

# Classification of Alignments between Concepts of Formal Mathematical Systems

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**Abstract.** Mathematical knowledge is publicly available in dozens of different formats and languages, ranging from informal (e.g. Wikipedia) to formal corpora (e.g., Mizar). Despite an enormous amount of overlap between these corpora, only few machine-actionable connections exist. We speak of *alignment* if the same concept occurs in different libraries, possibly with slightly different names, notations, or formal definitions. Leveraging these alignments creates a huge potential for knowledge sharing and transfer, e.g., integrating theorem provers or reusing services across systems. Notably, even imperfect alignments, i.e. concepts that are *very similar* rather than identical, can often play very important roles. Specifically, in machine learning techniques for theorem proving and in automation techniques that use these, they allow learning-reasoning based automation for theorem provers to take inspiration from proofs from different formal proof libraries or semi-formal libraries even if the latter is based on a different mathematical foundation. We present a classification of alignments and design a simple format for describing alignments, as well as an infrastructure for sharing them. We propose these as a centralized standard for the community. Finally, we present an initial collection of  $\approx 12000$  alignments from the different kinds of mathematical corpora, including proof assistant libraries and semi-formal corpora as a public resource.

## 1 Introduction

*Motivation and Related Work* The sciences are increasingly collecting and curating their knowledge systematically in machine-processable corpora. For example, in biology many important corpora take the form of ontologies, e.g., as collected on BioPortal. These corpora typically overlap substantially, and much recent work has focused on integrating them. A central problem here is to find *alignments*: pairs  $(a_1, a_2)$  of identifiers from different corpora that describe the same concept, giving rise to *ontology matching* [ESC07].

In the certification of programs and proofs, the ontology matching problem is most apparent when trying to use multiple reasoning systems together. For example, Wiedijk [Wie06] explored a single theorem (and its proof) across 17

proof assistants implicitly generating alignments between the concepts present in the theorem’s statement and proof. The Why3 system [BFMP11] maintains a set of translations into different reasoning systems for discharging proof obligations. Each translation must manually code individual alignments of elementary concepts such as integers or lists in order to fully utilize the respective system’s automation potential. But automating the generation and use of alignments, which would be necessary to scale up such efforts, is challenging because the knowledge involves rigorous notations, definitions, and properties, which leads to very diverse corpora with complex alignment options. This makes it very difficult to determine if an alignment is perfect, or to predict whether an imperfect alignment will work just as well or not at all.

While perfect alignments are common and most desirable, it is important to understand that an alignment does not have to be perfect to be useful. In fact, it is not even obvious how to rigorously define what a perfect alignment is. For example, we could say that an alignment is perfect in the rare case where the definitions of two concepts are exactly the same in two logical corpora. But we could also allow the definitions to differ if the same properties are provable or if they yield the same computational behavior. But these definitions are usually too strict to be practical. For example, the natural number zero is defined as the empty set in set theory, while in many other foundations it is a constructor of an inductive type. Clearly, these share only some of their properties but the ones that are shared include the practically relevant ones.<sup>4</sup> For a more complex example, consider the real numbers: In some HOL proof assistants they are defined using Cauchy sequences, while others use Dedekind cuts. The two structures share all the relevant real number properties. However, they disagree with respect to irrelevant properties, e.g., the former implies that there is a canonical Cauchy sequence for each real number. Despite this minor difference, we can use such an alignment in a logical translation [KK13].

Moreover, many practical services are enabled independent of whether an alignment is perfect: Statistical analogies extracted from large formal libraries combined with imperfect alignments can be used to create new conjectures and thus to automatically explore a logical corpus [GKU16]. Automated reasoning services can use imperfect alignments to provide more precise proof recommendations [GK15]. And we can use imperfect alignments to search for a single query expression in multiple corpora at once [AGC<sup>+</sup>04,KR14].

But finding alignments, preferably automatically, has proved extremely difficult in general. There are three reasons for this: the conceptual differences between logical corpora found in proof assistants, computational corpora containing algorithms from computer algebra systems, narrative corpora that consists of semi-formal descriptions from wiki-related tools; the diversity of the underlying formal languages and tools; and the differences between the organization of the knowledge in the corpora.

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<sup>4</sup> Note that making this statement rigorous is non-trivial because no practical system can ever prove all intended properties of the natural numbers.

