar{\Gamma} \vdash_{\bar{T}} (\lambda x : \bar{A}. \bar{s}) \bar{t} =_{\bar{B}} \bar{s}[\bar{x}/\bar{t}] \quad (11), (251) \quad (252)$$

$$1097 \quad \bar{\Gamma} \vdash_{\bar{T}} (\lambda x : \bar{A}. \bar{s}) \bar{t} =_{\bar{B}} \bar{s}[\bar{x}/\bar{t}] \quad \text{PT20, PT21, (252)}$$

$$1098 \quad \bar{\Gamma} \vdash_{\bar{T}} (\Pi x : A. B)^* ((\lambda x : A. s) t) ((\lambda x : A. s) t) \quad \text{induction hypothesis, (249)}$$

1099 **(etaPi):**

$$1100 \quad \Gamma \vdash_{\top} t : \Pi x : A. B \quad \text{by assumption} \quad (253)$$

$$1101 \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{t} : \bar{A} \rightarrow \bar{B} \quad \text{PT10, induction hypothesis, (253)} \quad (254)$$

$$1102 \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{t} =_{\bar{A} \rightarrow \bar{B}} \lambda x : \bar{A}. \bar{t} x \quad (\text{eta}), (254) \quad (255)$$

$$1103 \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{t} =_{\Pi x : A. B} \lambda x : A. \bar{t} x \quad \text{PT20, PT10, (255)}$$

$$1104 \quad \bar{\Gamma} \vdash_{\bar{T}} (\Pi x : A. B)^* \bar{t} \bar{t} \quad \text{induction hypothesis, (254)}$$

1105 **(\mid_p Eq):**

$$1106 \quad \Gamma \vdash_{\top} s =_A t \quad \text{by assumption} \quad (256)$$

$$1107 \quad \Gamma \vdash_{\top} p \quad \text{by assumption} \quad (257)$$

$$1108 \quad \bar{\Gamma} \vdash_{\bar{T}} A^* \bar{s} \bar{t} \quad \text{induction hypothesis, (256)} \quad (258)$$

$$1109 \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{p} \bar{s} \quad \text{induction hypothesis, (257)} \quad (259)$$

By (27) it follows:

$$1110 \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{p} \bar{t} \quad \text{explanation, (257), (258)} \quad (260)$$

$$1111 \quad \bar{\Gamma} \vdash_{\bar{T}} A^* \bar{s} \bar{t} \wedge \bar{p} \bar{s} \wedge \bar{p} \bar{t} \quad \text{definition of } \wedge, (258), (259), (260) \quad (261)$$

$$1112 \quad \bar{\Gamma} \vdash_{\bar{T}} (A \mid_p)^* s t \quad \text{PT15, (261)}$$

$$1113 \quad \bar{\Gamma} \vdash_{\bar{T}} \bar{s} : (\bar{A} \mid_p) \quad (\text{validTyping}), (\wedge E), (261)$$

1114 **(Q =):**

1115	$\Gamma \vdash_{\top} s : A$	by assumption	(262)
1116	$\Gamma \vdash_{\top} t : A$	by assumption	(263)
1117	$\Gamma \vdash_{\top} r : A \rightarrow A \rightarrow \text{bool}$	by assumption	(264)
1118	$\bar{\Gamma} \vdash_{\bar{T}} \bar{s} : \bar{A}$	induction hypothesis,(262)	(265)
1119	$\bar{\Gamma} \vdash_{\bar{T}} A^* \bar{s} \bar{s}$	induction hypothesis,(262)	(266)
1120	$\bar{\Gamma} \vdash_{\bar{T}} \bar{t} : \bar{A}$	induction hypothesis,(263)	(267)
1121	$\bar{\Gamma} \vdash_{\bar{T}} A^* \bar{t} \bar{t}$	induction hypothesis,(262)	(268)
1122	$\bar{\Gamma} \vdash_{\bar{T}} \bar{r} : \bar{A} \rightarrow \bar{A} \rightarrow \text{bool}$	induction hypothesis,(264)	(269)
1123	$\bar{\Gamma} \vdash_{\bar{T}} \bar{r} \bar{s} \bar{t} : \text{bool}$	(appl),(appl),(269),(265),(267)	(270)
1124	$\bar{\Gamma} \vdash_{\bar{T}} \bar{r} \bar{s} \bar{t} =_{\text{bool}} \bar{r} \bar{s} \bar{t}$	(refl),(270)	(271)
1125	$\bar{\Gamma} \vdash_{\bar{T}} (\bar{r} \bar{s} \bar{t} \wedge A^* \bar{s} \bar{s} \wedge A^* \bar{t} \bar{t}) =_{\text{bool}} \bar{r} \bar{s} \bar{t}$	definition of \wedge , (266),(268),(271)	(272)
1126	$\bar{\Gamma} \vdash_{\bar{T}} \bar{s} =_{(A/r)} \bar{t}$	PT17,PT13,(272)	
1127	$\bar{\Gamma} \vdash_{\bar{T}} \bar{s} : (A/r)$	PT17,(267)	

1128 C.2.8 Validity

1129 Validity can be shown using the rules (axiom), (assume), (\Rightarrow I), (\Rightarrow E), (cong $^+$), (boolExt) and
 1130 ($|_p$ E2).

1131 **(axiom)**

1132	$\triangleright F$ in T	by assumption	(273)
1133	$\vdash_{\top} \Gamma \text{ Ctx}$	by assumption	(274)
1134	$\triangleright \bar{F}$ in \bar{T}	PT4,(273)	(275)
1135	$\vdash_{\bar{T}} \bar{\Gamma} \text{ Ctx}$	induction hypothesis,274	(276)
1136	$\bar{\Gamma} \vdash_{\bar{T}} \bar{F}$	(axiom),(275),(276)	

1137 **(assume)**

1138	$\triangleright F$ in Γ	by assumption	(277)
1139	$\vdash_{\top} \Gamma \text{ Ctx}$	by assumption	(278)
1140	$\triangleright \bar{F}$ in $\bar{\Gamma}$	PT7,(277)	(279)
1141	$\vdash_{\bar{T}} \bar{\Gamma} \text{ Ctx}$	induction hypothesis,278	(280)
1142	$\bar{\Gamma} \vdash_{\bar{T}} \bar{F}$	(assume),(279),(280)	

1143 **(\Rightarrow I)**

1144	$\Gamma \vdash_{\top} F : \text{bool}$	by assumption	(281)
1145	$\Gamma, \triangleright F \vdash_{\top} G$	by assumption	(282)
1146	$\bar{\Gamma} \vdash_{\bar{T}} \bar{F} : \text{bool}$	induction hypothesis,(281)	(283)
1147	$\bar{\Gamma}, \bar{F} \vdash_{\bar{T}} \bar{G}$	induction hypothesis,PT7,(282)	(284)
1148	$\bar{\Gamma} \vdash_{\bar{T}} \bar{F} \Rightarrow \bar{G}$	(\Rightarrow I),(283),(284)	(285)
1149	$\bar{\Gamma} \vdash_{\bar{T}} \bar{F} \Rightarrow \bar{G}$	PT22,(285)	

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1150 ($\Rightarrow E$)

1151 $\Gamma \vdash_{\top} F \Rightarrow G$ by assumption (286)

1152 $\Gamma \vdash_{\top} F$ by assumption (287)

1153 $\bar{\Gamma} \vdash_{\bar{\top}} \bar{F} \Rightarrow \bar{G}$ induction hypothesis, PT22, (286) (288)

1154 $\bar{\Gamma} \vdash_{\bar{\top}} \bar{F}$ induction hypothesis, (287) (289)

1155 $\bar{\Gamma} \vdash_{\bar{\top}} \bar{G}$ ($\Rightarrow E$), (288), (289)

1156 (cong^{\vdash})

1157 $\Gamma \vdash_{\top} F =_{\text{bool}} F'$ by assumption (290)

1158 $\Gamma \vdash_{\top} F'$ by assumption (291)

1159 $\bar{\Gamma} \vdash_{\bar{\top}} \bar{F} =_{\text{bool}} \bar{F}'$ (PT13), induction hypothesis, (290) (292)

1160 $\bar{\Gamma} \vdash_{\bar{\top}} \bar{F}'$ induction hypothesis, (291) (293)

1161 $\bar{\Gamma} \vdash_{\bar{\top}} \bar{F}$ (cong^{\vdash}), (292), (293)

1162 (boolExt)

1163 $\Gamma \vdash_{\top} p \text{ true}$ by assumption (294)

1164 $\Gamma \vdash_{\top} p \text{ false}$ by assumption (295)

1165 $\bar{\Gamma} \vdash_{\bar{\top}} \bar{p} \text{ true}$ induction hypothesis, PT21, (294) (296)

1166 $\bar{\Gamma} \vdash_{\bar{\top}} \bar{p} \text{ false}$ induction hypothesis, PT21, (295) (297)

1167 $\bar{\Gamma} \vdash_{\bar{\top}} \forall z:\text{bool}. \bar{p} z$ (boolExt), (296), (297) (298)

1168 $\bar{\Gamma}, x:\text{bool} \vdash_{\bar{\top}} \forall z:\text{bool}. \bar{p} z$ (var^{\vdash}), (298) (299)

1169 $\bar{\Gamma}, x:\text{bool} \vdash_{\bar{\top}} \bar{p} x$ ($\forall E$), (299), (assume) (300)

1170 $\bar{\Gamma}, x, y:\text{bool}, \triangleright x =_{\text{bool}} y \vdash_{\bar{\top}} \bar{p} x$ ($\text{monotonic}^{\vdash}$), (var^{\vdash}), (300) (301)

1171 $\bar{\Gamma}, x, y:\text{bool}, \triangleright x =_{\text{bool}} y \vdash_{\bar{\top}} \bar{p} y$ (rewrite), (301), (assume) (302)

