



Number of Variables for Graph Differentiation and the Resolution of Graph Isomorphism Formulas



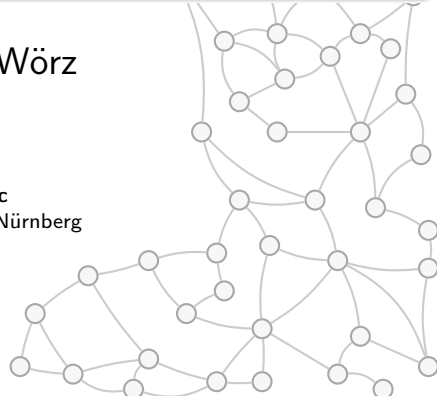
Jacobo Torán & Florian Wörz

Universität Ulm

GI Meeting Deduction and Logic

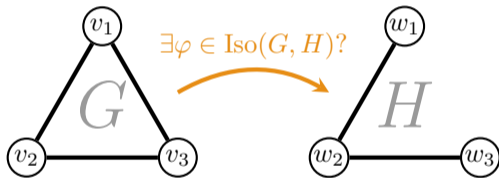
Friedrich-Alexander-Universität Erlangen-Nürnberg

April 8, 2022



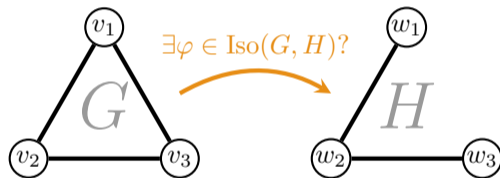
Topic of this Talk: Graph Isomorphism Formulas

Take the Graph Isomorphism Problem...



Topic of this Talk: Graph Isomorphism Formulas

Take the Graph Isomorphism Problem...



$x_{i,j} = 1 \iff v_i$ is mapped to w_j

... and encode it as the formula $\text{ISO}(G, H)$:

- **Type 1 clauses:** consider all vertices

$$\forall i \in [n] : (x_{i,1} \vee x_{i,2} \vee \dots \vee x_{i,n})$$

$$\forall j \in [n] : (x_{1,j} \vee x_{2,j} \vee \dots \vee x_{n,j})$$

- **Type 2 clauses:** function + injective

$$\forall i, j, k \in [n] \text{ with } j \neq k : (\overline{x_{i,j}} \vee \overline{x_{i,k}})$$

$$\forall i, j, k \in [n] \text{ with } i \neq j : (\overline{x_{i,k}} \vee \overline{x_{j,k}})$$

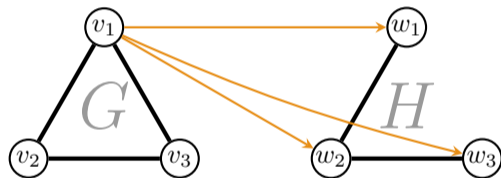
- **Type 3 clauses:** adjacency relation

$\forall i < j$ and $k \neq \ell$ with

$$\{v_i, v_j\} \in E_G \Leftrightarrow \{w_k, w_\ell\} \notin E_H : (\overline{x_{i,k}} \vee \overline{x_{j,\ell}})$$

Topic of this Talk: Graph Isomorphism Formulas

Take the Graph Isomorphism Problem...



$x_{i,j} = 1 \iff v_i$ is mapped to w_j

... and encode it as the formula $\text{ISO}(G, H)$:

- **Type 1 clauses:** consider all vertices

$$\forall i \in [n] : (x_{i,1} \vee x_{i,2} \vee \dots \vee x_{i,n})$$

$$\forall j \in [n] : (x_{1,j} \vee x_{2,j} \vee \dots \vee x_{n,j})$$

- **Type 2 clauses:** function + injective

$$\forall i, j, k \in [n] \text{ with } j \neq k : (\overline{x_{i,j}} \vee \overline{x_{i,k}})$$

$$\forall i, j, k \in [n] \text{ with } i \neq j : (\overline{x_{i,k}} \vee \overline{x_{j,k}})$$

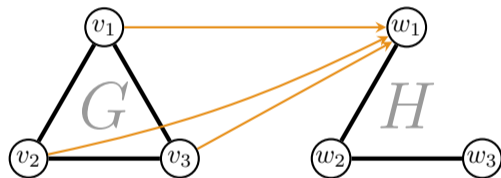
- **Type 3 clauses:** adjacency relation

$\forall i < j$ and $k \neq \ell$ with

$$\{v_i, v_j\} \in E_G \Leftrightarrow \{w_k, w_\ell\} \notin E_H : (\overline{x_{i,k}} \vee \overline{x_{j,\ell}})$$

Topic of this Talk: Graph Isomorphism Formulas

Take the Graph Isomorphism Problem...



$x_{i,j} = 1 \iff v_i$ is mapped to w_j

... and encode it as the formula $\text{ISO}(G, H)$:

- **Type 1 clauses:** consider all vertices

$$\forall i \in [n] : (x_{i,1} \vee x_{i,2} \vee \dots \vee x_{i,n})$$

$$\forall j \in [n] : (x_{1,j} \vee x_{2,j} \vee \dots \vee x_{n,j})$$

- **Type 2 clauses:** function + injective

$$\forall i, j, k \in [n] \text{ with } j \neq k : (\overline{x_{i,j}} \vee \overline{x_{i,k}})$$

$$\forall i, j, k \in [n] \text{ with } i \neq j : (\overline{x_{i,k}} \vee \overline{x_{j,k}})$$

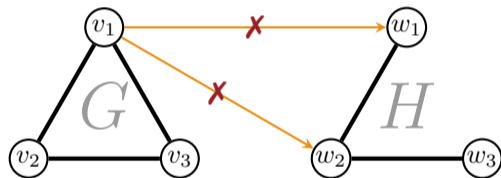
- **Type 3 clauses:** adjacency relation

$\forall i < j$ and $k \neq \ell$ with

$$\{v_i, v_j\} \in E_G \Leftrightarrow \{w_k, w_\ell\} \notin E_H : (\overline{x_{i,k}} \vee \overline{x_{j,\ell}})$$

Topic of this Talk: Graph Isomorphism Formulas

Take the Graph Isomorphism Problem...



$x_{i,j} = 1 \iff v_i$ is mapped to w_j

... and encode it as the formula $\text{ISO}(G, H)$:

- **Type 1 clauses:** consider all vertices

$$\forall i \in [n] : (x_{i,1} \vee x_{i,2} \vee \dots \vee x_{i,n})$$

$$\forall j \in [n] : (x_{1,j} \vee x_{2,j} \vee \dots \vee x_{n,j})$$

- **Type 2 clauses:** function + injective

$$\forall i, j, k \in [n] \text{ with } j \neq k : (\overline{x_{i,j}} \vee \overline{x_{i,k}})$$

$$\forall i, j, k \in [n] \text{ with } i \neq j : (\overline{x_{i,k}} \vee \overline{x_{j,k}})$$

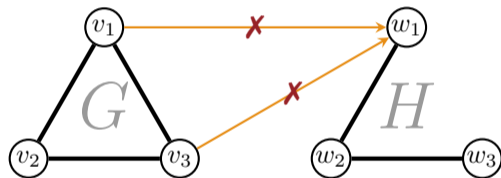
- **Type 3 clauses:** adjacency relation

$\forall i < j$ and $k \neq \ell$ with

$$\{v_i, v_j\} \in E_G \Leftrightarrow \{w_k, w_\ell\} \notin E_H : (\overline{x_{i,k}} \vee \overline{x_{j,\ell}})$$

Topic of this Talk: Graph Isomorphism Formulas

Take the Graph Isomorphism Problem...