Recently, the first author has developed heuristic methods for automatically finding alignments [GK14] targeted at integrating logical corpora [KK13] including HOL Light, HOL4, and Isabelle/HOL discovering 398 pairs of isomorphic concepts. Consistent name hashing combined with statement normalization was used to discover 39 symbols with equivalent definitions [KU15] in the Flyspeck development [H<sup>+</sup>15]. Independently, Deyan Ginev built a library of about 50,000 alignments between narrative corpora including Wikipedia, Wolfram Mathworld, PlanetMath and SMGloM [GC14].

Alignments between computational corpora occur in bridges between the run time systems of programming languages. Alignments between logical and computational corpora are used in proof assistants with code generation such as Isabelle [WPN08] and Coq [Coq15]. Here functions defined in the logic are aligned with their implementations in the programming language in order to generate fast executable code from formalizations.

The dominant methods for integrating logical corpora so far have focused on truth-preserving translations between the underlying knowledge representation languages. For example, [KS10] translates from Isabelle/HOL to Isabelle/ZF. [KW10] translates from HOL Light to Coq, [OS06] to Isabelle/HOL, and [NSM01] to Nuprl. Older versions of Matita [ACTZ06] were able to read Coq compiled theory files. [CHK<sup>+</sup>11] build a library of translations between different logics.

However, most translations are not alignment-aware, i.e., it is not guaranteed that  $a_1$  will be translated to  $a_2$  even if the alignment is known. This is because  $a_1$  and  $a_2$  may be subtly incompatible so that a direct translation may even lead to inconsistency or ill-typed results. [OS06] was — to the authors knowledge — the first that could be parametrized by a set of alignments. The OpenTheory framework [Hur09] provides a number of higher-order logic concept alignments. In [KR16], the second and fourth author discuss the corpus integration problem and conclude that alignments are of utmost practical importance. Indeed, corpus integration can succeed with only alignment data even if no logic translation is possible. Conversely, logic translations contribute little to corpus integration without alignment data.

*Contribution and Overview* Our contribution is three-fold.

First, we present a phenomenological study of alignments between proof assistant corpora, as well as with mathematical corpora in Section 2. We show a number of imperfect alignments and show how this can be used to benefit knowledge transfer. Second, we propose a standard for storing and sharing alignments (see Section 4), we cover the central ingredient – global identifiers based on MMT URIs [RK13] – in Section 3. Every symbol is assigned a unique way to access it across corpora and across logics. The URIs are used both in the system and to give several examples from logical and computational corpora in Appendix A.

Most corpora are developed and maintained by separate, often disjoint communities. That makes it difficult for researchers to utilize alignments because no public resource exists for jointly building a large collection of alignments. Therefore we have started such a resource in form of a central repository as our third contribution — it is public, and we invite all researchers to contribute their

alignments. We seeded our repository with the alignment sets mentioned above. Moreover, we are hosting a web-server that allows for conveniently querying for all symbols aligned with a given symbol, currently including  $\approx 12000$  alignments between proof assistant libraries and 22 alignments to semi-formal corpora (transitive closure not included). We describe this standard and infrastructure in Section 4.

This paper is an extended version of a paper presented as work-in-progress at CICM 2016 [?]. We have made the classification of alignments and format for alignments more precise, we improved the alignment browser, and gathered many more alignments across different formal proof corpora.

## 2 Types of Alignments

Let us assume two corpora  $C_1, C_2$  with underlying foundational logics  $F_1, F_2$ . We examine examples for how two concepts  $a_i$  from  $C_i$  can be aligned in principle, in the sense of them representing the same abstract mathematical concept without there necessarily being a rigorous, formal translation between them.

The types of alignments in this section are purely phenomenological in nature and may or may not be covered by the more precise definitions in Section 4.

*Perfect Alignment* If  $a_1$  and  $a_2$  are logically equivalent (modulo a translation  $\varphi$  between  $F_1$  and  $F_2$  that is fixed in the context), we speak of a perfect alignment. More precisely, all formal properties (type, definition, axioms) of  $a_1$  carry over to  $a_2$  and vice versa. Typical examples are primitive types and their associated operations. Consider:

$$\text{Nat}_1 : \text{Type} \quad \text{Nat}_2 : \text{Type}$$

then translations between  $C_1$  and  $C_2$  can simply interchange  $a_1$  and  $a_2$ .