1172 $\bar{\Gamma}, x, y:\text{bool} \vdash_{\bar{\top}} \text{bool}^* x y \Rightarrow \bar{p} y$ (PT13), ($\Rightarrow I$), (302) (303)

1173 $\bar{\Gamma} \vdash_{\bar{\top}} \forall x:\text{bool}. \forall y:\text{bool}.$
 $\text{bool}^* x y \Rightarrow \bar{p} y$ ($\forall I$), ($\forall I$), (303) (304)

1174 $\bar{\Gamma} \vdash_{\bar{\top}} \forall x:\text{bool}. \bar{p} x$ (PT23), (PT11), (304)

1176 ($|_p E2$)

1177 $\Gamma \vdash_{\top} t:A|_p$ by assumption (305)

1178 $\bar{\Gamma} \vdash_{\bar{\top}} (\bar{A}|_{\bar{p}})^* \bar{t} \bar{t}$ induction hypothesis, (305) (306)

1179 $\bar{\Gamma} \vdash_{\bar{\top}} \bar{p} \bar{t}$ ($\wedge E$), ($\wedge E$), PT15, (306) (307)

1180 $\bar{\Gamma} \vdash_{\bar{\top}} \bar{p} \bar{t}$ PT21, (307)

1181

1182 D Soundness proof

1183 The idea of the soundness proof is to transform HOL-proofs into DHOL-proofs. The proof is
 1184 very involved, and we proceed in multiple steps:

- 1185 1. prove that the translation is injective for terms of given DHOL type,
- 1186 2. define quasi-preimages for terms not in image of translation,
- 1187 3. given valid HOL derivation of translation of well-typed validity conjecture, choose DHOL
1188 types of quasi-preimages of terms in it,
- 1189 4. modify derivation to make terms in it (almost) proper,
- 1190 5. lift modified HOL derivation to DHOL derivation.

1191 D.1 Type-wise injectivity of the translation

1192 ► **Definition 25.** Let t be an ill-typed DHOL term with well-typed image \bar{t} in HOL. In this
1193 case we will say that \bar{t} is a spurious term w.r.t. its preimage t . If the preimage is unique or
1194 clear from the context we will simply say that \bar{t} is spurious. Similarly, a term \bar{s} in HOL that
1195 is the image of a well-typed term s , will be called proper w.r.t its preimage s . A term tm in
1196 HOL that is not the image of any (well-typed or not) term is said to be improper.

1197 ► **Lemma 26.** Let Δ be a DHOL context and let Γ denote its translation. Given two DHOL
1198 terms s, t of type A and assuming s and t are not identical, it follows that \bar{s} and \bar{t} are not
1199 identical.

1200 **Proof of Lemma 26.** We prove this by induction on the shape of the types both equalities
1201 are over—in case both terms are equalities—and by subinduction on the shape of the
1202 two translated terms otherwise. We observe that terms created using a different top-level
1203 production are non-identical and will remain that way in the image. So we can go over the
1204 productions one by one and assuming type-wise injectivity for subterms show injectivity of
1205 applying them. Different constants are mapped to different constants and different variables
1206 to different variables, so in those cases there is nothing to prove. If two function applications
1207 or implications differ in DHOL then one of the two pairs of corresponding arguments must
1208 differ as well. By induction hypothesis so will the images of the terms in that pair. Since
1209 function application and implication both commute with the translation, it follows that the
1210 images of the function applications or implications also differ. Since the translations of the
1211 terms on both sides of an equality also show up in the translation, the same argument also
1212 works for two equalities over the same type. Similarly for lambda functions over the same
1213 type.

1214 Consider now two equalities over different types that get identified by dependency-erasure.

1215 In case of equalities over different base types, the typing relations that are applied in the
1216 images are different, so the images of the equalities differ. For equalities over different
1217 Π -types either the domain type or the codomain type must differ by rule (cong Π). If the
1218 domain types differ then the typing assumption after the two universal quantifiers of the
1219 translated equalities will differ. If the codomain types are different then the applications of
1220 the typing relations on the right of the \Rightarrow of the translated equalities are the translations of
1221 the equalities yielded by applying the functions on both sides of the equalities to a freshly
1222 bound variable of the domain type. The translations of the equalities are only identical
1223 if those "inner equalities" are identical. Furthermore, the inner equalities are over types
1224 that are the codomain of the type the equalities are over. The claim then follows from the
1225 induction hypothesis.

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Finally it remains to consider the case of equalities $s =_{A|_p} t$ and $s' =_{A'|_{p'}} t'$ over non-identical refinement types $A|_p$ and $A'|_{p'}$ where not both $A = A'$ and $p = p'$. If $p \neq p'$, then the translations have different subterms $\overline{p} s$ and $\overline{p'} s'$ and thus differ. If $A \neq A'$, then the first conjuncts in the translated equalities are the translations of equalities over the types A and A' respectively, which by the induction hypothesis have different translations. So in any case, the equalities have different images. The case of equalities over quotient types works analogously. \blacktriangleleft

D.2 Quasi-preimages for terms and validity statements in admissible HOL derivations

Firstly, we will consider the preimage of a typing relations A^* to be the equality symbol $\lambda x:A. \lambda y:A. x =_A y$ (if equality is treated as a (parametric) binary predicate rather than a production of the grammar this eta reduces to the symbol $=_A$).

Using this convention, we define the normalization of an improper HOL term, which is either a proper term or a spurious term. The normalization of an improper HOL term is defined by:

► **Definition 27.** Let t be an improper HOL term. Then we define the normalization $\text{norm}[t]$ of t by induction on the shape of t :

$$\text{norm}[\overline{t}] := t \quad (\text{PT24})$$

$$\text{norm}[\text{norm}[s]] := \text{norm}[s] \quad (\text{PT25})$$

$$\text{norm}[A^* s] := \lambda y:\overline{A}. A^* s y \quad (\text{PT26})$$

$$\text{norm}[A^*] := \lambda x:\overline{A}. \lambda y:\overline{A}. A^* x y \quad (\text{PT27})$$

$$\text{norm}[c] := c \quad (\text{PT28})$$

$$\text{norm}[x] := x \quad (\text{PT29})$$

$$\text{norm}[f t] := \text{norm}[f] \text{ norm}[t] \quad (\text{PT30})$$

$$\text{norm}[\lambda x:C. t] := \lambda x:C. \text{norm}[t] \quad (\text{PT31})$$

If F not of shape $A^* _ _ \Rightarrow _$ or $\forall x':\overline{A}. A^* x x' \Rightarrow _$:

$$\text{norm}[\forall x:\overline{A}. F] := \text{norm}[\forall x:\overline{A}. A^* x x F] \quad (\text{PT32})$$

$$\text{norm}[\forall x:\overline{A}. A^* x x \Rightarrow G] := \forall x, x':\overline{A}. A^* x x' \Rightarrow G \quad (\text{PT33})$$

$$\text{norm}[s =_{\overline{A}} t] := A^* s t \quad (\text{PT34})$$

$$\text{norm}[s \Rightarrow t] := \text{norm}[s] \Rightarrow \text{norm}[t] \quad (\text{PT35})$$

For terms t in the image of the translation, we define the normalization of t be t itself.

► **Definition 28.** Assume a well-formed DHOL theory T .

We say that an HOL context Δ is proper (relative to \overline{T}), iff there exists a well-formed HOL context Θ (relative to \overline{T}), s.t. there is a well-formed DHOL context Γ (relative to T) with $\overline{\Gamma} = \Theta$ and Θ can be obtained from Δ by adding well-typed typing assumptions. In this case, Γ is called a quasi-preimage of Δ . Inspecting the translation, it becomes clear that Γ is uniquely determined by the choices of the preimages of the types of variables without a typing assumption in Δ .

1263 Given a proper HOL context Δ and a well-typed HOL formula φ over Δ , we say that φ is
 1264 quasi-proper iff $\text{norm}[\varphi] = \overline{F}$ for $\Gamma \vdash_{\overline{T}} F : \text{bool}$ and Γ is a quasi-preimage of Δ . In that case,
 1265 we call F a quasi-preimage of φ .

1266 Finally, we call a validity judgement $\Delta \vdash_{\overline{T}} \varphi$ in HOL proper iff

- 1267 1. Δ is proper,
- 1268 2. φ is quasi-proper in context Δ

1269 In this case, we will call $\overline{\Gamma} \vdash_{\overline{T}} \overline{F}$ a relativization of $\Delta \vdash_{\overline{T}} \varphi$ and $\Gamma \vdash_{\overline{T}} F$ a quasi-preimage
 1270 of the statement $\Delta \vdash_{\overline{T}} \varphi$, where Γ is a quasi-preimage of Δ and F a quasi-preimage of φ .
 1271 Additionally, for HOL terms with preimages we consider these preimages to be quasi-preimages
 1272 of the HOL term as well.

1273 D.3 Transforming HOL derivations into admissible HOL derivations

1274 It will be useful to distinguish between two different kinds of improper terms.

1275 ► **Definition 29.** An improper term is called almost proper iff its normalization isn't spurious
 1276 (w.r.t. a given quasi-preimage) and contains no spurious subterms, otherwise it is said to be
 1277 unnormalizably spurious. This means that improper terms are almost proper iff their given
 1278 quasi-preimage is well-typed. Since proper terms have well-typed preimages, they are almost
 1279 proper (w.r.t. this preimage) as well.

1280 In order to lift a HOL derivation to DHOL, we first have to choose (quasi)-preimage types
 1281 for all term occuring in it (at which point we use the notions of spurious and almost proper
 1282 terms w.r.t. these DHOL types).