$x_{i,j} = 1 \iff v_i$ is mapped to w_j

... and encode it as the formula $\text{ISO}(G, H)$:

- **Type 1 clauses:** consider all vertices

$$\forall i \in [n] : (x_{i,1} \vee x_{i,2} \vee \dots \vee x_{i,n})$$

$$\forall j \in [n] : (x_{1,j} \vee x_{2,j} \vee \dots \vee x_{n,j})$$

- **Type 2 clauses:** function + injective

$$\forall i, j, k \in [n] \text{ with } j \neq k : (\overline{x_{i,j}} \vee \overline{x_{i,k}})$$

$$\forall i, j, k \in [n] \text{ with } i \neq j : (\overline{x_{i,k}} \vee \overline{x_{j,k}})$$

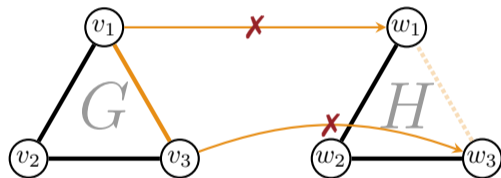
- **Type 3 clauses:** adjacency relation

$\forall i < j$ and $k \neq \ell$ with

$$\{v_i, v_j\} \in E_G \Leftrightarrow \{w_k, w_\ell\} \notin E_H : (\overline{x_{i,k}} \vee \overline{x_{j,\ell}})$$

Topic of this Talk: Graph Isomorphism Formulas

Take the Graph Isomorphism Problem...



$$x_{i,j} = 1 \iff v_i \text{ is mapped to } w_j$$

... and encode it as the formula $\text{ISO}(G, H)$:

- **Type 1 clauses:** consider all vertices

$$\forall i \in [n] : (x_{i,1} \vee x_{i,2} \vee \dots \vee x_{i,n})$$

$$\forall j \in [n] : (x_{1,j} \vee x_{2,j} \vee \dots \vee x_{n,j})$$

- **Type 2 clauses:** function + injective

$$\forall i, j, k \in [n] \text{ with } j \neq k : (\overline{x_{i,j}} \vee \overline{x_{i,k}})$$

$$\forall i, j, k \in [n] \text{ with } i \neq j : (\overline{x_{i,k}} \vee \overline{x_{j,k}})$$

- **Type 3 clauses:** adjacency relation

$\forall i < j$ and $k \neq \ell$ with

$$\{v_i, v_j\} \in E_G \Leftrightarrow \{w_k, w_\ell\} \notin E_H : (\overline{x_{i,k}} \vee \overline{x_{j,\ell}})$$

World 1:
The Resolution Proof System

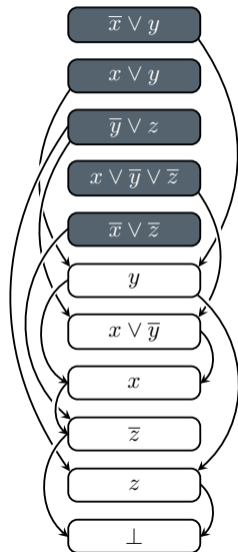
The Proof System Resolution

Resolution Rule:

$$\frac{A \vee x \quad B \vee \bar{x}}{A \vee B}$$

Distinction by Cases: [Galesi & Thapen]

$$\frac{A_1 \vee \bar{x}_1 \quad \dots \quad A_m \vee \bar{x}_m}{B \vee A_1 \vee \dots \vee A_m} \quad \text{if } (B \vee x_1 \vee \dots \vee x_m) \in F$$



Complexity Measures for Resolution

Size

clauses

Width

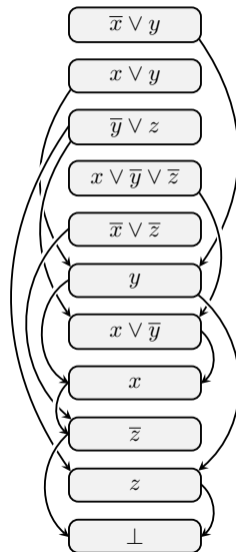
literals in largest clause

Narrow Width

exclude all axioms

Space

max # clauses in memory



Complexity Measures for Resolution

Size

clauses (here: 11)

Width

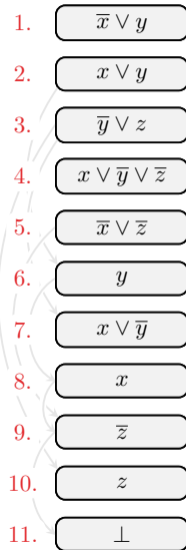
literals in largest clause

Narrow Width

exclude all axioms

Space

max # clauses in memory



Complexity Measures for Resolution

Size

clauses (here: 11)

Width

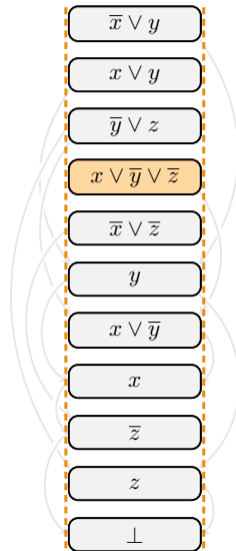
literals in largest clause (here: 3)

Narrow Width

exclude all axioms

Space

max # clauses in memory



Complexity Measures for Resolution

Size

clauses (here: 11)

Width

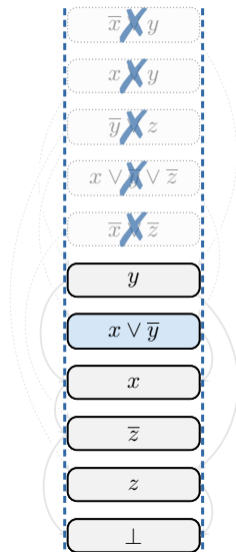
literals in largest clause (here: 3)

Narrow Width

exclude all axioms (here: 2)

Space

max # clauses in memory



Complexity Measures for Resolution

Size

clauses (here: 11)

Width

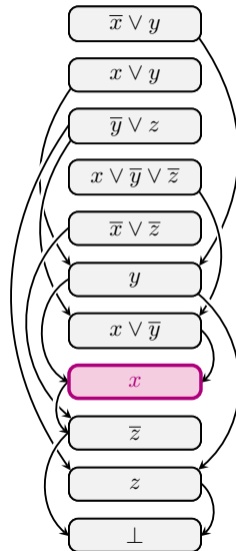
literals in largest clause (here: 3)

Narrow Width

exclude all axioms (here: 2)

Space

max # clauses in memory



Complexity Measures for Resolution

Size

clauses (here: 11)