The above example is deceptively simple for two reasons. Firstly, it hides the problem that  $F_1$  and  $F_2$  do not necessarily share the symbol `Type`. Therefore, we need to assume that there are symbols `Type1` and `Type2`, which have been already aligned (perfectly). Such alignments are crucial for all fundamental constructors that occur in the types and characteristic theorems of the symbols we want to align such as `Type`, `→`, `bool`, `∧`, etc. These alignments can be handled with the same methodology as discussed here. Therefore, here and below, we assume we have such alignments and simply use the same fundamental constructors for  $F_1$  and  $F_2$ .

Secondly, it ignores that we usually only want (and can reasonably expect) certain formal properties to carry over, namely those in the *interface theory* in the sense of [KR16] - i.e. those properties that are still meaningful after abstracting away from the specific foundational logics  $F_i$ . For example, in Appendix A we give many perfect alignments between symbols that use different but interface-equivalent definitions.

*Alignment up to Argument Order* Two function symbols can be perfectly aligned except that their arguments must be reordered when translating.

The most common example is function composition, whose arguments may be given in application order ( $f \circ g$ ) or in diagram order ( $f;g$ ). Another example is given

$$\begin{aligned} \text{contains}_1 &: (T : \text{Type}) \rightarrow \text{SubSet } T \rightarrow T \rightarrow \text{bool} \\ \text{in}_2 &: (T : \text{Type}) \rightarrow T \rightarrow \text{SubSet } T \rightarrow \text{bool} \end{aligned}$$

Here the expressions  $\text{contains}_1(T, A, x)$  and  $\text{in}_2(T, x, A)$  are aligned.

*Alignment up to Determined Arguments* The perfect alignment of two function symbols may be broken because they have different types even though they agree in most of their properties. This often occurs when  $F_1$  uses a more fine-granular type system than  $F_2$ , which requires additional arguments.

Examples are untyped and typed (polymorphic, homogeneous) equality: The former is binary, while the latter is ternary

$$\begin{aligned} \text{eq}_1 &: \text{Set} \rightarrow \text{Set} \rightarrow \text{bool} \\ \text{eq}_2 &: (T : \text{Type}) \rightarrow T \rightarrow T \rightarrow \text{bool} \end{aligned}$$

The types can be aligned, if we apply  $\varphi(\text{Set})$  to  $\text{eq}_2$ . Similar examples arise between simply- and dependently-typed foundations, where symbols in the latter take additional arguments.

These additional arguments are uniquely determined by the values of the other arguments, and a translation from  $C_1$  to  $C_2$  can drop them, whereas the reverse translations must infer them – but  $F_1$  usually has functionality for that (e.g. the type parameter of polymorphic equality is usually uniquely determined).

The additional arguments can also be proofs, used for example to represent partial functions as total functions, such as a binary and a ternary division operator

$$\begin{aligned} \text{div}_1 &: \text{Real} \rightarrow \text{Real} \rightarrow \text{Real} \\ \text{div}_2 &: \text{Real} \rightarrow (d : \text{Real}) \rightarrow \vdash d \neq 0 \rightarrow \text{Real} \end{aligned}$$

Here inferring the third argument is undecidable in general, and it is unique only in the presence of proof irrelevance.

*Alignment up to Totality of Functions* The functions  $a_1$  and  $a_2$  can be aligned everywhere where both are defined. This often happens since it is often convenient to represent partial functions as total ones by assigning values to all arguments. The most common example is division.  $\text{div}_1$  might both have the type  $\text{Real} \rightarrow \text{Real} \rightarrow \text{Real}$  with  $x \text{div}_1 0$  undefined and  $x \text{div}_2 0 = 0$ .

Here a translation from  $C_1$  to  $C_2$  can always replace  $\text{div}_1$  with  $\text{div}_2$ . The reverse translation can usually replace  $\text{div}_2$  with  $\text{div}_1$  but not always. In translation-worthy data-expressions, it is typically sound; in formulas, it can easily be unsound because theorems about  $\text{div}_2$  might not require the restriction to non-zero denominators.

*Alignment for Certain Arguments* Two function symbols may be aligned only for certain arguments. This occurs if  $a_1$  has a smaller domain than  $a_2$ .

The most fundamental case is the function type constructor  $\rightarrow$  itself. For example,  $\rightarrow_1$  may be first-order in  $F_1$  and  $\rightarrow_2$  higher-order in  $F_2$ . Thus, a translation from  $C_1$  to  $C_2$  can replace  $\rightarrow_1$  with  $\rightarrow_2$ , whereas the reverse translation must be partial.