1283 D.3.1 Choosing (quasi-)preimage types for a HOL derivation

1284 ► **Lemma 30** (Indexing lemma). Assume that $\Gamma \vdash_{\overline{T}} F : \text{bool}$ holds in DHOL. Given a valid
 1285 HOL derivation D of the statement $\overline{\Gamma} \vdash_{\overline{T}} \overline{F}$, we can choose a DHOL type $T(t)$ (called type
 1286 index) for each occurrence of a HOL term t in D , s.t. the following properties hold:

- 1287 1. $T(\overline{t}) = A'$ with $\overline{A} = \overline{A'}$ for any DHOL term t satisfying $\Gamma \vdash_{\overline{T}} t : A$,
- 1288 2. $T(c) = A$ if $c : A$ is a constant in T ,
- 1289 3. $T(x) = A$ if $x : A$ is variable declaration in Γ ,
- 1290 4. $T(s) = T(t)$ for s, t within an equality of the form $s =_A t$ for some HOL type A ,
- 1291 5. $T(s) = T(t) = \text{bool}$ for s, t within an implication of the form $s \Rightarrow t$,
- 1292 6. $T(x) = A$ for x in $(\lambda x : \mathbb{B}. s) \ t$ if $T(t) = A$,
- 1293 7. $T(s =_A t) = \text{bool}$,
- 1294 8. for x in $(\lambda x : \mathbb{B}. s) \ t$ if $T(t) = A$,
- 1295 9. when variables are moved from the context into a λ -binder or vice versa the index of said
 1296 variable is preserved
- 1297 10. whenever a term t occurs both in the assumptions and conclusions of a step S in D , the
 1298 index of t is the same all those occurrences of t in S ,

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1299 11. if the subterms x, t in a term $\lambda x:\overline{B}. \quad$ in D satisfy $T(x) = A$ and $T(t) = B$, then it follows
1300 $T(\lambda x:B. t) = \Pi x:A. B$.

1301 **Proof by induction of the shape of D .** This lemma only holds for well-formed derivations
1302 of translations of well-typed conjectures over well-formed theories. It will not hold for
1303 arbitrary formulae (as can be seen by considering equalities between constants of equal HOL
1304 but different DHOL types). The proof of the lemma will therefore use that fact that the
1305 final statement in the derivation is the translation of a well-typed DHOL statement (which
1306 already determines the "correct" indices for the terms within that statement) and then show
1307 that for each step in a well-formed HOL proof concluding a statement that we can correctly
1308 index, the assumptions of that step can also be indexed correctly. We will thus proceed by
1309 "backwards induction" on the shape of the derivation D .

1310 The assumptions of the theory and contexthood rules only contain terms already contained
1311 in the conclusions, so the associated cases in the proof are all trivial.

1312 Similarly the assumptions of lookup rules and type well-formedness rules contain no additional
1313 terms, so those cases are also trivial.

1314 The typing rules are about forming larger terms from subterms, so if those larger terms
1315 can be consistently (i.e. according to the claim of the lemma) indexed, then the same is
1316 necessarily also true for the subterms. Thus the typing rules also have only trivial cases. By
1317 the same arguments the cases for the congruence rules (cong λ), (congAppl), the symmetry
1318 and transitivity rules for term equality and the rules (beta), (eta), (\Rightarrow type) and (\Rightarrow I) are
1319 also all trivial.

1320 It remains to consider the cases for the rules (\Rightarrow E), (cong \vdash), (boolExt) and (nonempty).

1321 D.3.1.1 Regarding (beta):

1322 Here the assumptions of the rule contain the additional terms F and $F \Rightarrow G$. However as
1323 both terms are of type `bool` all their (quasi)-preimages have type `bool` as well and picking
1324 indices according to any of the quasi-preimages of $F \Rightarrow G$ will work.

1325 D.3.1.2 Regarding (cong \vdash):

1326 Here the assumptions of the rule contain the additional terms F' and $F =_{\text{bool}} F'$. Both
1327 terms are of type `bool`, so by the same argument as in the previous case, we can index them
1328 consistently with the claim of this lemma.

1329 D.3.1.3 Regarding (boolExt):

1330 Here the assumptions of the rule contain the additional terms p `true` and p `false` and `true` and
1331 `false`. All these terms are of type `bool`, so by the same argument as in the previous two cases,
1332 we can index them consistently with the claim of this lemma.

1333 D.3.1.4 Regarding (nonempty):

1334 Here the second assumption contains an additional variable of type A . As this variable doesn't
1335 occur in any other terms, we can index it by the type of any of its (quasi)-preimages. ◀

1336 ► **Remark 2.** *In the following, we will use the term type index to refer to a choice of DHOL*
 1337 *types for each HOL term in a derivation satisfying the properties of the previous lemma.*

1338 D.3.2 Transforming unnormalizably spurious terms into almost proper 1339 terms in HOL derivations

1340 ► **Definition 31.** *A valid HOL derivation is called admissible iff we can choose quasi-*
 1341 *preimages for all terms occurring in it s.t. all terms in the derivation are almost proper*
 1342 *w.r.t. their chosen quasi-preimages.*

1343 This definition is useful, since admissible derivations are precisely those HOL derivations
 1344 that allow us to consistently lift the terms occurring in them to well-typed DHOL terms.

1345 In the following, we describe a proof transformation which maps HOL derivations to admissible
 1346 HOL derivations.

1347 ► **Definition 32.** *A statement transformation in a given logic is a map that maps statements*
 1348 *in the logic to statements in the logic. Similarly an indexed statement transformation is a*
 1349 *map that maps HOL statements with indexed terms to HOL statements.*

1350 ► **Definition 33.** *A macro-step M for an (indexed) statement transformation T replacing a*
 1351 *step S in a derivation is a sequence of steps S_1, \dots, S_n (called micro-steps of M) s.t. the*
 1352 *assumptions of the S_i that are not concluded by S_j with $j < i$ are results of applying T to*
 1353 *assumptions of step S and furthermore the conclusion of step S_n is the result of applying*
 1354 *T to the conclusion of S . The assumptions of those S_j that are not concluded by previous*
 1355 *micro-steps of M are called the assumptions of macro-step M and the conclusion of the last*
 1356 *micro-step S_n of M is called the conclusion of macro-step M .*

1357 Thus we we can replace each step in a derivation by a macro step replacing that step,
 1358 we can transform that derivation to a derivation in which the given indexed statement
 1359 transformation is applied to all statements. This is useful to simplify and normalize derivations
 1360 to derivations with certain additional properties. In our case, we want to normalize a
 1361 given HOL derivation into an admissible HOL derivation. Thus, we need to define an
 1362 indexed statement transformation for which all terms in the image of the transformation are
 1363 almost proper and the replace all steps in the derivation by macro steps for that statement
 1364 transformation.

1365 Since the notion of a quasi-proper term only makes sense once we fix a choice of type indices
 1366 (in the sense of Lemma 30), the indexed statement transformation will actually depend on
 1367 the choice of type indices.

1368 ► **Definition 34.** *A normalizing statement transformation $\text{sRed}(\cdot)$ is defined to be an indexed*
 1369 *statement transformation that replaces terms in statements as described below. The definition*
 1370 *of the transformation of a term depends on its type index — a DHOL-type A (called preimage*
 1371 *type) — for each term t . We will write those types as indices to the HOL terms, so for*
 1372 *instance t_A indicates a HOL term t of type \overline{A} and preimage type A .*

1373 These preimage types are used to effectively associate to each term a type of a possible quasi-
 1374 preimage (hence their name), which is useful as for λ -functions there are quasi-preimages of
 1375 potentially many different types. We require that for an indexed term t_A , term t has type \overline{A}
 1376 and that for almost proper terms t_A with unique quasi-preimage the quasi-preimage has type
 1377 A .

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1378 Since variables and lambda binders are the sole cause for HOL terms having multiple quasi-
 1379 preimages, choosing indices for variables in HOL terms induces unique quasi-preimages
 1380 (respecting those type indices). This uniqueness is a direct consequence of (the proof of)
 1381 Lemma 26.

1382 We will consider only those quasi-preimages that respect type indices, for the notions of
 1383 unnormalizably spurious and almost proper terms.

1384 With respect to these choices, the transformation will do the following two things (in this
 1385 order) in order to "normalize" unnormalizably spurious terms to almost proper ones:

- 1386 1. apply beta and eta reductions and in case this doesn't yield almost proper terms
- 1387 2. replace unnormalizably spurious function applications of type B by the "default terms"
 1388 w_B of type B which is proper and whose existence is assumed for all HOL types.