Width

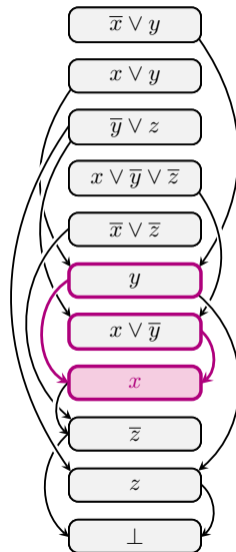
literals in largest clause (here: 3)

Narrow Width

exclude all axioms (here: 2)

Space

max # clauses in memory



Complexity Measures for Resolution

Size

clauses (here: 11)

Width

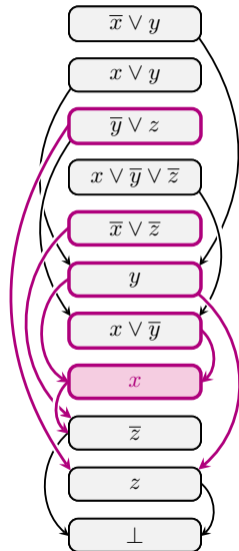
literals in largest clause (here: 3)

Narrow Width

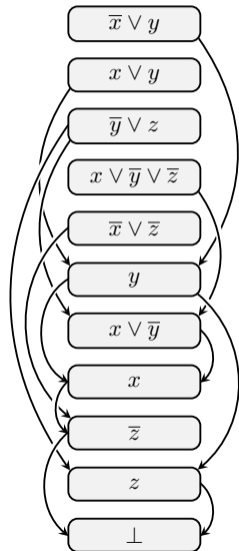
exclude all axioms (here: 2)

Space

max # clauses in memory (here: 5 at time 8)



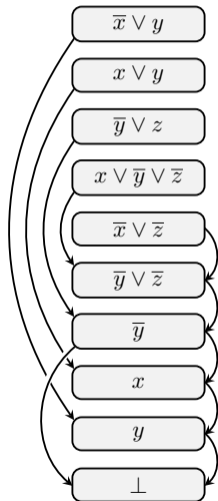
Complexity Measures for Resolution—What we really care about



For each complexity measure \mathcal{C} :

Take minimum over all
refutations π

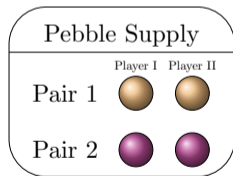
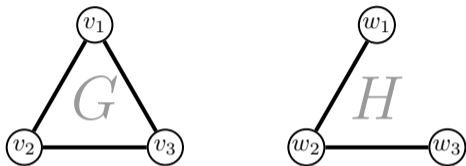
$$\mathcal{C}(F \vdash \perp) := \min_{\pi: F \vdash \perp} \mathcal{C}(\pi)$$



World 2:
Descriptive Complexity / First-order logic

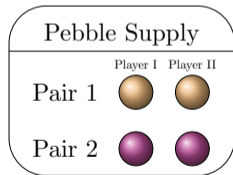
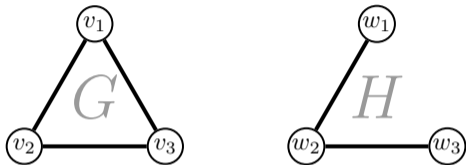
Immerman's k -pebble game: Player I wants to show $G \not\cong H$

- Player I and Player II have k pebble pairs



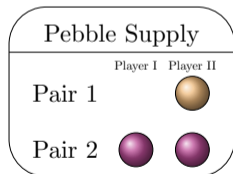
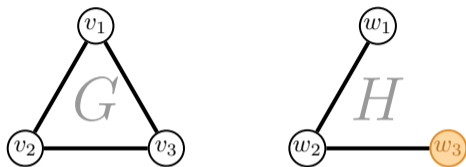
Immerman's k -pebble game: Player I wants to show $G \not\cong H$

- Player I and Player II have k pebble pairs
- In each round:
 - **Player I chooses:**
 - put a pebble pair back into the box, OR
 - place a new pebble of a pair on any graph



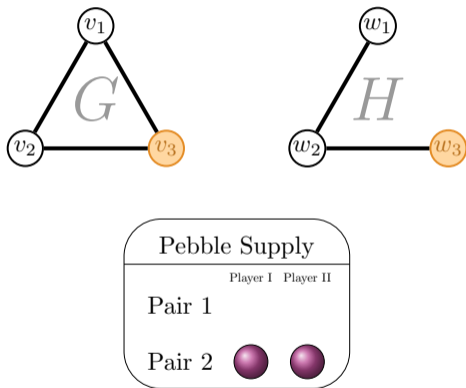
Immerman's k -pebble game: Player I wants to show $G \not\cong H$

- Player I and Player II have k pebble pairs
- In each round:
 - **Player I chooses:**
 - put a pebble pair back into the box, OR
 - place a new pebble of a pair on any graph



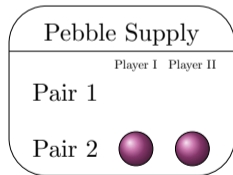
Immerman's k -pebble game: Player I wants to show $G \not\cong H$

- Player I and Player II have k pebble pairs
- In each round:
 - **Player I chooses:**
 - put a pebble pair back into the box, OR
 - place a new pebble of a pair on any graph
 - **Player II simply reacts.**



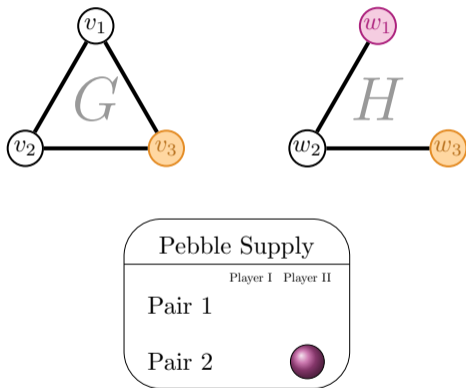
Immerman's k -pebble game: Player I wants to show $G \not\cong H$

- Player I and Player II have k pebble pairs
- In each round:
 - **Player I chooses:**
 - put a pebble pair back into the box, OR
 - place a new pebble of a pair on any graph
 - **Player II simply reacts.**
- Player II survives if pebbled subgraphs are isomorphic



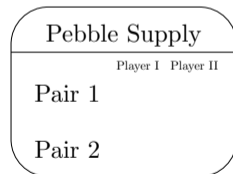
Immerman's k -pebble game: Player I wants to show $G \not\cong H$

- Player I and Player II have k pebble pairs
- In each round:
 - **Player I chooses:**
 - put a pebble pair back into the box, OR
 - place a new pebble of a pair on any graph
 - **Player II simply reacts.**
- Player II survives if pebbled subgraphs are isomorphic



Immerman's k -pebble game: Player I wants to show $G \not\cong H$

- Player I and Player II have k pebble pairs
- In each round:
 - **Player I chooses:**
 - put a pebble pair back into the box, OR
 - place a new pebble of a pair on any graph
 - **Player II simply reacts.**
- Player II survives if pebbled subgraphs are isomorphic **✗ Player I won!**