Another important class of examples is given by subtyping (or the lack thereof). For example, we could have

$$\begin{aligned} \text{plus}_1 &: \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat} \\ \text{plus}_2 &: \text{Real} \rightarrow \text{Real} \rightarrow \text{Real} \end{aligned}$$

*Alignment up to Associativity* An associative binary function (either logically associative or notationally right- or left-associative) can be defined as a flexary function, i.e., a function taking an arbitrarily long sequence of arguments. In this case, translations must fold or unfold the argument sequence. For example

$$\text{plus}_1 : \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat} \quad \text{plus}_2 : \text{List Nat} \rightarrow \text{Nat}.$$

All of the above types of alignments allow us to translate expressions between our corpora by modifying the lists of arguments the respective symbols are applied to, even if not always in a straight-forward way. The following types of alignments are more abstract, and any translation along them might be more dependent on the specifics of the symbols under consideration.

*Contextual alignments* Two symbols may be aligned only in certain contexts. For example, the complex numbers are represented as pairs of real numbers in some proof assistant libraries and as an inductive data type in others. Then only selected occurrences of pairs of real numbers can be aligned with the complex numbers.

*Alignment with a Set of Declarations* Here a single declaration in  $C_1$  is aligned with a set of declarations in  $C_2$ . An example is a conjunction  $a_1$  in  $C_1$  of axioms aligned with a set of single axioms in  $C_2$ . More generally, the conjunction of a set of  $C_1$ -statements may be equivalent to the conjunction of a set of  $C_2$ -statements.

Here translations are much more involved and may require aggregation or projection operators.

*Alignment between the Internal and External Perspective on Theories* When reasoning about complex objects in a proof assistant (such as algebraic structures, or types with comparison) it is convenient to express them as theories that combine the actual type with operations on it or even properties of such operations. The different proof assistants often have incompatible mechanisms of expressing such theories including type classes, records and functors, with the additional distinction whether they are first-class objects or not.

We define the crucial difference for alignments here only by example. We speak of the internal perspective if we use a theory like

$$\text{theory Magma}_1 = \{u_1 : \text{Type}, \circ_1 : u_1 \rightarrow u_1 \rightarrow u_1\}$$

and of the external perspective if we use operations like

$$\begin{aligned} \text{Magma}_2 &: \text{Type}, u_2 : \text{Magma}_2 \rightarrow \text{Type}, \\ \circ_2 &: (G : \text{Magma}) \rightarrow u_2 G \rightarrow u_2 G \rightarrow u_2 G \end{aligned}$$

Here we have a non-trivial, systematic translation from  $C_1$  to  $C_2$ . A reverse may also be possible, depending on the details of  $F_1$ .

*Corpus-Foundation Alignment* Orthogonal to all of the above, we have to consider alignments, where a symbol is primitive in one system but defined in another. More concretely,  $a_1$  can be built-into  $F_1$  whereas  $a_2$  is defined in  $F_2$ . This is common for corpora based on significantly different foundations, as each foundation is likely to select different primitives. Therefore, it mostly occurs for the most basic concepts. For example, the boolean connectives, integers and strings are defined in some systems but primitive in others, as in some foundations they may not be easy to define.

The corpus-foundation alignments can be reduced to previously considered cases if we follow the “foundations-as-theories” approach [KR16], where the foundations themselves are represented in an appropriate logical framework. Then  $a_1$  is simply an identifier in the corpus of foundations of the framework  $F_1$ .

*Opaque Alignments* The above alignments focused on logical corpora, partially because logical corpora allow for precise and mechanizable treatment of logical equivalence. Indeed, alignments from a logical into a computational or narrative corpus tend to be opaque: Whether and in what way the aligned symbols correspond to each other is not (or not easily) machine-understandable. For example, if  $a_2$  refers to a function in a programming language library, that functions specification may be implicit or given only informally. Even worse, if  $a_2$  is a wiki article, it may be subject to constant revision.

Nonetheless, such alignments are immensely useful in practice and should not be discarded. Therefore, we speak of opaque alignments if  $a_2$  refers to a symbol whose semantics is unclear to machines.

### 3 Global Identifiers

An essential requirement for relating logical corpora is standardizing the identifiers so that each identifier in the corpus can be uniquely referenced. It is desirable to use a uniform naming schema so that the syntax and semantics of identifiers can be understood and implemented as generically as possible. Therefore, we use MMT URIs [RK13], which have been specifically designed for that purpose.