1389 As we are assuming a valid HOL derivation indexed according to Lemma 30, we will only
 1390 define this transformation on well-typed HOL terms with preimage types consistent with the
 1391 indexing lemma. We can then define the transformation of t_A (denoted by $\text{sRed}(t_A)$) by
 1392 induction on the shape of t_A as follows:

$$1393 \quad \text{sRed}(t_A) \quad := t_A \quad \text{if } t \text{ has quasi-preimage of type } A \quad (\text{SR1})$$

$$1394 \quad \text{sRed}(f_{\Pi x:A. B} t_A) := \text{sRed}(f_{\Pi x:A. B}) \text{sRed}(t_A) \\ 1395 \quad \text{if } f_{\Pi x:A. B} t_A \text{ not beta or eta reducible} \quad (\text{SR2})$$

1396 In the following cases, we assume that the term t_A in $\text{sRed}(\cdot)$ on the left of $:=$ isn't almost
 1397 proper with a quasi-preimage of type A :

$$1398 \quad \text{sRed}(t_A) \quad := \text{sRed}(t_A^{\beta\eta}) \quad \text{if } t \text{ is beta or eta reducible} \quad (\text{SR3})$$

$$1399 \quad \text{sRed}(s_A =_{\bar{A}} t_{A'}) \quad := \text{sRed}(s_A) =_{\bar{A}} \text{sRed}(t_{A'}) \quad (\text{SR4})$$

$$1400 \quad \text{sRed}(F_{\text{bool}} \Rightarrow G_{\text{bool}}) \quad := \text{sRed}(F_{\text{bool}}) \Rightarrow \text{sRed}(G_{\text{bool}}) \quad (\text{SR5})$$

$$1401 \quad \text{sRed}(\lambda x:A. s_B) \quad := \lambda x:A. \text{sRed}(s_B) \quad (\text{SR6})$$

$$1402 \quad \text{sRed}((\text{sRed}(f_{\Pi x:A. B})_{\Pi x:A. B} \text{sRed}(t_{A'})_{A'})_{B'}) \quad := w_{\bar{B}} \quad \text{if } A \neq A' \text{ or } B \neq B' \quad (\text{SR7})$$

1403 ► **Lemma 35.** Assume a well-typed DHOL theory T and a conjecture $\Gamma \vdash_T \varphi$ with Γ well-
 1404 formed and φ well-typed. Assume a valid HOL derivation D of $\bar{\Gamma} \vdash_{\bar{T}} \bar{\varphi}$. Choose type indices
 1405 for the terms in D according to the properties of the indexing lemma (Lemma 30). Then, for
 1406 any steps S in D we can construct a macro-step for the normalizing statement transformation
 1407 replacing step S s.t. after replacing all steps by their macro-steps:

- 1408 ■ the resulting derivation is valid,
- 1409 ■ all terms occurring in the derivation are almost proper (w.r.t. the quasi-preimages deter-
 1410 mined by the type indices).

1411 **Proof of Lemma 35.** We will show this by induction on the shape of D .

1412 Firstly, we observe that there are no dependent types in HOL and the context and axioms
 1413 contain no spurious subterms. Hence, well-formedness (of theories, contexts, types) and
 1414 type-equality judgements are unaffected by the transformation. So there is nothing to prove
 1415 for the well-formedness and type-equality rules (those steps can be replaced by a macro step
 1416 containing exactly this single step).

1417 D.3.2.1 Regarding the type indices:

1418 We observe that the properties of the type indices provided by Lemma 30 ensure that
 1419 the smallest unnormalizably spurious terms (i.e. without unnormalizably spurious proper
 1420 subterms) are function applications in which function and argument are both almost proper.
 1421 Furthermore, in such a case the function is not a λ -function.

1422 It remains to consider the typing and validity rules and to construct macro steps for the
 1423 steps in the derivation using them for the normalizing statement transformation.

1424 Since terms indexed by a type A have type \overline{A} it is easy to see from Definition 34 that the
 1425 normalizing statement transformation replaces terms of type \overline{A} by terms of type \overline{A} .

1426 (const):

1427 Since constants are proper terms, there is nothing to prove.

1428 (var):

1429 Since context variables are proper terms, there is nothing to prove.

1430 (=type):

$$1431 \quad \Delta \vdash_{\overline{T}} \text{sRed}(s)_A : \overline{A} \quad \text{by assumption} \quad (308)$$

$$1432 \quad \Delta \vdash_{\overline{T}} \text{sRed}(t)_A : \overline{A} \quad \text{by assumption} \quad (309)$$

$$1433 \quad \Delta \vdash_{\overline{T}} \text{sRed}(s)_A =_{\overline{A}} \text{sRed}(t)_A : \text{bool} \quad (=type), (308), (309) \quad (310)$$

$$1434 \quad \Delta \vdash_{\overline{T}} \text{sRed}(s_A =_{\overline{A}} t_A)_{\text{bool}} : \text{bool} \quad \text{SR4}, (310)$$

1435 (lambda):

$$1436 \quad \Delta, x_A : \overline{A} \vdash_{\overline{T}} \text{sRed}(t_B)_B : \overline{B} \quad \text{by assumption} \quad (311)$$

$$1437 \quad \Delta \vdash_{\overline{T}} (\lambda x_A : \overline{A}. \text{sRed}(t_B)_B) : \overline{A} \rightarrow \overline{B} \quad (\text{lambda}), (311) \quad (312)$$

If $\text{sRed}(t)_B$ isn't an unnormalizably spurious function application $\text{sRed}(f_{\Pi y:A'. B}) x_A$ for which x doesn't appear in f :

$$1438 \quad \Delta \vdash_{\overline{T}} \text{sRed}(\lambda x_A : \overline{A}. \text{sRed}(t_B)_B) : \overline{A} \rightarrow \overline{B} \quad \text{SR6}, (312)$$

Else by (SR3) we have $\text{sRed}(\lambda x_A : \overline{A}. \text{sRed}(t_B)_B) = \text{sRed}(f_{\Pi y:A'. B})$. By the remark about the type of $\text{sRed}(\cdot)$ it follows that $\text{sRed}(f_{\Pi y:A'. B})$ has type $\Pi y:A'. \overline{B} = \overline{A} \rightarrow \overline{B}$.

$$1439 \quad \Delta \vdash_{\overline{T}} \text{sRed}(f_{\Pi y:A'. B}) : \overline{A} \rightarrow \overline{B} \quad \text{see above} \quad (313)$$

$$1440 \quad \Delta \vdash_{\overline{T}} \text{sRed}(\lambda x_A : \overline{A}. \text{sRed}(t_B)_B) : \overline{A} \rightarrow \overline{B} \quad (\text{SR3}), (313)$$

1441 (appl):

$$1442 \quad \Delta \vdash_{\overline{T}} \text{sRed}(f_{\Pi x:A. B}) : \overline{A} \rightarrow \overline{B} \quad \text{by assumption} \quad (314)$$

$$1443 \quad \Delta \vdash_{\overline{T}} \text{sRed}(t_{A'}) : \overline{A} \quad \text{by assumption} \quad (315)$$

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If $\text{sRed}(f_{\Pi x:A. B}) \text{sRed}(t_{A'})_{A'}$ satisfies $A \equiv A'$:

$$\Delta \vdash_{\overline{T}} \text{sRed}(f_{\Pi x:A. B} t_{A'}) : \overline{B} \quad \text{SR1, (lambda), (314), (315)} \quad 1444$$

If $\text{sRed}(f_{\Pi x:A. B}) \text{sRed}(t_{A'})$ doesn't satisfy $A \equiv A'$ then the 6. property in Lemma 30 implies that f is not a lambda function and thus $\text{sRed}(f_{\Pi x:A. B}) \text{sRed}(t_{A'})$ is not beta reducible. Thus by (SR2) and (SR7) we have

$$\text{sRed}(f_{\Pi x:A. B} t_{A'}) = \text{sRed}(\text{sRed}(f_{\Pi x:A. B})_{\Pi x:A. B} \text{sRed}(\text{sRed}(t_{A'})_{A'})) = w_{\overline{B}}.$$

By the axiom schema asserting the existence of $w_{\overline{B}}$ we have $w_{\overline{B}} : \overline{B}$:

$$\Delta \vdash_{\overline{T}} w_{\overline{B}} : \overline{B} \quad \text{axiom scheme} \quad (316) \quad 1445$$

$$\Delta \vdash_{\overline{T}} \text{sRed}(f_{\Pi x:A. B} t_{A'}) : \overline{B} \quad (\text{SR2}), (\text{SR7}), (316) \quad 1446$$

(\Rightarrow type): 1447

$$\Delta \vdash_{\overline{T}} \text{sRed}(F)_{\text{bool}} : \text{bool} \quad \text{by assumption} \quad (317) \quad 1448$$

$$\Delta \vdash_{\overline{T}} \text{sRed}(G)_{\text{bool}} : \text{bool} \quad \text{by assumption} \quad (318) \quad 1449$$

$$\Delta \vdash_{\overline{T}} \text{sRed}(F)_{\text{bool}} \Rightarrow \text{sRed}(G)_{\text{bool}} : \text{bool} \quad (\Rightarrow \text{type}), (317), (318) \quad (319) \quad 1450$$

$$\Delta \vdash_{\overline{T}} \text{sRed}(F_{\text{bool}} \Rightarrow F_{\text{bool}}) : \text{bool} \quad \text{SR5}, (319) \quad 1451$$

(axiom): 1452

Since translations of axioms to HOL are always proper terms and the additionally generated axioms are almost proper, there is nothing to prove here. 1453
1454

(assume): 1455

If the axiom is a typing axiom generated by the translation, it follows that it is almost proper. Similarly, if it is an axiom for a base type. Otherwise: 1456
1457

$$\triangleright \text{sRed}(F_{\text{bool}}) \text{ in } \Delta \quad \text{by assumption} \quad (320) \quad 1458$$

$$\Delta \vdash_{\overline{T}} \text{sRed}(F_{\text{bool}}) \quad (\text{assume}), (320) \quad 1459$$

By assumption $\text{sRed}(F)$ almost proper (with a quasi-preimage of type `bool`), so the conclusion of the rule is almost proper and there is nothing to prove here. 1460
1461

(cong λ): 1462

$$\Delta \vdash_{\overline{T}} A \equiv A' \quad \text{by assumption} \quad (321) \quad 1463$$

$$\Delta, x_A : \overline{A} \vdash_{\overline{T}} \text{sRed}(t_B =_{\overline{B}} t'_B)_{\text{bool}} \quad \text{by assumption} \quad (322) \quad 1464$$

$$\Delta, x_A : \overline{A} \vdash_{\overline{T}} \text{sRed}(t_B)_B =_{\overline{B}} \text{sRed}(t'_B)_B \quad \text{SR4}, (322) \quad (323) \quad 1465$$

$$\Delta \vdash_{\overline{T}} \lambda x_A : \overline{A}. \text{sRed}(t_B)_B =_{\overline{A} \rightarrow \overline{B}} \lambda x_A : \overline{A}. \text{sRed}(t'_B)_B \quad (\text{cong}\lambda), (321), (323) \quad (324) \quad 1466$$