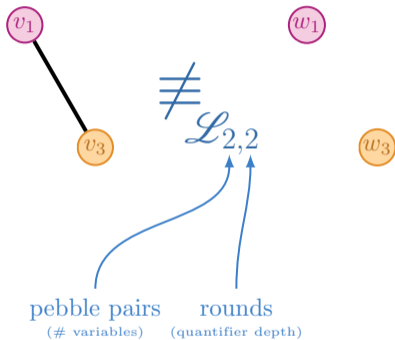
Immerman's k -pebble game: Player I wants to show $G \not\cong H$

- Player I and Player II have k pebble pairs
- In each round:
 - **Player I chooses:**
 - put a pebble pair back into the box, OR
 - place a new pebble of a pair on any graph
 - **Player II simply reacts.**
- Player II survives if pebbled subgraphs are isomorphic **✗ Player I won!**



Immerman's k -pebble game: Player I wants to show $G \not\cong H$

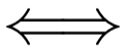
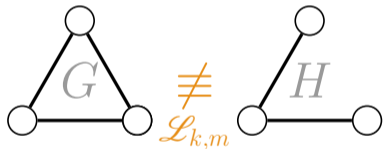
- Player I and Player II have k pebble pairs
- In each round:
 - **Player I chooses:**
 - put a pebble pair back into the box, OR
 - place a new pebble of a pair on any graph
 - **Player II simply reacts.**
- Player II survives if pebbled subgraphs are isomorphic **✗ Player I won!**



Our Main Result:
Combining both worlds

Main Result: Connection between FO and PC

Immerman's Pebble Game
on G and H



Narrow Width Refutation
of $\text{ISO}(G, H)$



$$\begin{aligned} \text{N-Width}(\pi) &\leq k - 1 \\ \text{PosDepth}(\pi) &\leq m \end{aligned}$$

Implications

For every pair of non-isomorphic graphs (G, H) with n vertices each and for every $k \in \mathbb{N}$:

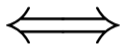
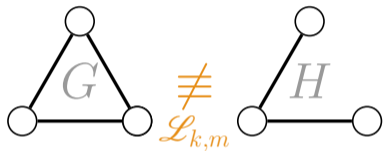
$$1 \quad G \not\equiv_{\mathcal{L}_k} H \implies \text{Size}(\text{ISO}(G, H) \vdash \perp) \leq n^{O(k)}$$

$$2 \quad G \equiv_{\mathcal{L}_k} H \implies \begin{cases} \text{Tree-Size}(\text{ISO}(G, H) \vdash \perp) \geq 2^k \\ \text{Space}(\text{ISO}(G, H) \vdash \perp) \geq k + 1 \end{cases}$$

$$3 \quad (G, \lambda) \equiv_{\mathcal{L}_k} (H, \mu) \implies \text{Size}(\text{ISO}(G, H) \vdash \perp) \geq \exp\left(\Omega\left(\frac{k^2}{\text{sum of color class sizes}}\right)\right)$$

Proof Idea: Use k -witnessing game

Immerman's Pebble Game
on G and H



Narrow Width Refutation
of $\text{ISO}(G, H)$



$\text{N-Width}(\pi) \leq k - 1$
 $\text{PosDepth}(\pi) \leq m$



k -witnessing game

Spoiler wins on $\text{ISO}(G, H)$

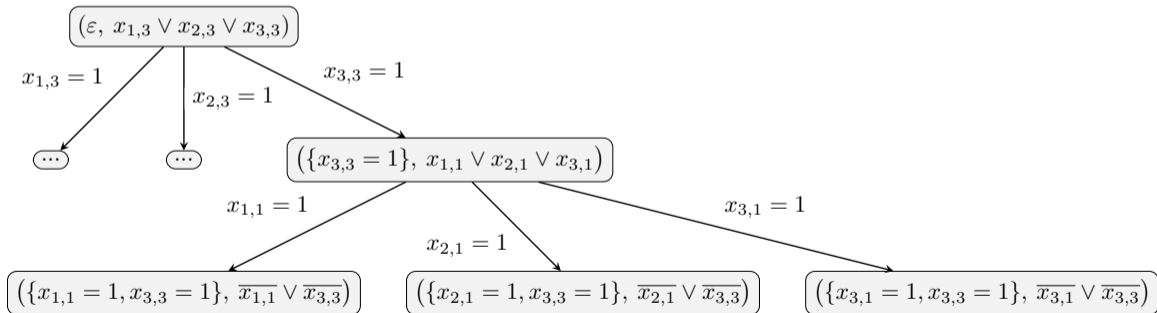
Spoiler vs. Duplicator: Spoiler Wants to Prove Unsatisfiability

They compete in the k -witnessing game on the formula $\text{ISO}(G, H)$

- Game state is a partial assignment, initially $\alpha_0 = \varepsilon$
- In each round i
 - Spoiler:** Chooses a subset $\alpha' \subseteq \alpha_{i-1}$ of size at most $k - 1$
Chooses a Type 1 clause C in $\text{ISO}(G, H)$
 - Duplicator:** Extends $\alpha_i := \alpha' \cup \{\ell = 1\}$ for some literal $\ell \in C$
- Game ends when Duplicator cannot extend such that
 - α_i satisfies C and
 - does not falsify any other clause in $\text{ISO}(G, H)$

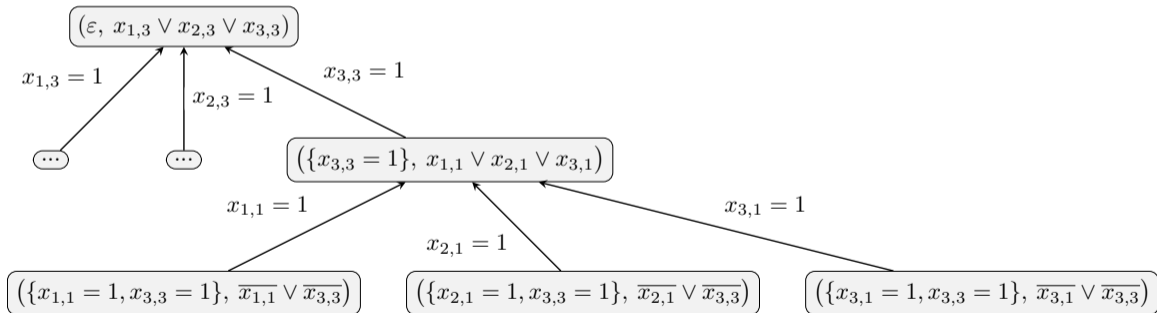
Proof: $G \not\equiv_{\mathcal{L}_k} H \implies \text{N-Width}(\text{ISO}(G, H) \vdash \perp) \leq k - 1$