### 3.1 General Structure

*Syntax* MMT URIs are triples of the form

NAMESPACE ? MODULE ? SYMBOL

The namespace part is a URI that serve as globally unique root identifiers of corpora, e.g. <http://mathhub.info/MyLogic/MyLibrary>. It is not necessary (although often useful) for namespaces to also be URLs, i.e., a reference to a physical location. But even if they are URLs, we do not specify what resource dereferencing should return. Note that because MMT URIs use ? as a separator, MODULE ? SYMBOL is the query part of the URI, which makes it easy to implement dereferencing in practice.<sup>5</sup>

The module and symbol parts of an MMT URI are logically meaningful names defined in the corpus: The module is the container (e.g., a signature, functor, theory, class, etc.) and the symbol is a name inside the module (of a type, constant, axiom, theorem etc.). Both module and symbol name may consist of multiple /-separated segments to allow for nested modules and qualified symbol names.

MMT URIs allow arbitrary Unicode characters. However, ? and /, which MMT URIs use as delimiters, as well as any character not legal in URIs must be escaped using the %-encoding. We refer to RFC 3986/7 for details.

### 3.2 Namespace Organization

MMT URIs standardize the syntax of the identifiers, but they still allow a lot of freedom how to assign URIs to the concepts in a specific corpus. This assignment is straightforward in principle — after all we only have to make sure that every concept has a unique URI. However, as we will see below, the structure of a corpus can pose some subtle issues that must be addressed carefully. Therefore, we quickly discuss commonly used corpus structures and how these can be used to form URIs systematically.

The common structuring feature of corpora is usually a directory tree. The leaves of this tree are files and contain modules. Moreover, each corpus usually has a certain root namespace. However, systems differ in how they subdivide a corpus into namespaces.

We distinguish the following cases:

- **flat** structure: All files share the same namespace regardless of their physical location in the directory tree. This naming schema is most well-known from SML. In this case, we can use the root namespace as the fixed namespace for all concepts in the corpus.
- **directory-based** structure: The namespace of a module is formed by concatenating the root namespace with the path to the directory containing it. There are two subcases regarding the treatment of the file name:

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<sup>5</sup> For simplicity in the remaining part of the paper we will not give complete HTTP links, but rather use single keyword abbreviations. Complete names of logics and modules are given in the online service.



- **files-as-modules:** Each file contains exactly one module, whose name is that of the file without the file name extension. The name of the module may be repeated *explicitly* in the file or may be left *implicit*. Files as explicitly named modules is most well-known as the convention of Java.
- **irrelevant file names:** The file name is irrelevant, i.e., the grouping of modules into files within the same directory is arbitrary. In particular, a file can contain multiple modules.
- **file-based structure:** The namespace of a module is formed by concatenating the root namespace of the corpus with the path to the file containing it.

### 3.3 URIs for Selected Proof Assistants

Using the principles defined above, we describe the MMT URI formation principles for some important proof assistants. In all cases, we also assign MMT URIs for the underlying foundations in order to refer to built-in concepts.

**PVS** [ORS92] uses directory-based namespaces with irrelevant file names. Within a PVS corpus, the top-level modules are theories and (co)datatype declarations. The only possible nesting between them is that theories may contain (co)datatype declarations. Consequently, the module names have at most two segments. If a module is a (co)datatype, its symbols are the constructors, testers, etc. These are not hierarchical. If a module is a theory, its symbols are all declarations declared in it. These are not hierarchical. However, if a symbol name  $N$  is declared multiple times in the same module (due to overloading), we use two-level names of the form  $N/i$  where  $i$  numbers all declarations of  $N$  in that module (starting from 1).

**Coq** [Coq15] uses directory-based namespaces with files as implicitly named modules. Coq modules can be nested. Besides the module name given implicitly by the file, Coq files can contain modules and module types. Symbols are all declarations inside a module. Their names can be hierarchic due to generative functor instantiation.

**Matita** uses the same URIs as Coq except for not allowing nested modules.

**Mizar** uses a flat namespace. The Mizar modules are the articles. The name of a module is the name of the article without the file name extension. There is no nesting of modules. The Mizar symbols are all the declarations inside an article. Their names are obtained through a heavily idiosyncratic naming schema that includes generating unique names by numbering the declarations of the same kind in each article. For example, the MMT URI of conjunction is <http://mizar.org/corpus?XB00LEAN0?K4>

**HOL Light** does not have an obvious MMT URI formation principle because it does not maintain all its identifiers itself — instead it relies on the OCaml toplevel to store the assigned values. There are three kinds of HOL Light symbols: types, constants, and theorems; only the former two are visible to the HOL Light kernel. For each type or constant, we use the name visible to the HOL Light

kernel. For each theorem, we use the OCaml binding name. OCaml toplevel symbols may be grouped into OCaml modules. This feature is seldom used, as it only affects theorem names: the kernel is also not aware of the OCaml module in which the definitions of constants or types are introduced.