By assumption $\text{sRed}(t)_B =_{\overline{B}} \text{sRed}(t')_B$ almost proper with quasi-preimage consistent with type indices and $A \equiv A'$, thus also $\lambda x_A : \overline{A}. \text{sRed}(t)_B =_{\overline{A} \rightarrow \overline{B}} \lambda x_A : \overline{A}. \text{sRed}(t')_B$ almost proper with quasi-preimage consistent with type indices. 1467
1468
1469
1470

$$\Delta \vdash_{\overline{T}} \text{sRed}(\lambda x_A : \overline{A}. \text{sRed}(t_B)_B =_{\overline{A} \rightarrow \overline{B}} \lambda x_A : \overline{A}. \text{sRed}(t'_B)_B) \quad \text{SR6}, \text{SR4}, (324) \quad 1471$$

1472 **(congAppl):**

$$1473 \quad \Delta \vdash_{\overline{T}} \text{sRed}(t_A =_{\overline{A}} t'_A) \quad \text{by assumption} \quad (325)$$

$$1474 \quad \Delta \vdash_{\overline{T}} \text{sRed}(t_A)_A =_{\overline{A}} \text{sRed}(t'_A)_A \quad \text{SR4,(325)} \quad (326)$$

$$1475 \quad \Delta \vdash_{\overline{T}} \text{sRed}(f)_{\Pi x:A'. B} =_{\overline{A} \rightarrow \overline{B}} \text{sRed}(f')_{\Pi x:A'. B} \quad \text{by assumption} \quad (327)$$

$$1476 \quad \Delta \vdash_{\overline{T}} \text{sRed}(f)_{\Pi x:A'. B} =_{\overline{A} \rightarrow \overline{B}} \text{sRed}(f')_{\Pi x:A'. B} \quad \text{SR4,(327)} \quad (328)$$

1477 Assume that $A \neq A'$. By property 6. in Lemma 30 $\text{sRed}(f)$ and $\text{sRed}(f')$ are not λ -functions.
 1478 Consequently, the applications $\text{sRed}(f) \text{sRed}(s)$ and $\text{sRed}(f') \text{sRed}(s')$ are not beta or eta
 1479 reducible. Thus,

$$1480 \quad \text{sRed}(\text{sRed}(f)_{\Pi x:A. B} \text{sRed}(t_A)_{A'}) = w_{\overline{B}}$$

1481 and

$$1482 \quad \text{sRed}(\text{sRed}(f')_{\Pi x:A. B} \text{sRed}(t'_A)_{A'}) = w_{\overline{B}}$$

1483 and we yield:

$$1484 \quad \Delta \vdash_{\overline{T}} \text{sRed}(\text{sRed}(f)_{\Pi x:A'. B} \text{sRed}(t_A)_A) =_{\overline{B}} \text{sRed}(\text{sRed}(f')_{\Pi x:A'. B} \text{sRed}(t'_A)_A) \quad (\text{refl}) \quad (329)$$

1486 Otherwise the terms $\text{sRed}(f)_{\Pi x:A. B} \text{sRed}(t_A)_A$ and $\text{sRed}(f')_{\Pi x:A. B} \text{sRed}(t'_A)_A$ are almost
 1487 proper with quasi-preimages consistent with type indices. It follows:

$$1488 \quad \text{sRed}(\text{sRed}(f)_{\Pi x:A. B} \text{sRed}(t_A)_A) = \text{sRed}(f)_{\Pi x:A. B} \text{sRed}(t_A)_A$$

1489 and

$$1490 \quad \text{sRed}(\text{sRed}(f')_{\Pi x:A. B} \text{sRed}(t'_A)_A) = \text{sRed}(f')_{\Pi x:A. B} \text{sRed}(t'_A)_A$$

1491 and thus:

$$1492 \quad \Delta \vdash_{\overline{T}} \text{sRed}(\text{sRed}(f)_{\Pi x:A. B} \text{sRed}(t_A)_A) =_{\overline{B}} \text{sRed}(\text{sRed}(f')_{\Pi x:A. B} \text{sRed}(t'_A)_A) \quad (\text{congAppl}), (326), (328) \quad (330)$$

1494 In either case, we concluded

$$1495 \quad \text{sRed}(\text{sRed}(f)_{\Pi x:A. B} \text{sRed}(t_A)_A) =_{\overline{B}} \text{sRed}(\text{sRed}(f')_{\Pi x:A. B} \text{sRed}(t'_A)_A).$$

By SR4 this is already the desired conclusion of:

$$\text{sRed}(\text{sRed}(f)_{\Pi x:A. B} \text{sRed}(t_A)_A) =_{\overline{B}} \text{sRed}(f')_{\Pi x:A. B} \text{sRed}(t'_A)_A.$$

1496 **(refl):**

$$1497 \quad \Delta \vdash_{\overline{T}} \text{sRed}(t_A)_A : \overline{A} \quad \text{by assumption} \quad (331)$$

$$1498 \quad \Delta \vdash_{\overline{T}} \text{sRed}(t_A)_A =_{\overline{A}} \text{sRed}(t_A)_A \quad (\text{refl}), (331) \quad (332)$$

$$1499 \quad \Delta \vdash_{\overline{T}} \text{sRed}(\text{sRed}(t_A)_A) =_{\overline{A}} \text{sRed}(t_A)_A \quad \text{SR4,(332)}$$

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1500 **(sym):**

$$1501 \quad \Delta \vdash_{\overline{T}} \text{sRed} (t_A =_{\overline{A}} s_A) \quad \text{by assumption} \quad (333)$$

$$1502 \quad \Delta \vdash_{\overline{T}} \text{sRed} (t_A)_A =_{\overline{A}} \text{sRed} (s_A)_A \quad \text{SR4,(333)} \quad (334)$$

$$1503 \quad \Delta \vdash_{\overline{T}} \text{sRed} (s_A)_A =_{\overline{A}} \text{sRed} (t_A)_A \quad (\text{sym}), (334) \quad (335)$$

$$1504 \quad \Delta \vdash_{\overline{T}} \text{sRed} (s_A =_{\overline{A}} t_A) \quad \text{SR4,(335)}$$

1505 **(beta):**

$$1506 \quad \Delta \vdash_{\overline{T}} \text{sRed} ((\lambda x_A : \overline{A}. s_B) t_{A'})_{B'} : \overline{B} \quad \text{by assumption} \quad (336)$$

By property 6. in Lemma 30, it follows that $A = A'$. If $\text{sRed} ((\lambda x_A : \overline{A}. s_B) t_A)_{B'}$ is almost proper with quasi-preimage of type $B \equiv B'$, then $\text{sRed} ((\lambda x_A : \overline{A}. s_B) t_A)_{B'} = (\lambda x_A : \overline{A}. s_B) t_A$ and thus:

$$1507 \quad \Delta \vdash_{\overline{T}} (\lambda x_A : \overline{A}. s_B) t_A : \overline{B} \quad \text{SR1,(336)} \quad (337)$$

$$1508 \quad \Delta \vdash_{\overline{T}} (\lambda x_A : \overline{A}. s_B) t_A =_{\overline{B}} s_B [x_A/t_A] \quad (\text{beta}), (337) \quad (338)$$

$$1509 \quad \Delta \vdash_{\overline{T}} \text{sRed} ((\lambda x_A : \overline{A}. s_B) t_A =_{\overline{B}} s_B [x_A/t_A]) \quad \text{SR4,SR1,(338)}$$

Otherwise by SR2,

$$\text{sRed} ((\lambda x_A : \overline{A}. s_B) t_{A'}) = \text{sRed} (s_B [x_A/t_{A'}])$$

1510 and we yield:

$$1511 \quad \Delta \vdash_{\overline{T}} \text{sRed} ((\lambda x_A : \overline{A}. s_B) t_{A'}) =_{\overline{B}} \text{sRed} (s_B [x_A/t_{A'}]) \quad (\text{refl}), \text{above observation} \quad (339)$$

$$1512 \quad \Delta \vdash_{\overline{T}} \text{sRed} ((\lambda x_A : \overline{A}. s_B) t_{A'} =_{\overline{B}} s_B [x_A/t_{A'}]) \quad \text{SR4,(339)}$$

1513 **(eta):**

$$1514 \quad \Delta \vdash_{\overline{T}} \text{sRed} (t_{\Pi x:A. B}) : \overline{A} \rightarrow \overline{B} \quad \text{by assumption} \quad (340)$$

$$1515 \quad x \text{ not in } \Delta \quad \text{by assumption} \quad (341)$$

Since $\text{sRed} (t_{\Pi x:A. B})$ is almost proper with quasi-preimage of type $\Pi x:A. B$, it follows that $\lambda x_A : \overline{A}. \text{sRed} (t_{\Pi x:A. B}) x_A$ is also almost proper with quasi-preimage of type $\Pi x:A. B$. It follows:

$$1516 \quad \Delta \vdash_{\overline{T}} \text{sRed} (t_{\Pi x:A. B}) =_{\overline{A} \rightarrow \overline{B}} \lambda x_A : \overline{A}. \text{sRed} (t_{\Pi x:A. B}) x_A \quad (\text{eta}), (340), (341) \quad (342)$$

$$1517 \quad \Delta \vdash_{\overline{T}} \text{sRed} (t_{\Pi x:A. B} =_{\overline{A} \rightarrow \overline{B}} \lambda x_A : \overline{A}. \text{sRed} (t_{\Pi x:A. B}) x_A) \quad \text{SR2,SR6,SR4,(342)}$$