Convert Strategy Graph of Spoiler into Narrow Width Refutation



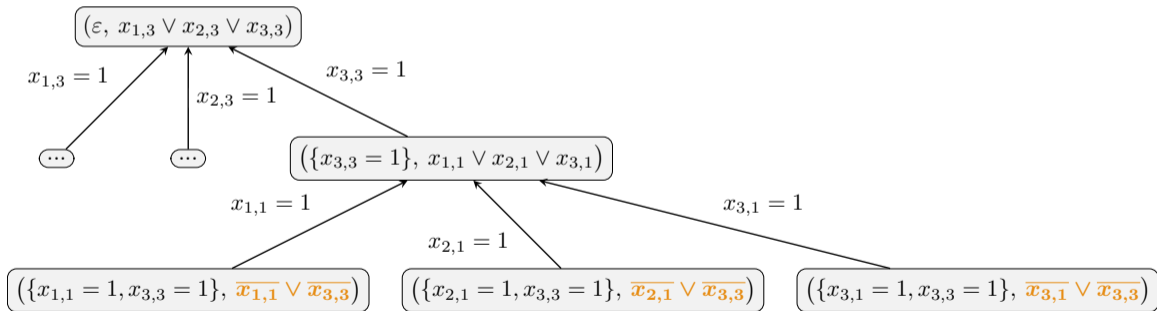
Proof: $G \not\equiv_{\mathcal{L}_k} H \implies \text{N-Width}(\text{ISO}(G, H) \vdash \perp) \leq k - 1$

Convert Strategy Graph of Spoiler into Narrow Width Refutation



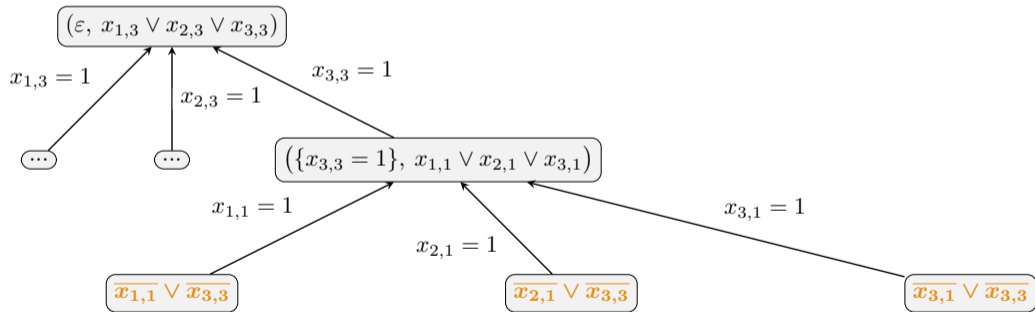
Proof: $G \not\equiv_{\mathcal{L}_k} H \implies \text{N-Width}(\text{ISO}(G, H) \vdash \perp) \leq k - 1$

Convert Strategy Graph of Spoiler into Narrow Width Refutation



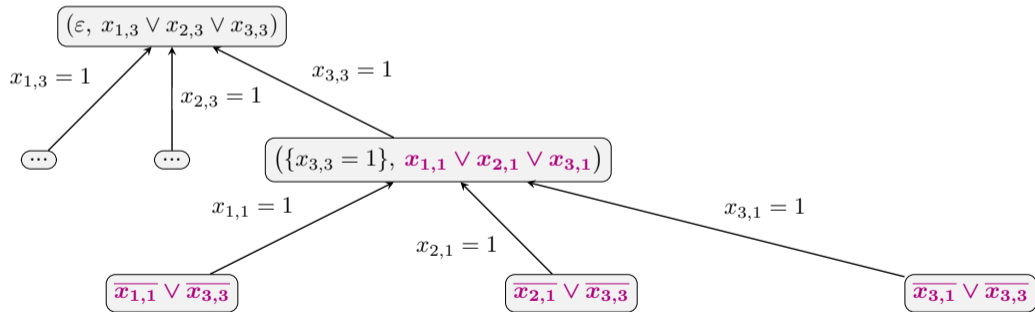
Proof: $G \not\equiv_{\mathcal{L}_k} H \implies \text{N-Width}(\text{ISO}(G, H) \vdash \perp) \leq k - 1$

Convert Strategy Graph of Spoiler into Narrow Width Refutation



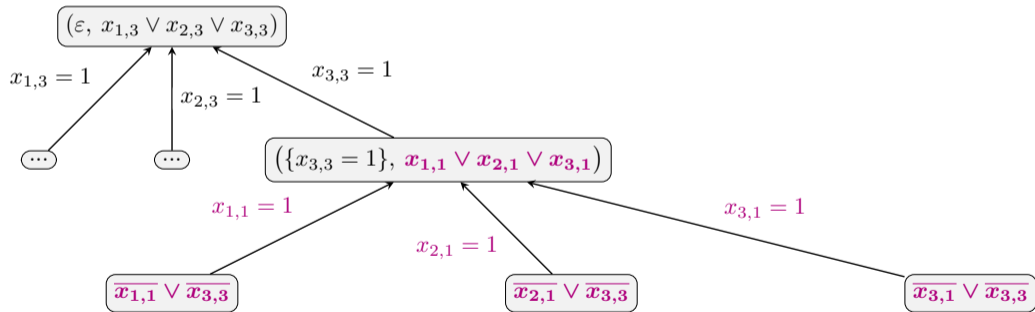
Proof: $G \not\equiv_{\mathcal{L}_k} H \implies \text{N-Width}(\text{ISO}(G, H) \vdash \perp) \leq k - 1$

Convert Strategy Graph of Spoiler into Narrow Width Refutation



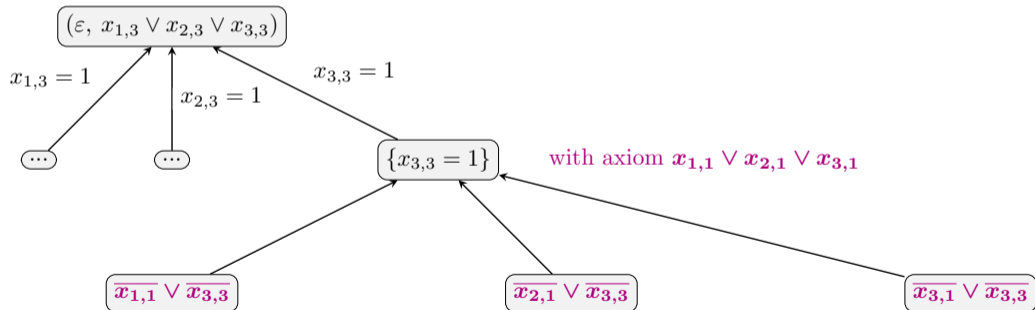
Proof: $G \not\equiv_{\mathcal{L}_k} H \implies \text{N-Width}(\text{ISO}(G, H) \vdash \perp) \leq k - 1$