This has the effect that different HOL Light files can be incompatible with each other. There are two reasons for this incompatibility: First, toplevel symbols may be overwritten by others, which means that the original ones are no longer accessible and a formalization might fail. This happens for example in case of the OCaml basic output function `open_in` which gets overwritten by a theorem with the same name. Second, the loading of a file may change the state of HOL Light kernel or packages to one that is no longer compatible with another file [Wie09]. For example the theories `complexnumbers.ml` and `complexes.ml` both introduce the type `complex` but with different definitions.

Thus, HOL Light’s names do not uniquely identify symbols. Therefore, we use directory-based namespaces with files-as-modules. For constants and types introduced by a module we add the prefixes `const/` and `type/` respectively. If a file contains OCaml modules, we use their names to form multi-segment module names. Accordingly, if symbols result from OCaml structures, we form multi-segment symbol names. This has the effect that HOL Light URIs are formed in exactly the same way as for Coq.

The theorem `well_defined_unordered_pair` from Flyspeck [H+15] is for example assigned the complete URI: `http://github.com/flyspeck/flyspeck?text_formalization/packing/marchal3?Marchal_cells_3.well_defined_unordered_pair`.

**HOL4** internal module names correspond to names of files, however the names of types and constants are not associated with the modules. Furthermore, the names of constants and types are separate. To avoid ambiguity we use the same module names as for HOL Light.

**Isabelle** is a logical framework: Its distribution includes a number of object logics, and each Isabelle theory uses an object logic (or declares a new one). Isabelle uses files as explicitly named modules. However, it disregards the directory structure: The system makes sure that two modules with same name cannot be loaded in the same session even if they are stored in different directories. But as different object logics and different developments often declare incompatible notions, we still use directory-based namespaces to make sure all theories have unique namespaces.

Isabelle allows several module mechanisms including locales and type classes [HW06]. Therefore, we form nested module names by concatenating theory and locale/type class names.

## 4 A Standard and Database for Alignments

Based on the observations of the previous sections, we now define a standard for alignments that covers the practically relevant examples and types of alignments

described in Section 2. We use the following formal grammar for collections of alignments:

```
Collection ::= (NSDef | Alignment | Comment)*
NSDef      ::= namespace String URI
Alignment  ::= URI URI (String = "String")*
Comment    ::= // String
```

Here NSDef defines abbreviations for CURIEs (as defined by the W3C), which allows shortening URIs with the same long namespaces. An alignment is just a pair of URIs with a list of key-value pairs, which allows adding author/source, certainty scores, translation instructions, etc.

We also standardize some special keys and possible values that are important for practical applications. As a guiding criterion for defining these keys, we use how and in which directions expressions with head symbols  $s_1$  or  $s_2$  can be translated.

The simplest case is the following:

**Definition 1.** A *simple alignment* between symbols  $s_1$  and  $s_2$  uses the key **direction** with the possible values **forward**, **backward**, and **both**. It induces the translation that replaces every occurrence of  $s_1$  with  $s_2$ , or of  $s_2$  with  $s_1$  according to the value of the key.

This subsumes perfect alignments (where the direction is **both**) and several unidirectional cases: alignment up to totality of functions or up to associativity, and alignment for certain arguments. The absence of this key indicates alignments where no translation is possible, in particular opaque alignments.

The following case covers alignments up to argument order or determined arguments:

**Definition 2.** An *argument alignment* uses the key **arguments** whose value  $A$  is of the form  $(i, j)^*$  where  $i$  and  $j$  are natural numbers.

It induces the translation of  $s_1(x_1, \dots, x_m)$  to  $s_2(y_1, \dots, y_n)$  where  $y_j$  is the recursive translation of  $x_i$  if  $(i, j)$  is among the pairs in  $A$  and inferred from the context if there is no  $i$  for which  $(i, j)$  is in  $A$ .

An other possible case is when two symbols are aligned in the same library. Although can be recovered by comparing their URIs, it is made explicit by the following tag.

**Definition 3.** A *reflexive alignment* uses the key **self** who has a unique value **true**.

When some of the alignments are automatically generated they often come with a measure of similarity that is inferred by a statistical algorithm.