1518 **(cong⁺):**

$$1519 \quad \Delta \vdash_{\overline{T}} \text{sRed} (F_{\text{bool}} =_{\text{bool}} F'_{\text{bool}}) \quad \text{by assumption} \quad (343)$$

$$1520 \quad \Delta \vdash_{\overline{T}} \text{sRed} (F'_{\text{bool}}) \quad \text{by assumption} \quad (344)$$

$$1521 \quad \Delta \vdash_{\overline{T}} \text{sRed} (F_{\text{bool}}) =_{\text{bool}} \text{sRed} (F'_{\text{bool}}) \quad \text{SR4,(343)} \quad (345)$$

$$1522 \quad \Delta \vdash_{\overline{T}} \text{sRed} (F_{\text{bool}}) \quad (\text{cong}^+), (345), (344)$$

1523 $(\Rightarrow I)$:

$$1524 \quad \Delta \vdash_{\overline{T}} \text{sRed}(F_{\text{bool}}) : \text{bool} \quad \text{by assumption} \quad (346)$$

$$1525 \quad \Delta, \triangleright \text{sRed}(F_{\text{bool}}) \vdash_{\overline{T}} \text{sRed}(G_{\text{bool}}) \quad \text{by assumption} \quad (347)$$

$$1526 \quad \Delta \vdash_{\overline{T}} \text{sRed}(F_{\text{bool}}) \Rightarrow \text{sRed}(G_{\text{bool}}) \quad (\Rightarrow I), (346), (347) \quad (348)$$

$$1527 \quad \Delta \vdash_{\overline{T}} \text{sRed}(F_{\text{bool}} \Rightarrow G_{\text{bool}}) \quad \text{SR5}, (348)$$

1528 $(\Rightarrow E)$:

$$1529 \quad \Delta \vdash_{\overline{T}} \text{sRed}(F_{\text{bool}} \Rightarrow G_{\text{bool}}) \quad \text{by assumption} \quad (349)$$

$$1530 \quad \Delta \vdash_{\overline{T}} \text{sRed}(F_{\text{bool}}) \quad \text{by assumption} \quad (350)$$

$$1531 \quad \Delta \vdash_{\overline{T}} \text{sRed}(F_{\text{bool}}) \Rightarrow \text{sRed}(G_{\text{bool}}) \quad \text{SR5}, (349) \quad (351)$$

$$1532 \quad \Delta \vdash_{\overline{T}} \text{sRed}(G_{\text{bool}}) \quad (\Rightarrow E), (351), (350)$$

1533 (boolExt) :

$$1534 \quad \Delta \vdash_{\overline{T}} \text{sRed}(p_{\text{bool} \rightarrow \text{bool}} \text{ true}_{\text{bool}}) \quad \text{by assumption} \quad (352)$$

$$1535 \quad \Delta \vdash_{\overline{T}} \text{sRed}(p_{\text{bool} \rightarrow \text{bool}} F_{\text{bool}}) \quad \text{by assumption} \quad (353)$$

$$1536 \quad \Delta \vdash_{\overline{T}} \text{sRed}(p_{\text{bool} \rightarrow \text{bool}} \text{ true}) \quad (\text{SR2}), (\text{SR1}), (352) \quad (354)$$

$$1537 \quad \Delta \vdash_{\overline{T}} \text{sRed}(p_{\text{bool} \rightarrow \text{bool}} \text{ false}) \quad (\text{SR2}), (\text{SR1}), (353) \quad (355)$$

$$1538 \quad \Delta \vdash_{\overline{T}} \forall x:\text{bool}. \text{sRed}(p_{\text{bool} \rightarrow \text{bool}} x) \quad (\text{boolExt}), (354), (355) \quad (356)$$

$$1539 \quad \Delta \vdash_{\overline{T}} \text{sRed}(\forall x:\text{bool}. p_{\text{bool} \rightarrow \text{bool}} x) \quad \text{SR4}, \text{SR6}, \text{SR2}, \text{SR1}, (356)$$

1540

1541 D.4 Lifting admissible HOL derivations of validity statements to DHOL

1542 We finally have all required results to prove the soundness of the translation from DHOL to
1543 HOL.

1544 **Proof of Theorem 21.** As shown in Lemma 35, we may assume that the proof of $\overline{\Gamma} \vdash_{\overline{T}} \overline{F}$
1545 is admissible, so it only contains almost-proper terms. Consequently, whenever an equality
1546 $s =_{\overline{A}} t$ is derivable in HOL and s', t' are the quasi-preimages of s, t respectively, it follows
1547 that its quasi-preimage $s' =_A t$ is well-typed in DHOL and thus $s':A$ and $t':A$. Without
1548 loss of generality (adding extra assumptions throughout the proof) we may assume that the
1549 context of the (final) conclusion is the translation of a DHOL context. By Lemma 26 the
1550 translation is term-wise injective.

1551 Therefore, the translated conjecture is a proper validity statement with unique (quasi)-
1552 preimage in DHOL. If we can lift a derivation of the translated conjecture to a valid DHOL
1553 derivation of its quasi-preimage, the resulting derivation is a valid derivation of the original
1554 conjecture. This means, that it suffices to prove that we can lift admissible derivations of a
1555 proper validity statement S in HOL to a derivation of a quasi-preimage of S .

1556 We prove this claim by induction on the validity rules of HOL as follows:

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1557 Given a validity rule R with assumptions A_1, \dots, A_n , validity assumptions (assumptions
1558 that are validity statements) V_1, \dots, V_m , non-judgement assumptions (meaning assumptions
1559 that something occurs in a context or theory) N_1, \dots, N_p and conclusion C we will show the
1560 following:

1561 \triangleright Claim 36. Assuming that the A_i and the N_j hold.

- 1562 1. Assume that the conclusion C is proper with quasi-preimage C^{-1} . Then the contexts C_i
1563 of the V_i are proper and the quasi-preimages of the V_i are well-formed.
- 1564 2. Assume that whenever an V_i is proper its quasi-preimage (where we choose the same
1565 preimages for identical terms and types with several possible preimages) holds in DHOL
1566 and that the conclusion C is proper with quasi-preimage C^{-1} . Then, C^{-1} holds in DHOL.

1567 Consider the first part of this claim, namely that if C is proper then the V_i are proper.
1568 Since all formulae appearing in the derivation are almost proper, this implies that the V_i
1569 themselves are proper and by construction (choice of quasi-preimage) the contexts of their
1570 quasi-preimages fit together with the context of C^{-1} .

1571 The translation clearly implies that if an N_j holds in HOL, the corresponding non-judgement
1572 assumption N_j^{-1} holds in DHOL (e.g. if \overline{F} is an axiom in \overline{T} , then F must be an axiom in
1573 T).

1574 Since the validity judgement being derived is proper, it follows from this first part of the
1575 claim that the validity assumptions of all validity rules in the derivation are proper.

1576 By induction on the validity rules, if given an arbitrary validity rule R whose assumptions
1577 hold and whose validity assumptions all satisfy a property P we can show that P holds
1578 on the conclusion of R , then all derivable validity judgments have property P . Since all
1579 the validity assumptions and conclusions of validity rules in the derivation are proper, the
1580 property of having a derivable quasi-preimage is such a property. By this induction principle,
1581 it suffices to prove the claim for the validity rules in HOL.

1582 We will therefore consider the validity rules one by one. For each rule we first prove the first
1583 part of the claim. Sometimes we also need that the quasi-preimages of some non-validity
1584 (typically typing) assumptions hold, so we will prove that this also follows from the conclusion
1585 being proper. Then the assumption of the second part, combined with the first part implies
1586 that the quasi-preimages of the V_i hold in DHOL and it is easy to prove that also C^{-1} holds
1587 in DHOL.

1588 Throughout this proof we will use the notation \tilde{t} to denote that t is some quasi-preimage
1589 of \tilde{t} . Since the translation is surjective on type-level we will only need this notation on
1590 term-level.

1591 Validity can be shown using the rules (cong λ), (eta), (congAppl), (cong \vdash), (beta), (refl),
1592 (sym), (assume), (axiom), (\Rightarrow I), (\Rightarrow E) and (boolExt).

1593 **(cong λ):**

1594 Since the conclusion is proper, it follows that the preimage

$$1595 \quad \Gamma \vdash_{\tau} \lambda x:A. \tilde{t} =_{\Pi x:A. B} \lambda x:A. \tilde{t}'$$

1596 of the normalization

$$1597 \quad \bar{\Gamma} \vdash_{\bar{T}} \forall x:\bar{A}. \forall y:\bar{A}. A^* x y \Rightarrow B^* \tilde{t} x \tilde{t}' y$$

1598 of the conclusion is well-formed. By rule (eqTyping) and rule (sym) we obtain $\Gamma \vdash_{\top} \lambda x:A. t : \Pi x:A. B$
 1599 and $\Gamma \vdash_{\top} \lambda x:A. t' : \Pi x:A. B$ in DHOL.

$$1600 \quad \Gamma \vdash_{\top} \lambda x:A. t : \Pi x:A. B \quad \text{see above} \quad (357)$$

$$1601 \quad \Gamma \vdash_{\top} \lambda x:A. t' : \Pi x:A. B \quad \text{see above} \quad (358)$$

$$1602 \quad \Gamma, y:A \vdash_{\top} (\lambda x:A. t) y : B \quad (\text{appl}), (\text{var} \vdash), (357), (\text{assume}) \quad (359)$$

$$1603 \quad \Gamma, y:A \vdash_{\top} (\lambda x:A. t') y : B \quad (\text{appl}), (\text{var} \vdash), (358), (\text{assume}) \quad (360)$$

$$1604 \quad \Gamma, y:A \vdash_{\top} (\lambda x:A. t) y =_B t[x/y] \quad (\text{beta}), (359) \quad (361)$$

$$1605 \quad \Gamma, y:A \vdash_{\top} (\lambda x:A. t') y =_B t'[x/y] \quad (\text{beta}), (360) \quad (362)$$

$$1606 \quad \Gamma, x:A \vdash_{\top} t : B \quad \alpha\text{-renaming}, (\text{cong}), (361) \quad (363)$$

$$1607 \quad \Gamma, x:A \vdash_{\top} t' : B \quad \alpha\text{-renaming}, (\text{cong}), (362) \quad (364)$$

$$1608 \quad \Gamma, x:A \vdash_{\top} t =_B t \quad (=type), (363), (364)$$

1609 Clearly, $\Gamma, x:A \vdash_{\top} t =_B t'$ is a quasi-preimage of the validity assumption, so this proves the
 1610 first part of the claim.