Convert Strategy Graph of Spoiler into Narrow Width Refutation



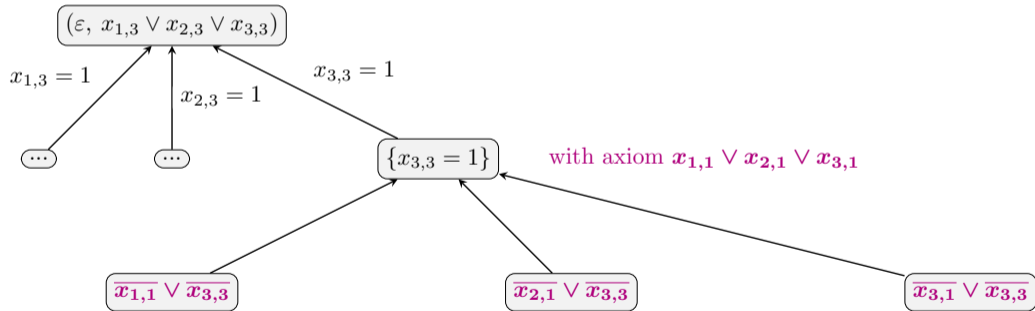
Proof: $G \not\equiv_{\mathcal{L}_k} H \implies \text{N-Width}(\text{ISO}(G, H) \vdash \perp) \leq k - 1$

Convert Strategy Graph of Spoiler into Narrow Width Refutation



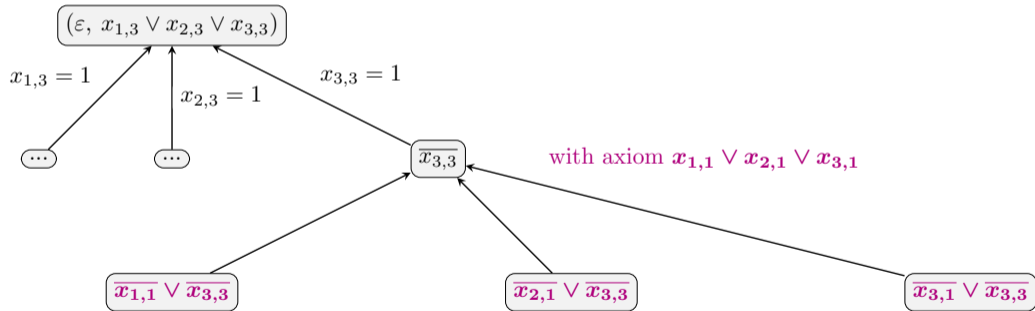
Proof: $G \not\equiv_{\mathcal{L}_k} H \implies \text{N-Width}(\text{ISO}(G, H) \vdash \perp) \leq k - 1$

Convert Strategy Graph of Spoiler into Narrow Width Refutation:
 $(\alpha, C) \rightsquigarrow C_\alpha$ (set of literals falsified by α)



Proof: $G \not\equiv_{\mathcal{L}_k} H \implies \text{N-Width}(\text{ISO}(G, H) \vdash \perp) \leq k - 1$

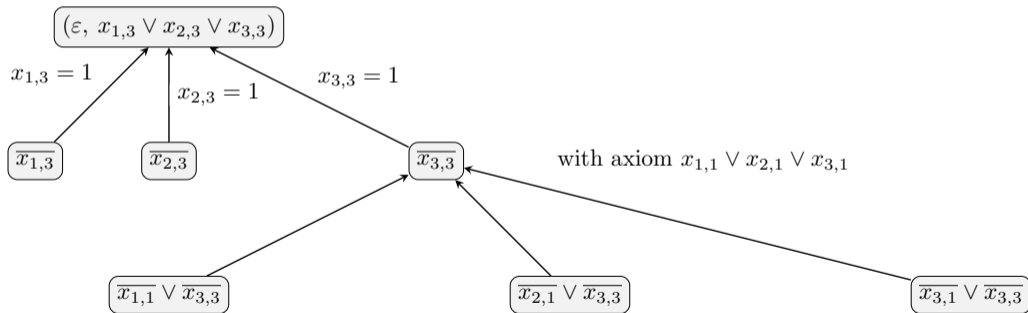
Convert Strategy Graph of Spoiler into Narrow Width Refutation:
 $(\alpha, C) \rightsquigarrow C_\alpha$ (set of literals falsified by α)



Proof: $G \not\equiv_{\mathcal{L}_k} H \implies \text{N-Width}(\text{ISO}(G, H) \vdash \perp) \leq k - 1$

Convert Strategy Graph of Spoiler into Narrow Width Refutation:

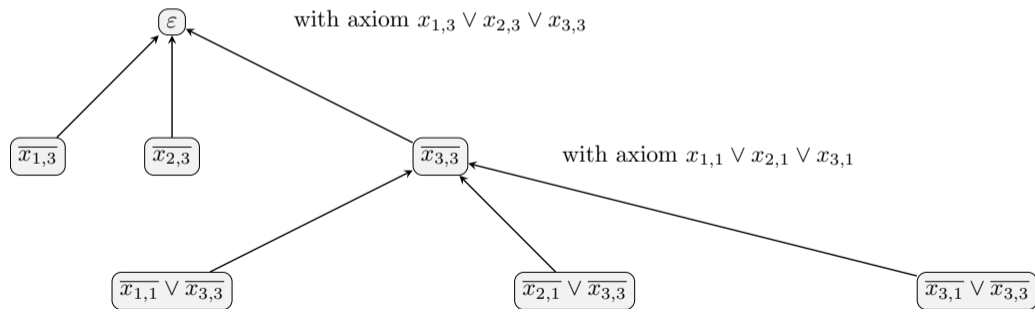
$(\alpha, C) \rightsquigarrow C_\alpha$ (set of literals falsified by α)



Proof: $G \not\equiv_{\mathcal{L}_k} H \implies \text{N-Width}(\text{ISO}(G, H) \vdash \perp) \leq k - 1$

Convert Strategy Graph of Spoiler into Narrow Width Refutation:

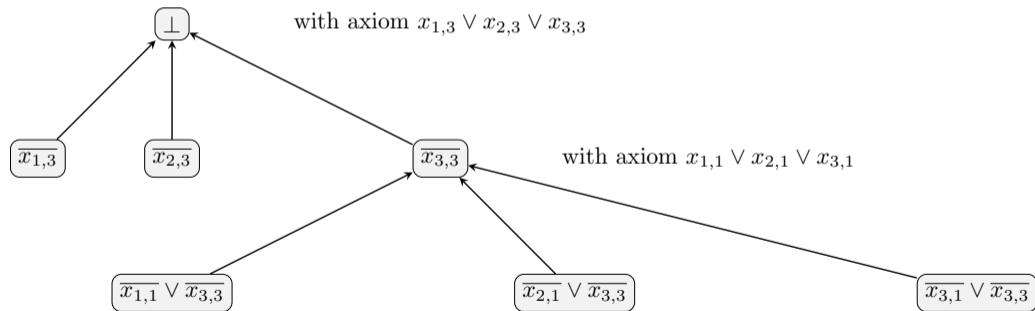
$(\alpha, C) \rightsquigarrow C_\alpha$ (set of literals falsified by α)



Proof: $G \not\equiv_{\mathcal{L}_k} H \implies \text{N-Width}(\text{ISO}(G, H) \vdash \perp) \leq k - 1$