**Definition 4.** A *probabilistic alignment* uses the key **similarity** whose value is a real number in  $[0;1]$ . This similarity score is most indicative when comparing alignments found in the same pair of corpora.

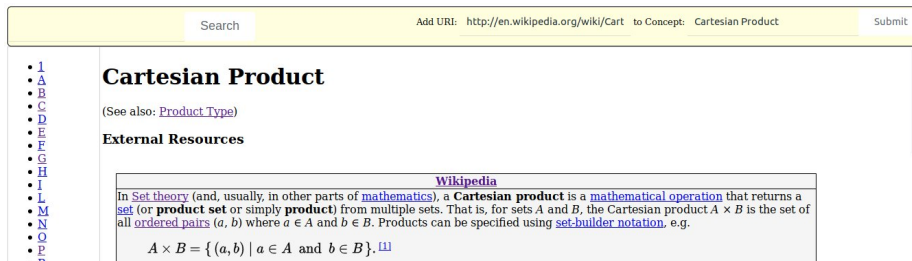


Fig. 1. The Alignment-based Dictionary — External Resources

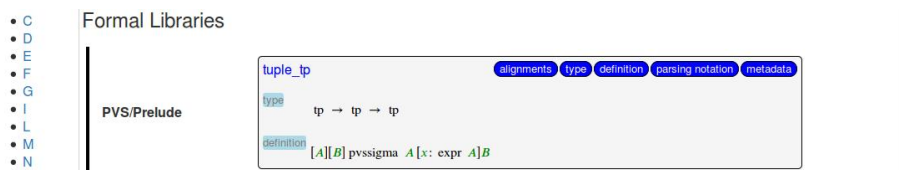


Fig. 2. The Alignment-based Dictionary — Formal Resources

*Example 1.* We obtain the following argument alignments for some of the examples from Section 2:

$$\begin{aligned} \text{Nat}_1 \text{ Nat}_2 \text{ direction} &= \text{"both"} \\ \text{eq}_1 \text{ eq}_2 \text{ arguments} &= \text{"(1, 2)(2, 3)"} \\ \text{contains}_1 \text{ in}_2 \text{ arguments} &= \text{"(1, 1)(2, 3)(3, 2)"} \end{aligned}$$

We have implemented alignments in the MMT system [Rab13]. Moreover, we have created a public repository<sup>6</sup> and seeded it with a number of alignments (currently  $\approx 12000$ ) including the ones mentioned in this paper, the README of this repository furthermore describes the syntax for alignments above as well as the URI schemata for several proof assistants. The MMT system can be used to parse and serve all these alignments, implement the transitive closure, and (if possible) translate expressions according to alignments. Available alignments are shown in the MMT browser.

As an example service, we have started building an alignment-based math dictionary collecting formal and informal resources<sup>7</sup>. For this we extend the above grammar by the following:

$$\text{ConceptAlignment} ::= \text{ | String | URI | }$$

This assigns a mathematical concept (identified by the string) to a formal or informal resource (identified by the URI). The dictionary uses the above public repository, so additions to the latter will be added to the former. We have imported the  $\approx 50,000$  conceptual alignments from [GC14], although we chose not

<sup>6</sup> <https://gl.mathhub.info/alignments/Public>

<sup>7</sup> <https://mathhub.info/mh/mmt/:concepts?page=About>

From	To	Arguments	Invertible	Properties
<a href="http://github.com/jrh13/hol-light?pair?prod">http://github.com/jrh13/hol-light?pair?prod</a>	<a href="http://isabelle.in.tum.de/?ZF/ZF?cart_prod">http://isabelle.in.tum.de/?ZF/ZF?cart_prod</a>	Simple	yes	
<a href="http://github.com/jrh13/hol-light?pair?prod">http://github.com/jrh13/hol-light?pair?prod</a>	<a href="http://haskell.org/?core?">http://haskell.org/?core?</a>	Simple	yes	

**Fig. 3.** The Alignment-based Dictionary — Available Alignments

**Add Formal Alignment**

From:

To:

invertible

Attributes:

**Fig. 4.** The Alignment based Dictionary - Field for Adding Alignments

to add them to the dictionary yet, since the majority of them are (due to the different intention behind the conceptual mappings in Nnexus) dubious, highly contextual or otherwise undesirable.