1611 Regarding the second part:

$$1612 \quad \Gamma, x:A \vdash_{\top} t =_B t' \quad \text{by assumption} \quad (365)$$

$$1613 \quad \Gamma \vdash_{\top} A \equiv A \quad (\equiv \text{refl}), (\text{typingTp}), (365) \quad (366)$$

$$1614 \quad \Gamma \vdash_{\top} \lambda x:A. t =_{\Pi x:A. B} \lambda x:A. t' \quad (\text{cong}\lambda'), (366) \quad (367)$$

1615 **(eta):**

1616 Since the rule has no validity assumption, the first part of the claim holds.

1617 For the second part, we still need the quasi-preimage of the assumption to hold, so we will
 1618 show that it follows from the conclusion being proper.

1619 Since the conclusion is proper, it follows that the preimage

$$1620 \quad \Gamma \vdash_{\top} t =_{\Pi x:A. B} \lambda x:A. t x$$

1621 of the normalization

$$1622 \quad \bar{\Gamma} \vdash_{\bar{T}} \forall x:\bar{A}. \forall y:\bar{A}. A^* x y \Rightarrow B^* \tilde{t} x \left(\lambda x:\bar{A}. \tilde{t} x \right) y$$

1623 of the conclusion is well-formed. By rule (eqTyping) and rule (sym) we obtain $\Gamma \vdash_{\top} t : \Pi x:A. B$
 1624 and $\Gamma \vdash_{\top} \lambda x:A. t x : \Pi x:A. B$ in DHOL. Clearly, $\Gamma \vdash_{\top} t : \Pi x:A. B$ is a quasi-preimage of the
 1625 validity assumption, so this proves the quasi-preimage of the assumption of the rule.

1626 Regarding the second part:

$$1627 \quad \Gamma \vdash_{\top} t : \Pi x:A. B \quad \text{see above} \quad (368)$$

$$1628 \quad \Gamma \vdash_{\top} t =_{\Pi x:A. B} \lambda x:A. t x \quad (\text{etaPi}), (368)$$

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1629 **(congAppl):**

1630 Since the conclusion is proper, it follows that the preimage

$$1631 \quad \Gamma \vdash_{\mathbf{T}} f \ t =_B f' \ t'$$

1632 of the normalization

$$1633 \quad B^* \widetilde{f} \ \widetilde{t} \ \widetilde{f'} \ \widetilde{t'}$$

1634 of the conclusion is well-formed. By rule (eqTyping) and rule (sym) we obtain $\Gamma \vdash_{\mathbf{T}} f \ t : B$
 1635 and $\Gamma \vdash_{\mathbf{T}} f' \ t' : B$ in DHOL. Obviously, $\Gamma \vdash_{\mathbf{T}} t =_A t'$ and $\Gamma \vdash_{\mathbf{T}} f =_{\Pi x:A. B} f'$ are quasi-preimages
 1636 of the validity assumptions.

1637 Since the validity assumptions use the same context as the conclusion, it follows that they
 1638 are both proper with uniquely determined context. As observed in the beginning of the
 1639 proof if a proper assumption of a rule is an equality over a type \overline{A} , the induction hypothesis
 1640 implies that the quasi-preimage of that assumption in which the equality is over type A must
 1641 be well-formed. Hence both $\Gamma \vdash_{\mathbf{T}} t =_A t'$ and $\Gamma \vdash_{\mathbf{T}} f =_{\Pi x:A. B} f'$ are well-formed in DHOL, so
 1642 we have proven the first part of the claim.

1643 Regarding the second part of the claim:

$$1644 \quad \Gamma \vdash_{\mathbf{T}} t =_A t' \quad \text{by assumption} \quad (369)$$

$$1645 \quad \Gamma \vdash_{\mathbf{T}} f =_{\Pi x:A. B} f' \quad \text{by assumption} \quad (370)$$

$$1646 \quad \Gamma \vdash_{\mathbf{T}} f \ t =_B f' \ t' \quad (\text{congAppl}), (369), (370) \quad (371)$$

1647 This is what we had to show.

1648 **(cong⁺):**

1649 Since the conclusion is proper, it follows that the preimage

$$1650 \quad \Gamma \vdash_{\mathbf{T}} F$$

1651 of the normalization

$$1652 \quad \overline{\Gamma} \vdash_{\overline{T}} \widetilde{F}$$

1653 of the conclusion is well-formed. Thus we have $\Gamma \vdash_{\mathbf{T}} F : \text{bool}$. Since the validity assumptions
 1654 use the same context as the conclusion, it follows that they are both proper with uniquely
 1655 determined. As observed in the beginning of the proof if a proper assumption of a rule is
 1656 an equality over a type \overline{A} (here $A = \overline{A} = \text{bool}$), the induction hypothesis implies that the
 1657 quasi-preimage of that assumption in which the equality is over type bool must be well-formed.
 1658 Clearly, $\Gamma \vdash_{\mathbf{T}} F' =_{\text{bool}} F$ and $\Gamma \vdash_{\mathbf{T}} F$ are the quasi-preimages of the two validity assumptions.
 1659 Since the former is a validity statement about the quasi-preimage of an equality, it follows
 1660 that $\Gamma \vdash_{\mathbf{T}} F' =_{\text{bool}} F$ is well-formed. We have already seen that $\Gamma \vdash_{\mathbf{T}} F$ is well-typed. This
 1661 shows the first part of the claim.

1662 Regarding the second part:

$$1663 \quad \Gamma \vdash_{\mathbf{T}} F' =_{\text{bool}} F \quad \text{by assumption} \quad (372)$$

$$1664 \quad \Gamma \vdash_{\mathbf{T}} F' \quad \text{by assumption} \quad (373)$$

$$1665 \quad \Gamma \vdash_{\mathbf{T}} F \quad (\text{cong}^+), (372), (373)$$

(beta):

Since the rule has no validity assumptions, the first part of the claim trivially holds.

Since the conclusion is proper, it follows that the preimage

$$\Gamma \vdash_{\mathbf{T}} (\lambda x:A. s) \ t =_{\Pi x:A. B} s[x/t]$$

of the normalization

$$\bar{\Gamma} \vdash_{\bar{\mathbf{T}}} \mathbf{B}^* (\lambda x:\bar{A}. \tilde{s}) \ \tilde{t} \ \tilde{s}[x/\tilde{t}]$$

of the conclusion is well-formed. By rule (eqTyping), we obtain $\Gamma \vdash_{\mathbf{T}} (\lambda x:A. s) \ t:B$ in DHOL.

Clearly, $\Gamma \vdash_{\mathbf{T}} (\lambda x:A. s) \ t:B$ is a quasi-preimage of the assumption of the rule, so we have proven that the quasi-preimage of the assumption of the rule holds in DHOL.

Regarding the second part:

$$\Gamma \vdash_{\mathbf{T}} (\lambda x:A. s) \ t:B \quad \text{see above} \quad (374)$$

$$\Gamma \vdash_{\mathbf{T}} (\lambda x:A. s) \ t =_{\Pi x:A. B} s[x/t] \quad (\text{beta}), (374)$$

(refl):

Once again the rule has no validity assumptions, so the first part of the claim trivially holds.

Since the conclusion is proper, it follows that the preimage

$$\Gamma \vdash_{\mathbf{T}} t =_A t'$$

of the normalization

$$\bar{\Gamma} \vdash_{\bar{\mathbf{T}}} \mathbf{A}^* \tilde{t} \ \tilde{t}'$$

of the conclusion is well-formed. By Lemma 26 it follows that t and t' are identical so the quasi-preimage is $\Gamma \vdash_{\mathbf{T}} t =_A t$. By rule (eqTyping), we obtain $\Gamma \vdash_{\mathbf{T}} t:A$ in DHOL, the quasi-preimage of the assumption of the rule.

Regarding the second part of the claim:

$$\Gamma \vdash_{\mathbf{T}} t:A \quad \text{see above} \quad (375)$$

$$\Gamma \vdash_{\mathbf{T}} t =_A t \quad (\text{refl}), (375)$$

(sym):

Since the conclusion is proper, it follows that the preimage

$$\Gamma \vdash_{\mathbf{T}} t =_A s$$

of the normalization

$$\bar{\Gamma} \vdash_{\bar{\mathbf{T}}} \mathbf{A}^* \tilde{t} \ \tilde{s}$$

of the conclusion is well-formed. By the rules (eqTyping) and (sym) both $\Gamma \vdash_{\mathbf{T}} t:A$ and $\Gamma \vdash_{\mathbf{T}} s:A$ follow. By rule (=type) it follows that $\Gamma \vdash_{\mathbf{T}} s =_A t$ is well-formed. Clearly, $\Gamma \vdash_{\mathbf{T}} s =_A t$ is the quasi-preimages of the validity assumption, so we have proven the first part of the claim.