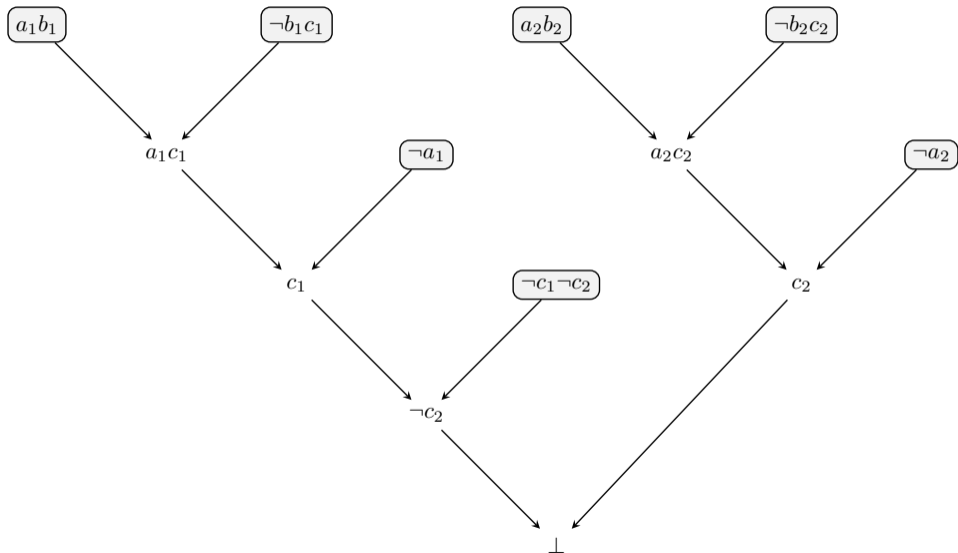
Convert Strategy Graph of Spoiler into Narrow Width Refutation:

$(\alpha, C) \rightsquigarrow C_\alpha$ (set of literals falsified by α)