Each entry in the dictionary shows snippets from select online resources if available (Figure 1), lists the associated formal URIs (Figure 2) and available alignments between them (Figure 3), and allows for conveniently adding new individual URIs to concept entries as well as new formal alignments (Figures 1 and 4 respectively).<sup>8</sup>

## 5 Conclusion

We have motivated and proposed a standard for aligning mathematical corpora. We presented examples of alignments between logical, computational, and semi-formal corpora and classified the different examples. The presented MMT-based system for sharing such alignments has been preloaded with thousands of alignments between the various kinds of concepts, including proof assistant types and constants, programming language (including computer algebra) algorithms, and semi-formal descriptions.

Future work includes extending the automated discovery of alignments [GK14] to foundations other than HOL. Our main focus was on the logical corpora, but we expect to be able to find much more opaque alignments. We invite the community to use the service. Finally we plan to integrate the use of the alignments database in the various mathematical knowledge management systems. In particular, we want to relate our methods and the alignment database to the tool

<sup>8</sup> A well-seeded example are the entries for cartesian products at <https://mathhub.info/mh/mmt/:concepts?con=Cartesian%20Product> and the connected entry for product types

chain for ontology alignment, e.g. the Alignment API [DESTdS11] or the work on logic-independent formalization of alignments in DOL [CMK14].

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## Appendix A Examples of Alignments

Using the MMT URIs defined in Section 3 with the abbreviated proof assistant names we give a presentation of alignments across proof assistants for two representative concepts. We also include some alignments to programming languages, which are relevant for code generation. Thousands of other alignments, both perfect and imperfect, can be explored in mathhub.

*Cartesian Product* In constructive type theory, there are two common ways of expressing the non-dependent Cartesian product. First, if the foundation has inductive types such as the Calculus of Inductive Constructions, it can be an inductive type with one binary constructor. This is the case for:

- Coq ? Init/Datatypes ? prod.ind
- Matita ? datatypes/constructors ? Prod.ind

Second, if the foundation defines a dependent sum type, it is possible to express the Cartesian product as its non-dependent special case:

- Isabelle?CTT/CTT?times

In higher-order logic, the only way to introduce types is by using the `typedef` construction, which constructs a new type that is isomorphic to a certain subtype of an existing type. In particular, most HOL-based systems introduce the Cartesian product  $A \times B$  by using a unary predicate on  $A \rightarrow B \rightarrow \text{bool}$ :

- HOLLight ? pair/type ? prod
- HOL4 ? pair/type ? prod
- Isabelle ? HOL/Product ? prod

In set theory, it is also possible to restrict dependent sum types to obtain the Cartesian product. This approach is used in Isabelle/ZF:

- Isabelle ? ZF/ZF ? cart\_prod

In Mizar the Cartesian product is defined as functor in first-order logic. The definition involves discharging the well-definedness condition. We defined functor is:

- Mizar ? ZFMISC.1 ? K2

In PVS, the product type constructor is part of the system foundation:

- PVS ? foundation.PVS ? tuple\_tp

Cartesian products appear also in most programming languages and the code generators of various proof assistants do use a number of these:

- OCaml ? core ? \*
- Haskell ? core ? ,
- Scala ? core ? ,
- CPP ? std ? pair

Informal sources that can be aligned are e.g.:

- [https://en.wikipedia.org/wiki/Cartesian\\_product](https://en.wikipedia.org/wiki/Cartesian_product)
- [https://en.wikipedia.org/wiki/Product\\_type](https://en.wikipedia.org/wiki/Product_type)
- <http://mathworld.wolfram.com/CartesianProduct.html>

*Concatenation of Lists* In constructive type theory (e.g. for Matita, Coq), the append operation on lists can be defined as a fixed point. In higher-order logic, append for polymorphic lists can be defined by primitive recursion, as done by HOL Light and HOL4. Isabelle/HOL slightly differs from these two because it uses lists that were built with the co-datatype package [B<sup>+</sup>14]. In set theory, PVS and Isabelle/ZF also use primitive recursion for monomorphic lists. In Mizar, lists are represented by finite sequences, which are functions from a finite subset of natural numbers (one-based



FINSEQ and zero-based XFINSEQ) with append provided. Concatenation of lists is also common in programming languages.

- Coq ? Init/Datatypes ? app
- HOLLight ? lists/const ? APPEND
- HOL4 ? list/const ? APPEND
- Isabelle ? HOL/List ? append
- PVS?Prelude.list\_props?append
- Isabelle ? ZF/List\_ZF ? app
- Mizar ? ORDINAL4 / K1
- OCaml ? core ? @
- Haskell ? core ? ++
- Scala ? core ? ++