Regarding the second part:

$$\Gamma \vdash_{\mathbf{T}} t =_A s \quad \text{by assumption} \quad (376)$$

$$\Gamma \vdash_{\mathbf{T}} s =_A t \quad (\text{sym}), (376) \quad (377)$$

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1701 **(assume):**

1702 Once again, there are no validity assumption, so the first part of the claim is trivial.

1703 Since the conclusion is proper, it follows that the preimage

$$1704 \quad \Gamma \vdash_{\mathbf{T}} F$$

1705 of the normalization

$$1706 \quad \bar{\Gamma} \vdash_{\bar{T}} \tilde{F}$$

1707 of the conclusion is well-formed and thus $\Gamma \vdash_{\mathbf{T}} F : \text{bool}$.

$$1708 \quad \Gamma \vdash_{\mathbf{T}} F : \text{bool} \quad \text{see above} \quad (378)$$

$$1709 \quad \Gamma \vdash_{\mathbf{T}} \text{bool tp} \quad (\text{typingTp}), (378) \quad (379)$$

$$1710 \quad \vdash_{\mathbf{T}} \Gamma \text{ Ctx} \quad (\text{tpCtx}), (379) \quad (380)$$

1711 The context assumption may be the translation of a context assumption in DHOL or a typing
1712 assumption added by the translation. In the latter case, F is of the form $F = \mathbf{A}^* x x$ for
1713 $x:A$ in Γ . In that case, the second part of the claim $\Gamma \vdash_{\mathbf{T}} F$ can be concluded as follows:

$$1714 \quad \Gamma \vdash_{\mathbf{T}} x : A \quad (\text{var}') \quad (381)$$

$$1716 \quad \Gamma \vdash_{\mathbf{T}} x =_A t \quad (\text{refl}), (381) \quad (382)$$

$$1715 \quad \Gamma \vdash_{\mathbf{T}} F \quad \text{by assumption } F = \mathbf{A}^* x x, (382)$$

1718 Otherwise:

$$1719 \quad \triangleright F \text{ in } T \quad \text{by assumption} \quad (383)$$

$$1720 \quad \Gamma \vdash_{\mathbf{T}} F \quad (\text{assume}), (383), (380)$$

1721 **(axiom):**

1722 Once again, there are no validity assumption, so the first part of the claim is trivial.

1723 Since the conclusion is proper, it follows that the preimage

$$1724 \quad \Gamma \vdash_{\mathbf{T}} F$$

1725 of the normalization

$$1726 \quad \bar{\Gamma} \vdash_{\bar{T}} \tilde{F}$$

1727 of the conclusion is well-formed and thus $\Gamma \vdash_{\mathbf{T}} F : \text{bool}$.

$$1728 \quad \Gamma \vdash_{\mathbf{T}} F : \text{bool} \quad \text{see above} \quad (384)$$

$$1729 \quad \Gamma \vdash_{\mathbf{T}} \text{bool tp} \quad (\text{typingTp}), (384) \quad (385)$$

$$1730 \quad \vdash_{\mathbf{T}} \Gamma \text{ Ctx} \quad (\text{tpCtx}), (385) \quad (386)$$

1731 The axiom may be the translation of an axiom in T , a typing axiom added by the translation
1732 or an axiom added for some base type A . In the first case, the second part of the claim
1733 follows by:

$$1734 \quad \triangleright F \text{ in } T \quad \text{by assumption} \quad (387)$$

1735 $\Gamma \vdash_{\mathbf{T}} F$ (axiom),(387),(386)

1736 If the axiom is a typing axiom then its preimage states that some constant c of type A
 1737 satisfies $c =_A t$ which follows by rule (refl).

1738 If the axiom is the PER axiom generated for some A type declared in T , then it's quasi-
 1739 preimage states that equality on A implies itself which is obviously true.

1740 **($\Rightarrow I$):**

1741 Since the conclusion is proper, it follows that the preimage

1742 $\Gamma \vdash_{\mathbf{T}} F \Rightarrow G$

1743 of the normalization

1744 $\bar{\Gamma} \vdash_{\bar{T}} \widetilde{F} \Rightarrow \widetilde{G}$

1745 of the conclusion is well-formed and thus $\Gamma \vdash_{\mathbf{T}} F \Rightarrow G : \text{bool}$.

1746 $\Gamma \vdash_{\mathbf{T}} F \Rightarrow G : \text{bool}$ see above (388)

1747 $\Gamma \vdash_{\mathbf{T}} F : \text{bool}$ (implTypingL),(388) (389)

1748 $\Gamma \vdash_{\mathbf{T}} G : \text{bool}$ (implTypingR),(388) (390)

1749 $\Gamma, \triangleright F \vdash_{\mathbf{T}} G : \text{bool}$ (monotonic \vdash),(390)

1750 Obviously $\Gamma, \triangleright F \vdash_{\mathbf{T}} G$ is a quasi-preimage of the validity assumption of the rule, so the first
 1751 part of the claim is proven.

1752 Regarding the second part:

1753 $\Gamma, \triangleright F \vdash_{\mathbf{T}} G$ by assumption (391)

1754 $\Gamma \vdash_{\mathbf{T}} F \Rightarrow G$ ($\Rightarrow I$),(389),(391)

1755 **($\Rightarrow E$):**

1756 Since the conclusion is proper, it follows that the preimage

1757 $\Gamma \vdash_{\mathbf{T}} G$

1758 of the normalization

1759 $\bar{\Gamma} \vdash_{\bar{T}} \widetilde{G}$

1760 of the conclusion is well-formed and thus $\Gamma \vdash_{\mathbf{T}} G : \text{bool}$.

1761 Since the validity assumptions use the same context as the conclusion, it follows that they
 1762 are both proper and uniquely determined.

1763 Since the formula \widetilde{F} (where $\bar{\Gamma} \vdash_{\bar{T}} \widetilde{F}$ is the second validity assumption) must be almost proper,
 1764 it follows that its preimage F is well-typed i.e. $\Gamma \vdash_{\mathbf{T}} F : \text{bool}$.

1765 $\Gamma \vdash_{\mathbf{T}} F : \text{bool}$ \widetilde{F} almost proper (392)

1766 $\Gamma \vdash_{\mathbf{T}} G : \text{bool}$ see above (393)

1767 $\Gamma \vdash_{\mathbf{T}} F \Rightarrow G : \text{bool}$ ($\Rightarrow \text{type}'$),(392),(393)

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Clearly, $\Gamma \vdash_{\mathcal{T}} F \Rightarrow G$ and $\Gamma \vdash_{\mathcal{T}} F$ are quasi-preimages of the two validity assumptions of the rule, so we have proven the first part of the claim.

Regarding the second part:

$$\Gamma \vdash_{\mathcal{T}} F \Rightarrow G \quad \text{by assumption} \quad (394)$$

$$\Gamma \vdash_{\mathcal{T}} F \quad \text{by assumption} \quad (395)$$

$$\Gamma \vdash_{\mathcal{T}} G \quad (\Rightarrow E), (394), (395)$$

(boolExt):

Since the conclusion is proper, it follows that the preimage

$$\Gamma \vdash_{\mathcal{T}} \forall x:\text{bool}. p \ x$$

of the normalization

$$\bar{\Gamma} \vdash_{\mathcal{T}} \forall x:\text{bool}. \forall y:\text{bool}. \text{bool}^* \ x \ y \Rightarrow \text{bool}^* \ (\lambda x:\text{bool}. \text{true}) \ x \ \tilde{p} \ y$$

of the conclusion is well-formed and thus $\Gamma \vdash_{\mathcal{T}} \forall x:\text{bool}. p \ x:\text{bool}$. Expanding the definition of \forall yields:

$$\Gamma \vdash_{\mathcal{T}} \lambda x:\text{bool}. \text{true} =_{\Pi x:\text{bool}. \text{bool}} \lambda x:\text{bool}. p \ x:\text{bool} \quad \text{see above} \quad (396)$$

$$\Gamma \vdash_{\mathcal{T}} \lambda x:\text{bool}. p \ x:\Pi x:\text{bool}. \text{bool} \quad (\text{eqTyping}), (\text{sym}), (396) \quad (397)$$

$$\Gamma \vdash_{\mathcal{T}} (\lambda x:\text{bool}. p \ x) \ \text{true}:\text{bool} \quad (\text{appl}), (397) \quad (398)$$

$$\Gamma \vdash_{\mathcal{T}} (\lambda x:\text{bool}. p \ x) \ F:\text{bool} \quad (\text{appl}), (397) \quad (399)$$

$$\Gamma \vdash_{\mathcal{T}} p \ \text{true} =_{\text{bool}} (\lambda x:\text{bool}. p \ x) \ \text{true} \quad (\text{sym}), (\text{beta}), (398) \quad (400)$$

$$\Gamma \vdash_{\mathcal{T}} p \ \text{false} =_{\text{bool}} (\lambda x:\text{bool}. p \ x) \ \text{false} \quad (\text{sym}), (\text{beta}), (399) \quad (401)$$

$$\Gamma \vdash_{\mathcal{T}} p \ \text{true}:\text{bool} \quad (\text{eqTyping}), (400)$$

$$\Gamma \vdash_{\mathcal{T}} p \ F:\text{bool} \quad (\text{eqTyping}), (401)$$

Since $\Gamma \vdash_{\mathcal{T}} p \ \text{true}$ and $\Gamma \vdash_{\mathcal{T}} p \ \text{false}$ are clearly quasi-preimages of the two validity assumptions of the rule, we have proven the first part of the claim.

Regarding the second part:

$$\Gamma \vdash_{\mathcal{T}} p \ \text{true} \quad \text{by assumption} \quad (402)$$

$$\Gamma \vdash_{\mathcal{T}} p \ \text{false} \quad \text{by assumption} \quad (403)$$

$$\Gamma \vdash_{\mathcal{T}} \forall x:\text{bool}. p \ x \quad (\text{boolExt}), (402), (403) \quad (404)$$

1795