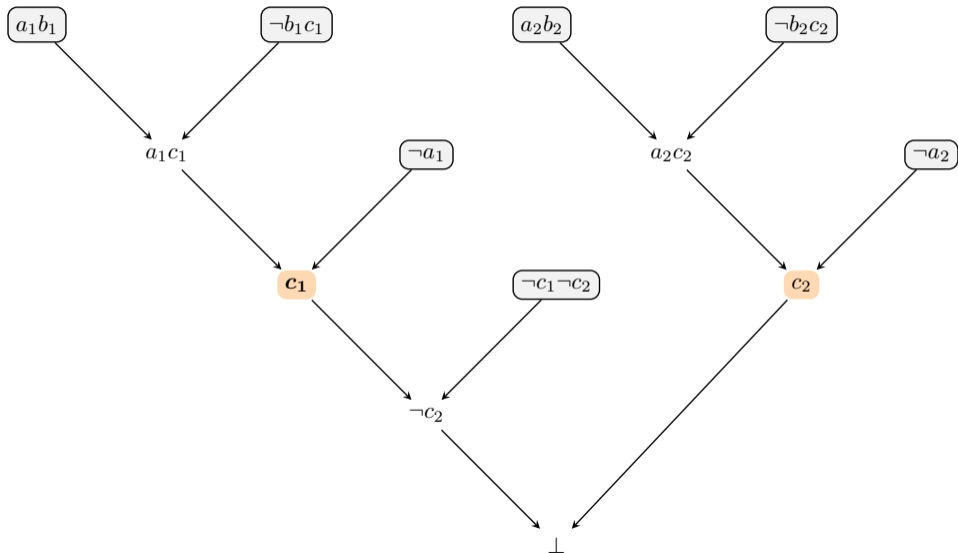


Symmetric Resolution
An Exponential GI Lower Bound for SRC-1

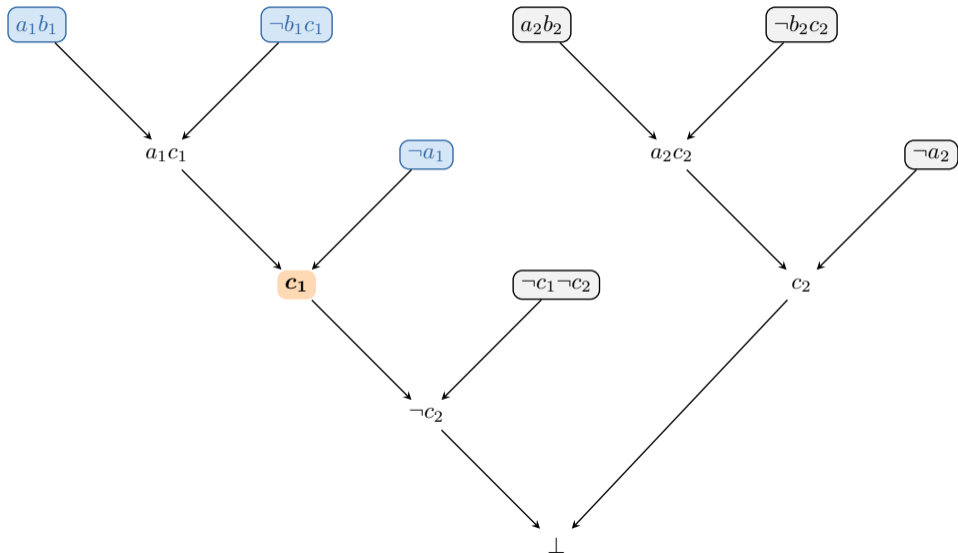
Krishnamurthy's Symmetry Rules



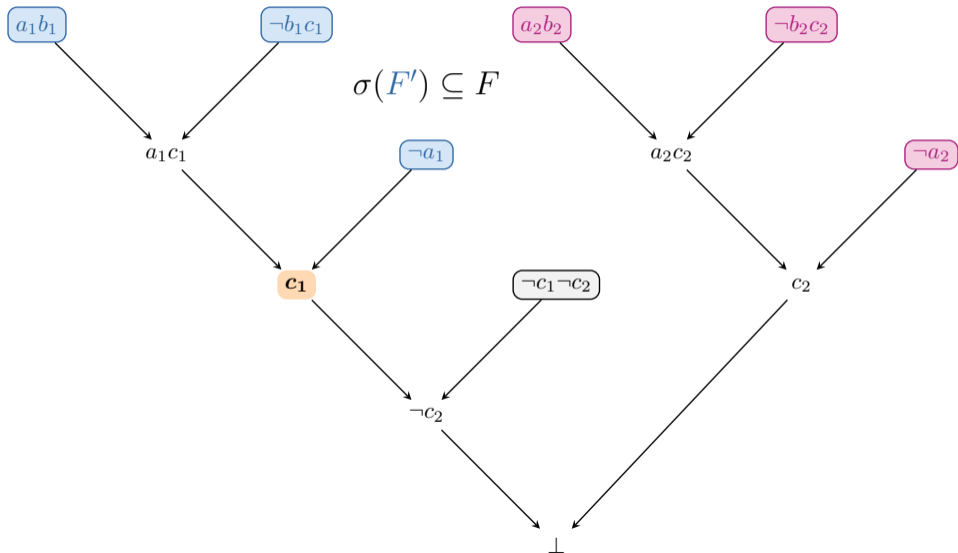
Krishnamurthy's Symmetry Rules



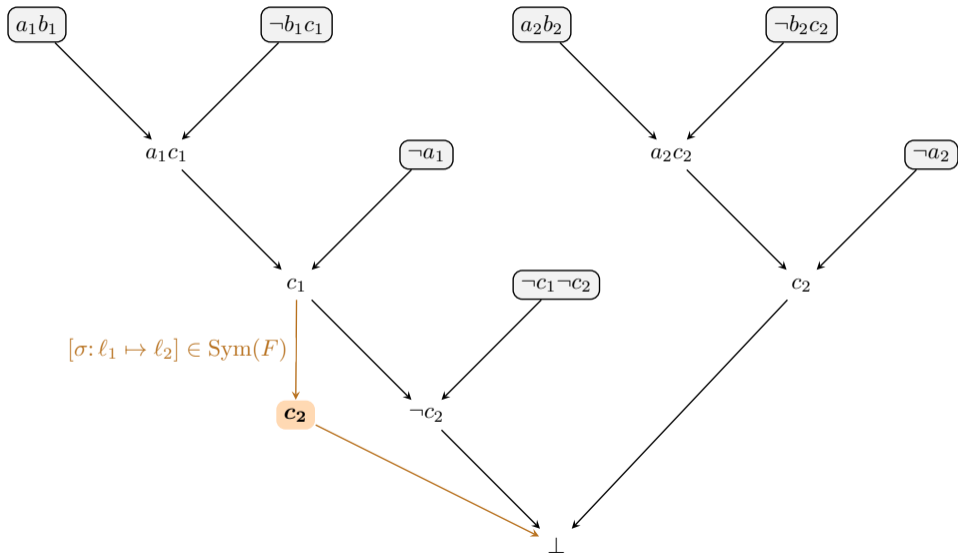
Krishnamurthy's Symmetry Rules



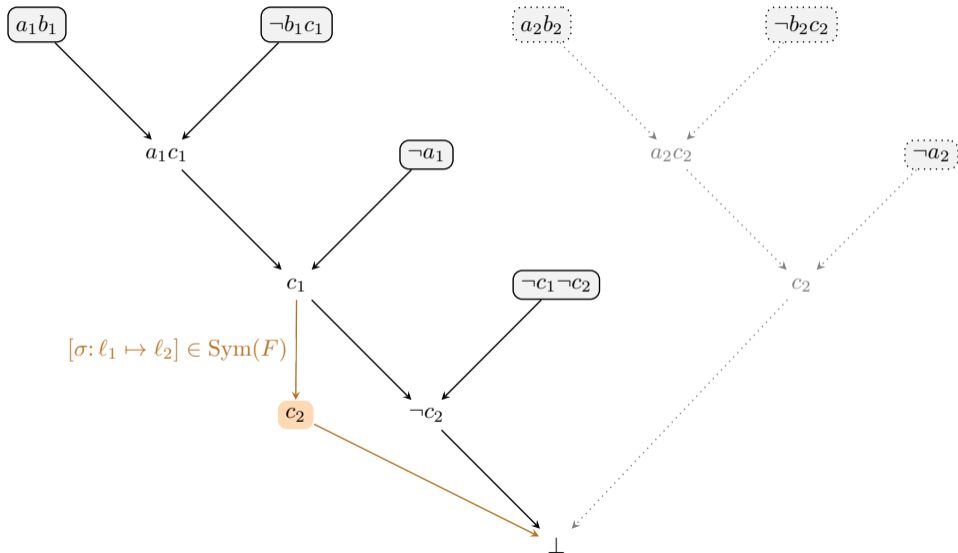
Krishnamurthy's Symmetry Rules



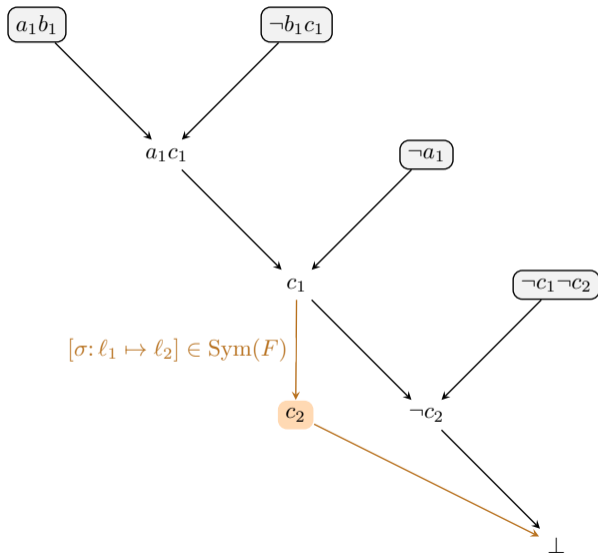
Krishnamurthy's Symmetry Rules



Krishnamurthy's Symmetry Rules



Krishnamurthy's Symmetry Rules



The SRC Proof Systems

Have a derivation $\pi : F' \vdash C$ from a subformula $F' \subseteq F$.

To derive $\sigma(C)$ from C in one step we need a renaming σ with

SRC-1 (Global Symmetries)

$$\sigma(F) = F$$

SRC-2 (Local Symmetries)

$$\sigma(F') \subseteq F$$

SRC-3 (Dynamic Symmetries)

also allow symmetries in resolvents



Battle SRC-1 With Asymmetric Graphs

Asymmetric Graph G : $\text{Aut}(G) = \{\text{id}\}$

Battle SRC-1 With Asymmetric Graphs

Asymmetric Graph G : $\text{Aut}(G) = \{\text{id}\}$

Lemma: Asymmetric graphs \implies Asymmetric ISO-formula

Battle SRC-1 With Asymmetric Graphs

Asymmetric Graph G : $\text{Aut}(G) = \{\text{id}\}$

Lemma: Asymmetric graphs \implies Asymmetric ISO-formula

Lemma: Asymmetric formula \implies Res-Size = SRC-1-Size [Szeider]

Asymmetric Graphs of Large WL-Dimension

[Dawar and Khan] showed: There are pairs of non-isomorphic graphs that are

- asymmetric (unlike CFI-graphs)
 - have small size $O(k)$
 - with large WL-dim k
 - and color classes of size 4
-

Without looking at ISO-formula:

$$(G, \lambda) \equiv_{\mathcal{L}_k} (H, \mu) \implies \text{Size}(\text{ISO}(G, H) \vdash \perp) \geq \exp\left(\Omega\left(\frac{k^2}{\text{sum of color class sizes}}\right)\right)$$

An Exponential GI Lower Bound for SRC-1

Our Result:

There is a family of non-isomorphic graph pairs (G_n, H_n)

- with $O(n)$ vertices each,
- such that any SRC-1 refutation of $\text{ISO}(G_n, H_n)$ requires
size $\exp(\Omega(n))$.

CFI graphs (used for Resolution GI lower bound) don't work!

[Schweitzer & Seebach]

Summary and Open Problems

- ▶ Number of Variables for Graph Differentiation = Narrow Resolution Width
- ▶ Upper and lower bounds for refuting GI in Resolution
- ▶ Exponential Size Lower Bound for GI in SRC-1

Q. How does one show “true” exponential lower bounds (for a symmetric formula) in the systems SRC-2 or SRC-3?